

5<sup>th</sup> July, 2019

To  
Dy. General Manager  
B S E Ltd.  
Corporate Relation Department,  
2nd Floor, P.J. Towers,  
Dalal Street, Fort,  
Mumbai 400 001

Dear Sir,

**Scrip Code: 540192**

Sub: Report of an Independent Scrutinizer

This is to inform you that Twenty Fifth Annual General Meeting of the Members of the Company was held at M. C. Ghia Hall, Bhogilal Hargovindas Building, 18/20, K. Dubash Marg, Mumbai 400 001 on Friday, July 05, 2019 at 11.10 a.m. and in the said connection find attached herewith the Report of an Independent Scrutinizer Mr. V. Ramachandran of V. R. Associates, Company Secretaries in respect of e-voting and voting by ballot papers conducted by the Company pursuant to the Notice of AGM dated 2<sup>nd</sup> May, 2019 to transact the business mentioned therein.

Yours faithfully,  
For LKP Securities Limited

  
Siddharth Mehta  
Company Secretary  
Encl: a/a



**LKP Securities Ltd.**

Regd Off : 203 Embassy Centre, Nariman Point Mumbai - 400021. Phone : 022 - 2282 8234, Fax : 022 - 2284 2415  
Head Off : 1303 - 04, 13th Floor, Raheja Centre, Free Press Journal Marg, Nariman Point, Mumbai - 400021.  
Tel.: +91 22 6635 1234 • Fax : +91 22 6635 1249 • Website : www.lkpsec.com,  
Single SEBI registration number for NSE/BSE/MSEI: INZ000216033 ARN 31751 DPIN-CDSL-206-2003  
CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ



# V. R. ASSOCIATES

COMPANY SECRETARIES

5<sup>th</sup> July, 2019

## COMBINED REPORT OF THE SCRUTINIZER ON THE REMOTE E-VOTING AND VOTING BY POLL CONDUCTED AT THE 25<sup>th</sup> AGM OF LKP SECURITIES LIMITED HELD ON 5<sup>TH</sup> JULY, 2019

The Chairman  
LKP Securities Limited

**25<sup>th</sup> Annual General Meeting of the Equity Shareholders of LKP Securities Limited held on Friday, 2019 at 11.10 a.m. at the M.C. Ghia Hall, Bhogilal Hargovindas Building, 18/20 K. Dubash Marg, Mumbai 400 001.**

Sub: *Passing of resolution(s) through electronic voting pursuant to section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management & Administration) Rules, 2014, as amended*

Dear Sirs,

I, V. Ramachandran, proprietor of M/s V.R. Associates, Company Secretaries (Membership no. ACS 7731, CP no. 4731) have been appointed as Scrutinizer by LKP Securities Limited ("the company") as per Board resolution dated 2<sup>nd</sup> May, 2019 and Company's letter dated 2<sup>nd</sup> May, 2019 pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended by the Companies (Management and Administration) Amendment Rules, 2015 and Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the purpose of remote e-voting as well as the voting by poll taken on all the resolutions at the Annual General Meeting of the Shareholders of the Company held on Friday, 5<sup>th</sup> July, 2019 at 11.10 a.m. at M.C. Ghia Hall, Bhogilal Hargovindas Building, 18/20 K. Dubash Marg, Mumbai 400 001 hereby submit my report as under:

The Company had extended facility of remote e-voting to the Members of the Company through NSDL from 1<sup>st</sup> July, 2019 (9.00 a.m.) to 4<sup>th</sup> July, 2019 (5.00 p.m.).

Further, on Friday 5<sup>th</sup> July, 2019 at the 25<sup>th</sup> AGM, ballot papers were distributed to the Members present in person or by proxy to enable the Members to vote who could not do the remote e-voting.

