



एनएचपीसी लिमिटेड
(भारत सरकार का उद्यम)

NHPC Limited
(A Government of India Enterprise)

फोन/Phone : _____

संदर्भ सं./Ref. No. NH/CS/199 (Insider Trading)/

दिनांक/Date : 10.12.2021

Manager, Listing Department, M/s BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400001 मैनेजर, लिस्टिंग विभाग, बीएसई लिमिटेड पि.जे .टावर्स,दलाल स्ट्रीट, मुंबई- 400 001 Scrip Code: 533098	General Manager, Listing Department M/s National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra(E), Mumbai- 400051 महा प्रबंधक, लिस्टिंग विभाग, नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड एक्सचेंज प्लाजा, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (ई), मुंबई - 400 051 Scrip Code: NHPC
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ISIN No. INE848E01016

Sub: Inadvertent trade in equity shares of NHPC by designated persons, during Trading Window closure period

विषय: ट्रेडिंग विंडो बंद होने की अवधि के दौरान नामित व्यक्तियों द्वारा एनएचपीसी के इक्विटी शेयरों में अनजाने में व्यापार करने के संदर्भ में

Sirs/महोदय,

This has reference to our letter dated September 28, 2021 and November 2, 2021 inter-alia, intimating about closure of trading window for dealing in the securities of NHPC Limited from September 30, 2021 to November 13, 2021; in line with the SEBI (Prohibition of Insider Trading Regulations), 2015 [SEBI PIT Regulations] and NHPC Code of Conduct to Regulate, Monitor & Report trading by Insiders ('Code').

In this regard, it is to inform that following designated persons had inadvertently traded during the period of trading window closure and penalty of amount mentioned against their name have been imposed:

S. No	Name & Designation	Amount of penalty
1.	Shri Yadavalli Srinivas, General Manager (Research), RO Siliguri	Rs. 19,560/-
2.	Shri Sunil Jain, General Manager (Civil), NHDC Limited	Rs. 12,165/-
3.	Shri Subhash Pagare, General Manager (Civil), NHDC Limited	Rs. 2,690/-
4.	Shri Indrajit Boral, Ex-General Manager (HR), NHPC Limited	Rs. 27,500/-
TOTAL		Rs. 61,915/-

In this regard, the disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated July 23, 2020 is enclosed herewith as **Annexure-I**.

This is for your information and record.

धन्यवाद,

भवदीय

(रूपा देब)

कंपनी सचिव

पंजीकृत कार्यालय : एन एच पी सी ऑफिस कॉम्प्लेक्स, सेक्टर-33, फरीदाबाद - 121 003, हरियाणा

Regd. Office : NHPC Office Complex, Sector-33, Faridabad - 121 003, Haryana

CIN : L40101HR1975GOI032564; Website : www.nhpcindia.com

E-mail : webmaster@nhpc.nic.in; EPABX No. : 0129-2588110/2588500

बिजली से संबंधित शिकायतों के लिए 1912 डायल करें। Dial 1912 for Complaints on Electricity

Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details			
1.	Name of listed company	NHPC Limited			
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company			
3.	A. Details of Designated Person (DP)				
	i. Name of DP	Shri Yadavalli Srinivas	Shri Sunil Jain	Shri Subhash Pagare	Shri Indrajit Boral
	ii. PAN of DP	AADPY9101D	ACTPJ9816C	AFTPP3855Q	AFPPB5039N
	iii. Designation of DP	General Manager (Research), NHPC Limited	General Manager (Civil), NHDC Limited	General Manager (Civil), NHDC Limited	Ex-General Manager (HR), NHPC Limited
	iv. Function Role of DP	Quality Control Activities	Omkareshwar Power Station	Looking after O&M Division, Corporate Office, NHDC Limited, Bhopal	Retired
	v. Whether DP is Promoter or belongs to Promoter Group	NO			
	B. If reporting is for immediate relative of DP				
	i. Name of immediate relative	N. A.			
	ii. PAN of immediate relative	N. A.			

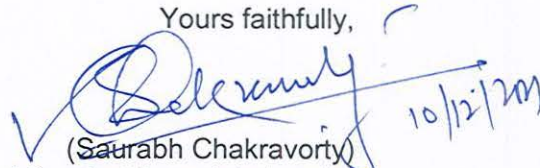
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C. Details of transaction(s)					
i. Name of the Scrip		NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)			
ii. No. of Shares traded & value (Rs.) (Date-wise)		Shri Yadavalli Srinivas 1. Date: 21.10.2021 No. of shares: sold 4000 shares Value: Rs.1,39,773.25	Shri Sunil Jain 1. Date: 07.10.2021 No. of shares: Sold 2500 shares Value: Rs. 76,500/- 2. Date: 18.10.2021 No. of shares: Sold 2600 shares Value: Rs. 88,920/-	Shri Subhash Pagare 1. Date: 07.10.2021 No. of shares: Sold 725 shares Value: Rs. 22,076.25 2. Date: 22.10.2021 No. of shares: Sold 1000 shares Value: Rs. 32,450/-	Shri Indrajit Boral 1. Date: 18.10.2021 No. of shares: Sold 5000 shares Value: Rs. 1,77,750/-
D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter					
i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015		Not Applicable.			
ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015					
4.	Details of violations observed under Code of Conduct	Trading in NHPC shares by above mentioned DPs during the period of closure of Trading window.			
5.	Action taken by NHPC Limited	Penalty as follows has been imposed on above DPs based on difference between selling price and average of minimum price as on 29.09.2021 (trading day before closure of trading window) & 15.11.2021 (trading day after opening of trading window):			
		Shri Yadavalli Srinivas Rs. 19,560/-	Shri Sunil Jain Rs. 12,165/-	Shri Subhash Pagare Rs. 2,690/-	Shri Indrajit Boral Rs. 27,500/-

10/12/2021

6.	Reasons recorded in writing for taking action stated above	The above DPs have inadvertently traded in NHPC shares during the closure of trading window without any intention of reaping abnormal gains. As DPs have violated the provisions of the NHPC Insider Trading Code for the first time, therefore, penalty as above has been imposed with the direction to remain vigilant in future while trading in NHPC shares and to comply with the Code of Conduct in true letter & spirit.
7.	Details of the previous instances of violations, if any, since last financial year	No violations reported.
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Details will be intimated separately.
	ii. Details of transfer / payment	

Date: 10.12.2021
Place: Faridabad, Haryana

Yours faithfully,

 (Saurabh Chakravorty) 10/12/2021
 Compliance Officer under NHPC Code of Conduct formulated under
 SEBI (Prohibition of Insider Trading) Regulations, 2015
 PAN: ARRPC1750H
 Email ID: schakravorty@nhpc.nic.in