



April 29, 2020

BSE Limited  
Bad Delivery Cell,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
**Mumbai – 400 001**

**Security Code: 523405**

National Stock Exchange of India Limited  
Exchange Plaza  
Plot No.C-1, G Block  
Bandra-Kurla Complex  
Bandra (East)  
**Mumbai – 400 051**

**Symbol: JMFINANCIL**

Dear Sirs,

**Re: Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith a copy of the certificate received by us from M/s Naren Shroff & Associates, Practicing Company Secretary, Mumbai. The said certificate is for the half year ended on March 31, 2020.

Kindly acknowledge receipt.

This intimation is uploaded without any physical signature due to the situation arising out of COVID - 19 and 'Work from Home' policy adopted by the Company. It may be noted that this is in line with the guidelines issued by National Stock Exchange of India Limited on March 24, 2020.

Thank you.

Yours faithfully,  
for JM Financial Limited

**Prashant Choksi**  
Group Head – Compliance, Legal  
& Company Secretary

**JM Financial Limited**

Corporate Identity Number : L67120MH1986PLC038784

**Regd. Office:** 7th Floor, Cnergy, Appasaheb Marathe Marg, Prabhadevi, Mumbai 400 025.

T: +91 22 6630 3030 F: +91 22 6630 3223 www.jmfl.com

# Naren Shroff & Associates

COMPANY SECRETARIES

CS Naren S. Shroff  
B.Com., L.L.B., F.C.S., ACIS (London)

Mob.: +91-9820219233  
Email : narensbroffcs@gmail.com

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6, Meghdoot, 2nd Floor, Road No. 31, Opp. Kikabhai Hospital, Near Shanmukhanand Hall,  
Sion (East), Mumbai – 400 022.

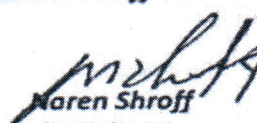
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## TO WHOMSOEVER IT MAY CONCERN

We have examined the relevant Books, Registers and records, of **JM FINANCIAL LIMITED** (hereinafter referred to as 'the Company') having its registered office at 7<sup>th</sup> Floor, Cnergy, Appasaheb, Marathe Marg, Prabhadevi, Mumbai - 400 025, maintained by its Registrar and Transfer Agent (hereinafter referred to as 'RTA'), KFin Technologies Private Limited, produced before us for the purpose of issuing certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on RTA's certificate dated April 25, 2020, issued to the Company, we hereby certify that, the Company, in relation to half year ended **31<sup>st</sup> March, 2020**, did not receive any request for transfer, sub-division, consolidation, renewal etc. for its listed securities.

Place: Mumbai

For Naren Shroff & Associates

  
Naren Shroff  
Proprietor  
FCS- 2414 CP- 1563



Dated: 28<sup>th</sup> April, 2020

UDIN: F002414B000184574