

AMF:RO: 2023-2024

13th April 2023

Corporate Relationship Department
BSE Limited
P.J. Towers
Dalal Street
Mumbai - 400 001

(Scrip code - 513117)

Under Regulation 24A of SEBI (LODR) Regulations, 2015, we are pleased to submit the Annual Secretarial Compliance Report for the Financial Year ended 31st March 2023, issued by the Practicing Company Secretary of the Company.

For AMFORGE INDUSTRIES LIMITED

KINSHUK RAJKUMAR TIWARI Digitally signed by KINSHUK RAJKUMAR
TIWARI
Date: 2023.04.13 10:55:45 +05'30'

Company Secretary & Compliance Officer



SANDEEP DUBEY & ASSOCIATES

(Practicing Company Secretaries)

Unit No. 3133, Ezaze Zone Mall, Sundar Nagar, Malad West, Mumbai- 400062.

Contact No. 09820513552, Email : cs.sandeepdubey@gmail.com

ANNUAL SECRETARIAL AUDIT REPORT OF M/S. AMFORGE INDUSTRIES LIMITED FOR THE YEAR ENDED 31ST MARCH 2023.

I, Sandeep Dubey, Practicing Company Secretary have examined:

- a) all the documents and records made available to us and explanation provided by M/s. Amforge Industries Limited (“the listed entity”),
- b) the filings/submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other documents and filings as may be relevant, which has been relied upon to make this certification, for the year ended on March 31, 2023 (“Reviewed Period”) in respect of Compliance with the provisions of;

FOR THE YEAR ENDED MARCH 31, 2023 (“REVIEW PERIOD”) IN RESPECT OF COMPLIANCE WITH THE PROVISIONS OF:

- a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, and guidelines issued there under; And
- b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made there under, and the Regulations, circulars, and guidelines issued there under by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; **Complied by the Company.**
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable to the company under this audit preview period.**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Complied by the Company.**
- (d) Securities and Exchange Board of India (Buy Back of Securities) Regulation 2018; **Not Applicable to the company under this audit preview period.**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulation 2021; **Not Applicable to the company under this audit preview period.**
- (f) Securities and Exchange Board of India (Issue and Listing of Non -Convertible Securities) Regulation 2021; **Not Applicable to the company under this audit preview period.**

- (g) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; **Complied by the Company.**
- (h) Securities and Exchange Board of India (Prohibition of fraudulent and unfair trade practices relating to securities market) (Amendment), Regulations, 2018; **Complied by the Company.**
- (i) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and circulars/ guidelines issued there under; **Complied by the Company.**
- (j) The Securities and Exchange Board of India (Registrar to an Issue and share Transfer Agent) Regulation 1993 regarding the Companies Act, 2013 and dealing with Client;

and circulars/ guidelines issued thereunder. Further, in terms of SEBI circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 in respect of Resignation of statutory auditors from listed entities and their material subsidiaries is not applicable during the review period and based on the above examination, we hereby report that, during the Review Period:

Note: The aforesaid list of SEBI Regulations is only the list of Regulations that applied to the Company during the year under review.

AND BASED ON THE ABOVE EXAMINATION, I HEREBY REPORT THAT DURING THE REVIEW PERIOD:

- a) The listed entity has complied with the provisions of the above Regulations and Circulars / Notification/Guidelines issued thereunder.

Compliance Requirement (Regulations/Circulars/ guidelines including specific clause)	Deviations	Remark of the Company Secretary
NONE		

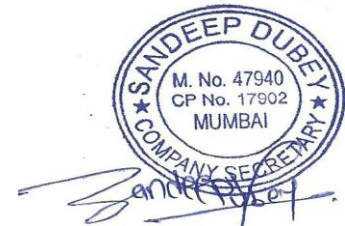
- b) The listed entity has maintained proper records under the provisions of the above Regulations and Circulars/Guidelines issued thereunder in so far as it appears from my/our examination of those records.
- c) There was no action taken against the listed entity /its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/Regulations and circulars/guidelines issued there under in so far as it appears from my examination of those records.

Sr no	Action taken by	Details of Violation	Details of Action taken e.g. fines, warning letter, debarment etc.	Observation / remarks of the Company Secretary
NONE				

d) The listed entity has taken the following actions to comply with the observations made in previous reports.

Sr No.	Observation of Company Secretary in the previous report	Observation made in the Secretarial Compliance Report	Action taken by the listed entity if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity.
NONE				

FOR SANDEEP DUBEY & ASSOCIATES
PRACTICING COMPANY SECRETARIES



CS SANDEEP DUBEY

PARTNER

M. NO. 47940.

CP NO.17902

UDIN: L28910MH1971PLC015119

Place: Mumbai

Date: 12.04.2023