10	2		11
18	8		13
1/2			2
16.3			
1000	1000	20	800
100	17	11	. 14

Registered Office 2, Ground Floor, 9, Dev Bhuvan, Gazdar Street, Chira Bazar, Kalbadevi, Mumbai - 400002

HOTEL RUGBY LIMITED

HOTELS & RESORTS

Date: 23.10.2019

To, Dept. of Corporate Services, **BSE Ltd.,** Phiroze Jeejeebhoy Towers, Dalal Street Mumbai-400 001

Sub: Submission of Certificate Under Regulation 40(9) of SEBI (LODR) Regulations, 2015

Pursuant to Regulation 40(9) of SEBI (LODR) Regulations, 2015, we are submitting herewith the **Securities Audit Certificate** for the half year ended 30.09.2019 issued by **M/s. SANJAY DHOLAKIA & ASSOCIATES**, Company Secretaries in Practice.

Please take it on your records.

Thanking you,

Yours Faithfully,

For HOTEL RUGBY LIMITED

1. R. Shame

MAHENDRA THACKER C.E.O. & DIRECTOR DIN: 01405253 Address – 2, Ground floor, 9, Dev Bhuvan, Gazdar Street, Chira Bazar, Kalbadevi, Mumbai – 400002.

Encl: As above.

CIN: L55101MH1991PLC063265 Website: www.hotelrugby.co.in email: rugbyhotel@rediffmail.com. Tel: 022 67470380



SANJAY DHOLAKIA & ASSOCIATES

BCOM LLB FCS

COMPANY SECRETARIES

GP 15, 2nd Floor, Raghuleela Mall, Behind Poinsur Bus Depot, Kandivali (West), Mumbai - 400 067. C : 2807 3233 / 49713233 / 98700 31365 • E-mail : sanjayrd65@gmail.com / sanjay@srdholakia.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) **REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTMBER 2019

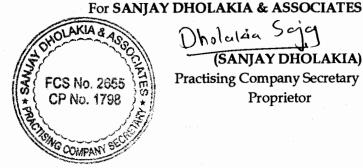
The Securities and Exchange Board of India vide gazette notification dated 081h June, 2018 and its press release on 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements)Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Hotel Rugby Limited, a Company registered under Companies Act, 1956 having its Registered Office at 2, Ground Floor, 9, Dev Bhuvan, Gazdar Street, Chirabazar, Kalbadevi, Mumbai - 400002, as maintained by the Company's Registrar and Transfer Agents, M/s. Link Intime India Private Limited having its office at C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai-400083, for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 in relation to transfer of equity shares of the Company during the period from 1st April, 2019 to 30th September, 2019.

Based on the information provided by the Company / Registrar and Transfer Agents, I hereby certify that during half year ended on 30th September, 2019:

- A) the Company has issued Share Certificate(s), relating to the transfer of Shares received during the period from 1st April, 2019 to 30th September, 2019 as entered in the Memorandum of Transfers, all the aforesaid transfers pertains to re-lodgments of earlier transfer lodged prior to march 31st , 2019 and were returned under objection.
- B) there were no requests received for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.

Date: 22nd October, 2019 Place: Mumbai UDIN : F002655A000139451



Dholaisia Sojo (SANJAY DHOLAKIA) Practising Company Secretary Proprietor