- 1) The Company had appointed National Securities Depository Limited (NSDL) for the purpose of providing the facility of remote e-voting to the Members of the Company.
- 2) NSDL had set up an electronic voting facility on their website. Viz. [www.evoting.nsdl.com](http://www.evoting.nsdl.com). The company had uploaded all the items of business to be transacted at the AGM on the website of NSDL and also on [www.bseindia.com](http://www.bseindia.com) and [www.lkpsec.com](http://www.lkpsec.com)
- 3) The cut off date for despatch of notice of the AGM was 1<sup>st</sup> June, 2019 and as on that date there were 6634 Members in the Company. NSDL had sent notices of the AGM alongwith the Annual Report and e-voting details by e-mail to 3439 Members whose e-mail details were available with the depositories and for those Members holding shares in physical form and had sent their e-mail details to the RTA. In respect of 3348 Members for whom the e-mail details were not available the notices were sent by courier/ airmail. The Company completed the despatch of notices to Members on 8<sup>th</sup> June, 2019.
- 4) The notice clearly indicated the process and manner of voting by electronic means including step wise procedure for voting in a secure manner.
- 5) The cut-off date for the purposes of identifying the Members who will be entitled to vote on the resolutions was 29<sup>th</sup> June, 2019.
- 6) The remote e-voting period commenced on 1<sup>st</sup> July, 2019 at 9.00 a.m. and ended on 4<sup>th</sup> July, 2019 at 5.00 p.m.
- 7) At the end of the voting period on 4<sup>th</sup> July, 2019, the voting portal was blocked forthwith. The same was unblocked on 5<sup>th</sup> July, 2019 after voting process by poll was completed in the presence of two witnesses viz. Ms. Pooja Sawarkar and Ms. Neha Garg.

Resi.:  
G5/3, Jal Padma, Bangur Nagar,  
Goregaon (W), Mumbai 400 104.

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31, Topiwala Center,  
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- 8) At the venue of the 25<sup>th</sup> AGM of the Company held on 5<sup>th</sup> July, 2019, the facility to vote through polling paper was provided to those Members present in the meeting but could not participate through remote e-voting.
- 9) After the time fixed for closing the poll by the Chairman, one ballot box kept for polling was locked in my presence with due identification mark placed by me.
- 10) The locked ballot box was subsequently opened in my presence along with two witnesses viz. Ms. Pooja Sawarkar and Ms. Neha Garg and poll papers were diligently scrutinized. The poll papers were reconciled with the records maintained by the Company/ Registrar and Transfer Agents of the Company and authorisations/ proxies lodged with the Company.
- 11) No poll papers were found to be invalid.
- 12) The results of remote e-voting and the voting by poll are as under:

Item No. 1:

**ORDINARY RESOLUTION**

**ADOPTION OF AUDITED FINANCIAL STATEMENTS, DIRECTORS REPORT & AUDITORS REPORT FOR YEAR ENDED 31<sup>ST</sup> MARCH 2019;**

Particulars	Remote e-voting			Voting by poll			Total Voting	
	No. of voters	No. of shares	Percentage on total valid votes	No. of poll papers	No. of shares	Percentage on total valid votes	No. of shares	Percentage on total valid votes
Total vote received	55	55403903	N.A	20	25008	N.A	55428911	N.A
Less: Invalid votes	NIL	NIL	N.A	0	0	N.A	NIL	N.A
Net valid votes	55	55403903	99.9549	20	25008	0.0451	<b>55428911</b>	<b>100.0000</b>
Voting with Assent	54	55403891	99.9549	19	24508	0.0442	<b>55428399</b>	<b>99.9991</b>
Voting with Dissent	1	12	0.0000	1	500	0.0009	<b>512</b>	<b>0.0009</b>





**Item No. 2:**

**RE-APPOINTMENT OF MR. S S. GULATI, DIRECTOR RETIRING BY ROTATION;**

Particulars	Remote e-voting			Voting by poll			Total Voting	
	No. of voters	No. of shares	Percentage on total valid votes	No. of poll papers	No. of shares	Percentage on total valid votes	No. of shares	Percentage on total valid votes
Total vote received	54	55400900	N.A	20	25008	N.A	55425908	N.A
Less: Invalid votes	NIL	NIL	N.A	0	0	N.A	NIL	N.A
Net valid votes	54	55400900	99.9549	20	25008	0.0451	<b>55425908</b>	<b>100.0000</b>
Voting with Assent	53	55400888	99.9549	19	24508	0.0442	<b>55425396</b>	<b>99.9991</b>
Voting with Dissent	1	12	0.0000	1	500	0.0009	<b>512</b>	<b>0.0009</b>

