



To,
 Listing Department
 National Stock Exchange of India Limited
 Exchange Plaza, C-1, G Block,
 Bandra Kurla Complex, Bandra (East),
 Mumbai - 400 051.
Symbol: ANGELONE

Department of Corporate Services
 BSE Limited
 Phiroze Jeejeebhoy Towers,
 Dalal Street,
 Mumbai - 400 001.
Scrip Code: 543235

Subject: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provisions of Regulation 30 read with sub-para 20, Para A, Part A, Schedule III of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015 (Listing Regulations), we hereby submit the disclosure regarding the settlement order passed by Securities Exchange Board of India (SEBI).

The details of the above order as required under Regulation 30 of Listing Regulations are given below:

<p>Name of the Authority</p> <p>Nature and details of the action(s) taken, initiated or order(s) passed;</p>	<p>Securities Exchange Board of India</p> <p>A common Settlement Order dated October 25, 2024, bearing reference no. SO/BM/GN/2024-25/7734-35, passed in respect of the following -</p> <ol style="list-style-type: none"> 1. Show Cause Notice dated March 12, 2024 bearing reference no. SEBI/EAD-3/BM/GN/10172/1/2024 issued under Regulation 25(1) of Securities and Exchange Board of India (Intermediaries) Regulations, 2008 in the matter of Angel One Limited (Stock Broker). 2. Show Cause Notice dated March 12, 2024 bearing reference no. SEBI/EAD-3/BM/GN/10169/1/2024 issued under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995, Rule 4(1) of the Securities Contracts (Regulations) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 in the matter of Angel One Limited (Stock Broker).
<p>Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority</p>	<p>October 25, 2024</p>



Corporate & Regd Office:
 601, 6th Floor, Ackruti Star, Central Road, MIDC,
 Andheri (E), Mumbai - 400093.
 T: (022) 4000 3600
 F: (022) 4000 3609
 E: support@angelone.in
 www.angelone.in

Angel One Limited
 CIN: L67120MH1996PLC101709,
 SEBI Registration No Stock Broker: INZ000161534,
 CDSL: IN-DP-384-2018, PMS: INP000001546,
 Research Analyst: INH000000164, Investment Advisor: INA000008172,
 AMFI Regn. No. ARN-77404, PFRDA, Regn. No. -19092018.



<p>Details of the violation(s)/contravention(s) committed or alleged to be committed</p>	<p>A common Settlement Order dated October 25, 2024, bearing reference no. SO/BM/GN/2024-25/7734-35, passed in respect of the following -</p> <ol style="list-style-type: none">1. Show Cause Notice dated March 12, 2024 bearing reference no. SEBI/EAD-3/BM/GN/10172/1/2024 issued under Regulation 25(1) of Securities and Exchange Board of India (Intermediaries) Regulations, 2008 in the matter of Angel One Limited (Stock Broker).2. Show Cause Notice dated March 12, 2024 bearing reference no. SEBI/EAD-3/BM/GN/10169/1/2024 issued under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995, Rule 4(1) of the Securities Contracts (Regulations) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 in the matter of Angel One Limited (Stock Broker). <p>Settlement Amount of Rs. 5,74,56,000/- (Rupees Five Crore Seventy-Four Lakh Fifty-Six Thousand only)</p>
<p>Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.</p>	<p>There is no material impact on financial, no impact on operations or other activities of the Company due to the order.</p>

Kindly take the same on record.

For **Angel One Limited**

Naheed Patel
Company Secretary and Compliance Officer
ACS: 22506

Date: October 25, 2024
Place: Mumbai



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