



Ref/No/HDFCAMC/SE/2021-22/05

Date – April 19, 2021

National Stock Exchange of India Limited Exchange Plaza, Plot C/1, Block G, Bandra Kurla Complex, Bandra (East) Mumbai – 400051  <b>Kind Attn: Head – Listing Department</b>	BSE Limited Sir PJ Towers, Dalal Street, Mumbai – 400001  <b>Kind Attn: Sr. General Manager – DCS Listing Department</b>
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Dear Sir/Madam,

**Sub: Certification in compliance with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed herewith a certificate issued under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by S. S. Rauthan & Associates, Company Secretaries, for the period October 01, 2020 to March 31, 2021.

Thanking You,

**Yours Faithfully,  
For HDFC Asset Management Company Limited**

**Sylvia Furtado  
Company Secretary**

Encl: a/a

**HDFC Asset Management Company Limited**

A Joint Venture with Standard Life Investments

CIN: L65991MH1999PLC123027

Registered Office : "HDFC House", 2ndFloor, H.T. Parekh Marg, 165-166, Backbay Reclamation, Churchgate, Mumbai-400 020  
Tel.: 022 - 6631 6333 Fax: 022 - 6658 0203 Website: [www.hdfcfund.com](http://www.hdfcfund.com) email: [shareholders.relations@hdfcfund.com](mailto:shareholders.relations@hdfcfund.com)



# S. S. Rauthan & Associates

Company Secretaries



SS Surjan Singh Rauthan  
B.Com., F.C.S.


## CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

**FOR THE HALF YEAR ENDED MARCH 31, 2021**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **HDFC Asset Management Company Limited** maintained by **KFin Technologies Private Limited** (Formerly known as **Karvy Fintech Private Limited** (the "RTA") pertaining to transfer of equity shares of the Company for the period from October 1, 2020 to March 31, 2021, for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI ((Listing Obligations and Disclosure Requirements) Regulations, 2015, entered into by HDFC Asset Management Company Limited and based on the information provided by the Company and its RTA hereby certify that;

- A) During the period from October 1, 2020 to March 31, 2021 the Company has not received any request for transfer/transmission of equity shares.
- B) During the period from October 1, 2020 to March 31, 2021 the Company has not received any request for sub-division, consolidation, renewal or issuance of duplicate share certificates or endorsement of calls/allotment money.

For **S. S. Rauthan & Associates**  
Company Secretaries  
UIN: S1999MH2026900

  
**Surjan Singh Rauthan**  
Proprietor

M.No. :FCS-4807, COP No.: 3233



Peer Reviewed Cert. No.:434/2016  
**UDIN: F004807C000030943**

**Place:** Mumbai

**Date :** April 16, 2021

*Practicing Since 1999*

CELEBRATING  
**20<sup>th</sup>**  
ANNIVERSARY

703, Navjivan Commercial Premises Co-op. Soc. Ltd., Lamington Road, Mumbai - 400 008.  
Tel. : +91-22-2301 2626 • Telefax. : 91-22-2309 1275 • Mob. : +91-99874 45899 / 84528 65509  
E-mail : ssrauthan@ssraroupindia.in / ssrassociates@gmail.com • Website : www.ssraroupindia.in