

 Phone
 : +91.20.61356135 / 67022000

 Fax
 : +91.20.67022100 / 67022200

 E-mail
 : pune@suzlon.com

 URL
 : www.suzlon.com

4th May 2020.

National Stock Exchange of India Limited, "Exchange Plaza", Bandra-Kurla Complex, Bandra (East), Mumbai-400051. **BSE Limited,** P.J. Towers, Dalal Street, Mumbai-400001.

Dear Sirs,

Sub.: <u>Compliance in terms of SEBI (Listing Obligations and Disclosure Requirements)</u> <u>Regulations, 2015, as amended (the "Listing Regulations") for the quarter / year ended</u> <u>March 2020.</u>

Enclosed please find the following in terms of the Listing Regulations for the quarter / year ended March 2020:

- 1. Certificate under Regulation 7(3) of the Listing Regulations for the half year ended March 2020, certifying that all activities in relation to both physical and electronic share transfer facility are maintained by KFin Technologies Private Limited (formerly Karvy Fintech Private Limited) (SEBI Registration No.INR000000221), the Registrar and Share Transfer Agent of the Company;
- 2. Certificate from Practising Company Secretary relating to audit under Regulation 76(1) of SEBI (Depositories and Participants) Regulations, 2018;
- 3. Certificate from Practising Company Secretary under Regulation 40(9) of the Listing Regulations; and
- 4. A statement of investors' complaints under Regulation 13(3) of the Listing Regulations;

Hope you would find the same in order.

Thanking you,

Yours faithfully, For Suzlon Energy Limited

G. S. Vaidya

Geetanjali S.Vaidya, Company Secretary.

Encl.: As above.

Corporate Identification Number (CIN) L40100GJ1995PLC025447

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH, 2020.

I have examined all share transfer deeds, Memorandum of Transfers (MOT's), Registers, files and other documents relating to SUZLON ENERGY LIMITED, maintained by the Registrars KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) at Hyderabad, pertaining to transfer of Equity Shares of the Company for the period from 1st October, 2019 to 31st March, 2020 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that the Company during half year ended on 31st March, 2020 has not received:

- (a) any requests for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period from 1st October, 2019 to 31st March, 2020.
- (b) any requests for issue of duplicate share certificates.

For DSMR & Associates Company Secretaries C P No V4838 SMRam

Proprietor C. P. No. 4239 M. No. 14939 UDIN: A014939B000197737

Place: Hyderabad Date: 04th May, 2020