**Item No. 3:**

**ORDINARY RESOLUTION**

**APPOINTMENT OF M/S MGB & CO. LLP, CHARTERED ACCOUNTANTS AS STATUTORY AUDITORS;**

Particulars	Remote e-voting			Voting by poll			Total Voting	
	No. of voters	No. of shares	Percentage on total valid votes	No. of poll papers	No. of shares	Percentage on total valid votes	No. of shares	Percentage on total valid votes
Total vote received	54	55400900	N.A	20	25008	N.A	55425908	N.A
Less: Invalid votes	NIL	NIL	N.A	0	0	N.A	NIL	N.A
Net valid votes	54	55400900	99.9549	20	25008	0.0451	<b>55425908</b>	<b>100.0000</b>
Voting with Assent	52	55400288	99.9538	19	24508	0.0442	<b>55424796</b>	<b>99.9980</b>
Voting with Dissent	2	612	0.0011	1	500	0.0009	<b>1112</b>	<b>0.0020</b>





**Item No. 4:****ORDINARY RESOLUTION****APPOINTMENT OF MRS. ANJALI SURESH AS AN INDEPENDENT WOMAN DIRECTOR OF THE COMPANY;**

Particulars	Remote e-voting			Voting by poll			Total Voting	
	No. of voters	No. of shares	Percentage on total valid votes	No. of poll papers	No. of shares	Percentage on total valid votes	No. of shares	Percentage on total valid votes
Total vote received	54	55400900	N.A	20	25008	N.A	55425908	N.A
Less: Invalid votes	NIL	NIL	N.A	0	0	N.A	NIL	N.A
Net valid votes	54	55400900	99.9549	20	25008	0.0451	<b>55425908</b>	<b>100.0000</b>
Voting with Assent	53	55400888	99.9549	19	24508	0.0442	<b>55425396</b>	<b>99.9991</b>
Voting with Dissent	1	12	0.0000	1	500	0.0009	<b>512</b>	<b>0.0009</b>

**Item No. 5:****SPECIAL RESOLUTION****APPROVAL OF EMPLOYEE STOCK OPTION PLAN 2019 OF THE COMPANY;**

Particulars	Remote e-voting			Voting by poll			Total Voting	
	No. of voters	No. of shares	Percentage on total valid votes	No. of poll papers	No. of shares	Percentage on total valid votes	No. of shares	Percentage on total valid votes
Total vote received	55	55391903	N.A	20	25008	N.A	55416911	N.A
Less: Invalid votes	NIL	NIL	N.A	0	0	N.A	NIL	N.A
Net valid votes	55	55391903	99.9549	20	25008	0.0451	<b>55416911</b>	<b>100.0000</b>
Voting with Assent	52	55389879	99.9512	19	24508	0.0442	<b>55414387</b>	<b>99.9954</b>
Voting with Dissent	3	2024	0.0037	1	500	0.0009	<b>2524</b>	<b>0.0046</b>





Item No. 6:

**SPECIAL RESOLUTION**

**APPROVAL OF GRANT OF OPTIONS UNDER EMPLOYEE STOCK OPTION PLAN 2019 TO ELIGIBLE EMPLOYEES OF ITS SUBSIDIARY COMPANY(IES);**

Particulars	Remote e-voting			Voting by poll			Total Voting	
	No. of voters	No. of shares	Percentage on total valid votes	No. of poll papers	No. of shares	Percentage on total valid votes	No. of shares	Percentage on total valid votes
Total vote received	55	55391903	N.A	20	25008	N.A	55416911	N.A
Less: Invalid votes	NIL	NIL	N.A	0	0	N.A	NIL	N.A
Net valid votes	55	55391903	99.9549	20	25008	0.0451	<b>55416911</b>	<b>100.0000</b>
Voting with Assent	52	55389879	99.9512	19	24508	0.0442	<b>55414387</b>	<b>99.9954</b>
Voting with Dissent	3	2024	0.0037	1	500	0.0009	<b>2524</b>	<b>0.0046</b>

A compact disc (CD) containing the list of equity shareholders who voted "For", "Against" for each resolution is enclosed. There were no votes which were declared as invalid.

The poll papers and all other relevant records were sealed and handed over to the Company Secretary, for safe keeping.

Thank you.

Yours faithfully,  
For *V.R. Associates*  
Company Secretaries

  
*V. Ramachandran*  
Proprietor  
ACS 7731/ CP 4731

