

NWML/SEC/2025/8

April 19, 2024

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai - 400 001.
BSE Scrip Code: 543988

The Manager,
Listing Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai - 400 051.
NSE Symbol: NUVAMA

Subject: - Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir(s) / Madam(s),

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed certificate obtained from M/s. Mitesh J. Shah & Associates Practicing Company Secretary for the financial year ended March 31, 2024.

Kindly take the same on record.

Thanking you,

Yours faithfully,

**For Nuvama Wealth Management Limited
(formerly known as Edelweiss Securities Limited)**

**Sneha Patwardhan
Company Secretary and Compliance Officer**

Encl: as above

To

Nuvama Wealth Management Limited

801 to 804, Wing A, Building No 3,
Inspire BKC, G Block BKC,
Bandra (East), Mumbai 400 051.

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024

I have examined the share inward registers, files and all other relevant documents of **M/s. Nuvama Wealth Management Limited** ("the Company") (CIN: **L67110MH1993PLC344634**), having its registered office at 801 to 804, Wing A, Building No 3, Inspire BKC, G Block BKC, Bandra (East), Mumbai – 400 051, maintained by M/s. Link Intime India Private Limited situated at C - 101, 247 Park, L.B.S. Marg, Surya Nagar, Gandhi Nagar, Vikhroli(West), Mumbai – 400 083, pertaining to transfer and transmission of the equity shares of the Company during the year ended March 31, 2024, for the purpose of issuing a Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information and explanation provided by the Company, I hereby certify that during the financial year ended March 31, 2024:

- 1) The Company did not receive any requests for deletion of name; and.
- 2) The Company did not receive any request for transfer/transmission/sub-division/consolidation/duplicate/split/renewal/exchange or endorsement of calls/allotment monies and was not required to issue any share certificates.

For Mitesh J. Shah & Associates
(Company Secretaries)



Mitesh J. Shah
Proprietor

FCS No.: 10070

C.P. No. 12891

UDIN No.: F010070F000124547

Peer Review Certificate No.: 1730/2022



Date: April 15, 2024

Place: Mumbai