



BSE Limited  
First Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort  
Mumbai 400 001  
**Kind Attn: Mr Khushro A. Bulsara**  
**General Manager & Head**  
**Listing Compliance & Legal Regulatory**

National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor, Plot No. C/1,  
G Block, Bandra - Kurla Complex  
Bandra (East), Mumbai 400 051  
**Kind Attn: Mr Avinash Kharkar**  
**Asst. Vice President**  
**Listing & Compliance**

October 11, 2019  
Sc no – 15655

Dear Sir / Madam,

**Ref: ISIN: INE155A01022 – Ordinary Shares**  
**IN9155A01020 – 'A' Ordinary Shares**  
**Debt Securities on NSE & BSE**

**Sub: Compliance under Regulations 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulations 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed please find certificates, issued for Ordinary Shares and 'A' Ordinary Shares of the Company, by Parikh & Associates - Practicing Company Secretaries, both dated October 11, 2019 certifying that all certificates have been issued within 30 days of the date of lodgement for transfer and there were no request relating to sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period from April 1, 2019 to September 30, 2019.

Yours faithfully,  
For Tata Motors Limited



Hoshang K Sethna  
Company Secretary

Encl: A/a

**TATA MOTORS LIMITED**

Bombay House 24 Homi Mody Street Mumbai 400 001  
Tel 91 22 6665 8282 Fax 91 22 6665 7799  
www.tatamotors.com CIN L28920MH1945PLC004520

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2019**

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Ordinary Shares of TATA MOTORS LIMITED (the Company) maintained by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, for transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

A) 1.a. the Company has delivered during half year ended on 30<sup>th</sup> September, 2019 share certificates relating to the transfer deeds lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.


1.b. the Company has delivered during half year ended on 30<sup>th</sup> September, 2019 share certificates in respect of requests relating to renewal, sub-division (split) and consolidation received during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, within thirty days, from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests for exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: ---

Place: Mumbai  
Date: October 11, 2019



For Parikh & Associates

  
P. N. Parikh  
FC@: 327 CP: 1228  
UDIN: F000327A000040961



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to 'A' Ordinary Shares of TATA MOTORS LIMITED (the Company) maintained by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, for transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

A) 1.a. the Company has delivered during half year ended on 30<sup>th</sup> September, 2019 share certificates relating to the transfer deeds lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.

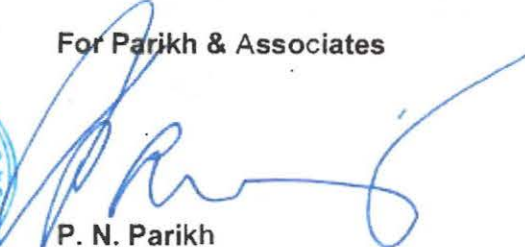
1.b. As per the records made available to us there were no requests relating to sub-division (split), consolidation, renewal and exchange or endorsement of calls/allotment monies received during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019.

B) Any other information, if applicable: ---

Place: Mumbai  
Date: October 11, 2019



For Parikh & Associates

  
P. N. Parikh  
FCS: 327 CP: 1228  
UDIN: F000327A000041038