

Vaswani Industries Limited

POWER • SPONGE IRON • STEEL • CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB

VIL/SECRETARIAL/2021-22

NSE Symbol: VASWANI

14th January, 2022

BY LISTING PORTAL

National Stock Exchange of India Ltd, Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051

Bombay Stock Exchange Ltd., Market Operations Dept. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001

BSE Script Code: 533576

Dear Sir(s)

Sub: Certificate under Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

With reference to captioned subject, please find attached herewith a copy of Certificate under Regulation 74(5) of SEBI (Depository and Participants) Regulations, 2018 received from Link Intime India Pvt Ltd., RTA bearing Registration Number INR000004058 Registrar and Share Transfer Agents of the Company for quarter ended December 31, 2021.

We request you to kindly take the same on record.

Yours faithfully,

For Vaswani Industries Limited

gom Director

(Yashwant Vaswani) Wholetime Director

DIN: 01627408

Enclosed as stated above



Link Intime India Pvt. Ltd. CIN: U67190MH1999PTC118368

C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400 083.

Tel.: +91 22 4918 6000 Fax: +91 22 4918 6060

E-mail: mumbai@linkintime.co.in Website: www.linkintime.co.in

Date: 04.01.2022

Vaswani Industries Limited Bahesar Road, Near Cycle Park Vill - Sondra Phase-II, Industrial Area Siltara Raipur (C.G.) Raipur-493221 Chhattisgarh

<u>Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India</u> (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 in view of the Covid-19 situation had provided relaxation in adherence to the prescribed timelines which included the processing of the demat requests by the Issuer Company/RTA. The relaxation was provided up to July 31, 2021. We have been informed that in view of the surge in volumes in Demat Requests, Update Requests, Investor correspondence etc. from July 2021 onwards, Registrars Association of India (RAIN) has requested SEBI for doubling the timelines for all activities with prescribed time limits for a limited period up to 31st March 2022. RAIN is yet to receive confirmation to the request from SEBI. We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

Note: - There were no requests received from the shareholders for the quarter ended 31st December 2021. This certificate is issued on the request of the company for compliance purpose.

Thanking You,

Yours faithfully, For Link Intime India Pvt. Ltd

Balaji Sharma

Vice President - Corporate Registry