

July 29, 2020

To  
BSE Limited  
Department of Corporate Services,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400001.

**BSE Scrip Code: 533014**

To  
National Stock Exchange of India Limited  
Listing Department,  
Exchange Plaza, Bandra Kurla Complex,  
Bandra [E],  
Mumbai 400 051.

**NSE Scrip Code : SICAGEN**

Dear Sir/Madam,

**Sub: Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March, 2020**


Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 along with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, we enclose herewith the Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March, 2020, issued by Mr. R Kannan, Practicing Company Secretary.

Kindly take the same on record.

Thanking You,

Yours Sincerely,

For Sicagen India Limited

A handwritten signature in blue ink, appearing to read "R Srikrishna".

**R Srikrishna**  
Company Secretary & Compliance Officer

Encl: a/a

**Sicagen India Ltd.**

Registered & Corporate Office :  
4th Floor, Spic House, 88, Mount Road, Guindy, Chennai-600 032, INDIA  
Tel : +91 44 4075 4075 | Fax : +91 44 4075 4999 | info@sicagen.com  
CIN : L74900TN2004PLC053467 | [www.sicagen.com](http://www.sicagen.com)

Secretarial Compliance report of Sicagen India Limited for the year ended 31<sup>st</sup> March, 2020

I, R. Kannan, Practicing Company Secretary have examined:

all the documents and records made available to us and explanation provided by **Sicagen India Limited** ("the listed entity"), the filings/submissions made by the listed entity to the stock exchanges, website of the listed entity, any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the period ended 31<sup>st</sup> March, 2020 ("Review Period") in respect of compliance with the provisions of:

the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:


1. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
2. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
3. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
4. Securities and Exchange Board of India (Registrars to an Issue and Share transfer Agents) Regulations, 1993;
5. Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

And circulars/ guidelines issued thereunder;

And based on the above examination, I hereby report that, during the Review Period:

The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Filing of Shareholding pattern under Regulation 31 of SEBI (LODR) Regulations, 2015 within 21 days from last date of the quarter ended 30 June 2019.	Company filed its shareholding pattern beyond 21 days.	The Company has filed the shareholding pattern beyond 21 days.

The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.

The following are the details of actions taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/ guidelines issued thereunder:

The listed entity has taken the following actions to comply with the observations made in previous

Sr. No	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
1	BSE Limited	Non-submission of Shareholding Pattern for the quarter ended 30 June 2019 within due date	Fine of Rs.68,440/- has been paid by the Company including GST @18%.	The Company has submitted the shareholding pattern for the quarter ended 30 June 2019 the Stock Exchanges on 20.08.2019 and the delay in submission was taken note of by the Board of Directors at their meeting held on 13 <sup>th</sup> Nov 2019.
2	NSE	-do-	Fine of Rs.68,440/- has been paid by the Company including GST @18%.	-do-

reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2020	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the action taken by the listed entity
Not Applicable				

Place: Chennai  
Date: 12-06-2020



*[Signature]*  
R.KANNAN  
PRACTISING COMPANY SECRETARY  
CP. No. 3363 / FCS. No. 6718  
UDIN No. - F006718B000338126