



# Alkyl Amines Chemicals Limited

Reg. Office: 401-407, Nirman Vyapar Kendra, Plot No. 10, Sector 17, Vashi, Navi Mumbai - 400 703. INDIA  
Tel.: 022-6794 6600 • Fax: 022-6794 6666 • E-mail : alkyl@alkylamines.com • Web: www.alkylamines.com



Responsible Care<sup>®</sup>  
OUR COMMITMENT TO SUSTAINABILITY

May 20, 2022

To,

**BSE Limited**

Phiroze Jeejeebhoy Towers,

Dalal Street,

Mumbai – 400 001

**SCRIPCODE: 506767**

**The National Stock Exchange of India Ltd.**

Exchange Plaza, Bandra Kurla Complex,

Bandra (E),

Mumbai – 400 051.

**SYMBOL: ALKYLAMINE**

**Sub: Reporting of violation under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sirs,

We refer to report of violation in respect of Mr. Premal Kapadia, Non-Executive Non-Independent Director, filed on May 9, 2022, wherein we had informed your good selves that the Audit Committee will decide the action to be taken for violation at its meeting scheduled on May 19, 2022 and the company will subsequently report the decision of Audit Committee. The Audit Committee at its meeting held on May 19, 2022, decided to issue a warning letter to Mr. Kapadia.

Accordingly, we are enclosing herewith further report on action taken under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Company's Code of Conduct for Regulating, Monitoring and Reporting of Insider Trading by designated persons and their immediate relatives, in the format prescribed by SEBI vide Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

Thanking you,

Yours sincerely,

For **ALKYL AMINES CHEMICALS LIMITED.**

**CHINTAMANI**

**DATTATRAY**

**A THATTE**

**Chintamani D. Thatte**

**General Manager (Legal) & Company Secretary**

**& Compliance Officer**

Digitally signed by CHINTAMANI  
DATTATRAYA THATTE  
DN: cn=Personal,  
pseudoym=4e7c4d7fa2a033814dc7cc4309  
f2a5672536570eab232f2ba09f1455029c49a  
c postalCode=400602, st=MAHARASHTRA,  
serialNumber=d4754b7b6d41f59104982a2  
ad490775690aa345f36a27f55eaf11b333564  
tc, cn=CHINTAMANI DATTATRAYA THATTE  
Date: 2022.05.20 19:29:09 +05'30'



Encl.: As above



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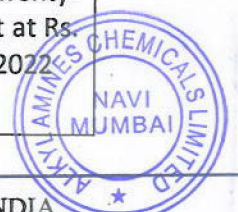


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## Annexure A

### Report by Alkyl Amines Chemicals Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Alkyl Amines Chemicals Limited
2	Please tick appropriate checkbox Reporting in capacity of: Listed Company Intermediary Fiduciary	Listed Company
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Premal Kapadia
	ii. PAN of the DP	AABPK8336L
	iii. Designation of DP	Non-Executive Non-Independent Director
	iv. Functional Role of DP	Mr. Premal Kapadia is a Non-Executive Non-Independent Director of the Company.
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	Equity shares of Alkyl Amines Chemicals Limited.
	ii. No. of shares traded and value (Rs.) (Date- wise)	126 (One hundred Twenty-six) shares were bought at Rs. 4,00,559/- on April 26, 2022



D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter			
	i.	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii.	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct		Shares were bought during trading window closure period
5	Action taken by Listed company/ Intermediary/ Fiduciary		A warning letter issued to Mr. Premal Kapadia.
6	Reasons recorded in writing for taking action stated above		Mr. Premal Kapadia has informed that his Portfolio Management Services (PMS) provider inadvertently bought these 126 shares in spite of clear instructions to PMS provider not to deal in these shares and had declared Alkyl Amines as a negative scrip for his PMS accounts. It was an error from PMS provider side. He has once again instructed PMS provider to update negative securities list and put trading freeze on the shares of the Company, he is holding. This transaction was not done intentionally to earn any profit or gains. He will ensure that regulations are not violated and this error is not repeated in future.
7	Details of the previous instances of violations, if any, since last financial year		None
8	If any amount collected for Code of Conduct violation(s)		
	i. Mode of transfer to SEBI – IPEF (Online/Demand Draft)		NA
	ii. Details of transfer/payment In case of Online:		NA



	In case of Demand Draft (DD):		
	<b>Particulars</b>	<b>Details</b>	
	Bank Name and branch		
	DD Number		
	DD date		
DD amount (in Rs.)			
9	Any other relevant information		None

Yours faithfully,

**CHINTAMANI  
DATTATRAY  
A THATTE**

Digitally signed by CHINTAMANI DATTATRAYA  
THATTE  
DN: c=IN, ou=Personal,  
pseudonym=4e7c4d7fa2a033814dc7cc4309f2a  
567233e5f16e0232202ab9f1455029c499c,  
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cn=CHINTAMANI DATTATRAYA THATTE  
Date: 2022.05.20 19:29:35 +05'30'



**Name of Compliance Officer: Mr. Chintamani D. Thatte**

**PAN: AABPT7409D**

**Email ID: [cthatte@alkylamines.com](mailto:cthatte@alkylamines.com)**

**Date: May 20, 2022**

**Place: Navi Mumbai**