



**HOTEL RUGBY LIMITED**

Registered Office  
2, Ground Floor, 9, Dev Bhuvan,  
Gazdar Street, Chira Bazar,  
Kalbadevi, Mumbai - 400002

**HOTELS & RESORTS**

**Date: 23.10.2019**

To,  
Dept. of Corporate Services,  
**BSE Ltd.,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai-400 001

**Sub: Submission of Certificate Under Regulation 40(9) of SEBI (LODR) Regulations, 2015**

Pursuant to Regulation 40(9) of SEBI (LODR) Regulations, 2015, we are submitting herewith the **Securities Audit Certificate** for the half year ended 30.09.2019 issued by **M/s. SANJAY DHOLAKIA & ASSOCIATES**, Company Secretaries in Practice.

Please take it on your records.

Thanking you,

Yours Faithfully,

**For HOTEL RUGBY LIMITED**

**MAHENDRA THACKER  
C.E.O. & DIRECTOR  
DIN: 01405253**

**Address - 2, Ground floor, 9, Dev Bhuvan,  
Gazdar Street, Chira Bazar,  
Kalbadevi, Mumbai - 400002.**

**Encl: As above.**

CIN : L55101MH1991PLC063265 Website: www.hotelrugby.co.in email: rugbyhotel@rediffmail.com.  
Tel : 022 67470380



# SANJAY DHOLAKIA & ASSOCIATES

BCOM LLB FCS

COMPANY SECRETARIES

GP 15, 2nd Floor, Raghuleela Mall, Behind Poincur Bus Depot, Kandivali (West), Mumbai - 400 067.  
☎ : 2807 3233 / 49713233 / 98700 31365 • E-mail : sanjayrd65@gmail.com / sanjay@srdholakia.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER 2019**

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 3<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f 01<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1<sup>st</sup> April, 2019.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Hotel Rugby Limited, a Company registered under Companies Act, 1956 having its Registered Office at 2, Ground Floor, 9, Dev Bhuvan, Gazdar Street, Chirabazar, Kalbadevi, Mumbai - 400002, as maintained by the Company's Registrar and Transfer Agents, M/s. Link Intime India Private Limited having its office at C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai-400083, for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 in relation to transfer of equity shares of the Company during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019.

Based on the information provided by the Company / Registrar and Transfer Agents, I hereby certify that during half year ended on 30<sup>th</sup> September, 2019:

- A) the Company has issued Share Certificate(s), relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 as entered in the Memorandum of Transfers, all the aforesaid transfers pertain to re-lodgments of earlier transfer lodged prior to march 31<sup>st</sup>, 2019 and were returned under objection.
- B) there were no requests received for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.

For SANJAY DHOLAKIA & ASSOCIATES



*Dholakia Sejal*  
(SANJAY DHOLAKIA)  
Practising Company Secretary  
Proprietor

Date: 22<sup>nd</sup> October, 2019  
Place: Mumbai  
UDIN : F002655A000139451