



Novartis India Limited
Registered Office:
Inspire BKC
Part of 601 & 701
Bandra Kurla Complex
Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com
CIN No. L24200MH1947PLC006104
Website: www.novartis.in

April 19, 2023

To,
The Secretary
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Scrip Code - 500672

Dear Sir/ Madam,

Sub.: Compliance Certificate pursuant to Regulations 40(9) & (10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI Listing Regulations'), for the financial year ended on March 31, 2023

With reference to the Regulation 40(10) of the SEBI Listing Regulations, please find enclosed herewith a Certificate from Saraf & Associates, Company Secretaries, issued pursuant to Regulation 40(9) of the SEBI Listing Regulations, for the financial year ended on March 31, 2023.

This is for your information and record.

Thanking you.

Yours sincerely,

For Novartis India Limited

 Digitally signed by Malpani Nikhil
DN: dc=com, dc=novartis, ou=people,
ou=GR, serialNumber=3079258,
cn=Malpani Nikhil
Date: 2023.04.19 16:12:37 +05'30'

Nikhil Malpani
Company Secretary and Compliance Officer

Encl.: as above

SARAF & ASSOCIATES

COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax_saraf@hotmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE YEAR ENDED 31st MARCH, 2023.

On the basis of examination of the relevant documents relating to **NOVARTIS INDIA LIMITED** maintained and provided electronically by **LINK INTIME INDIA PVT. LTD**, the Registrar and Share Transfer Agent of the Company relating to their equity shares for the period beginning from **1st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on our examination as well as the information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during year ended on **31st March, 2023**.

- a) The Company has delivered letters of confirmation wherever required in respect of request received during the period from 1st April, 2022 to 31st March, 2023 for sub division and duplicate within thirty days from respective date of lodgment.
- b) Has not received any request for transfer, consolidation, renewal, exchange, or endorsement of calls/ allotment monies.

Place : Mumbai

Date : 19.04.2023

UDIN : F001596E000142759

For SARAF & ASSOCIATES

KAMALAX
GANAPAYYA
SARAF

Digitally signed by KAMALAX
GANAPAYYA SARAF
Date: 2023.04.19 15:51:46
+05'30'

K.G. SARAF

Practising Company Secretary

FCS: 1596 CP: 642

FRN. S1988MH004800

PR. 1003/2020