

# APEX CAPITAL AND FINANCE LIMITED

(Formerly Apex Home Finance Limited)



14<sup>th</sup> October, 2024

To

Head - Listing Compliance  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai-400001

Name of Scrip: Apex Capital and Finance Limited  
Scrip Code: 541133

**Subject: Confirmation Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended on 30<sup>th</sup> September, 2024**

Dear Sir,

We are enclosing herewith the Confirmation Certificate dated 04<sup>th</sup> October, 2024, issued by Skyline Financial Services Private Limited, Registrar and Transfer Agent (RTA) of the Company confirming the compliance under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended on 30<sup>th</sup> September, 2024.

You are requested to take the above information on record.

Thanking you.

Yours faithfully,

For Apex Capital and Finance Limited

PHUL JHA

Digitally signed by PHUL JHA  
DN: cn=PHUL JHA, c=IN, st=Uttar Pradesh,  
o=Personal  
serialNumber=a03a3ae979f887664d10866316752f  
8612ac803711594991906648051965f  
Date: 2024.10.14 12:35:30 +05'30'

(Phul Jha)

Company Secretary & Compliance Officer

ICSI M. No.: 20850

Encl: as above

Dated: October 04, 2024

Ref: SFSPL/RTA/ September/2024

To,  
The Company Secretary  
APEX CAPITAL AND FINANCE LIMITED  
L-3 GREEN PARK EXTENSION NEW DELHI 110016

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

Dear Sir,

In accordance with Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the Quarter ended **30th September, 2024**, w.r.t. physical share certificates received for dematerialization of securities from the depository participant (Equity Shares) of the Company, " **APEX CAPITAL AND FINANCE LIMITED** " CIN: L65910DL1985PLC021241. We hereby confirm and certify the following:

- a) The securities comprised in the said certificate(s) have been listed on the Stock Exchange where the earlier issued securities are listed:

and

- b) The said certificate(s) after due verification have been mutilated and cancelled and the name of depository has been substituted in our records as registered owner within 15 days.

This is for you information and records.

Thanking you,

For Skyline Financial Services Private Limited

Parveen Sharma  
Authorised Signatory



04/10/2024

**APEX CAPITAL AND FINANCE LIMITED**

L-3  
GREEN PARK EXTENSION  
NEW DELHI 110016

Dear Sir/Madam,

Pursuant to SEBI Circular D& CC/FITTC/CIR-16/2002 dated 31st December, 2002, We hereby confirm as under as on 30/09/2024.

1. That in terms of para (1) the detail of shareholding is as follows:

Sr. No.	Particulars.	No. of Records.	Shares.
1	PHYSICAL	58	718236
2	NSDL	34	823406
3	CDSL	17	4378336
	<b>Total</b>	<b>109</b>	<b>5919978</b>

2. In terms of para(2) and para(3) of the Circular that all the Transfers, Transmission(s), Transposition(s), Demat(s), Remat(s), Duplicate(s), Split, Consolidation and Sub-division have been entered into the register of members as on 30/09/2024. The summary of requests received during the period is given below:

Sr. No	Transaction Type.	No. of Requests Received.	No. of Shares Received	No. of Requests Rejected	No. of Shares Rejected	No. of Requests Accepted	No. of Shares Accepted
1	NSDL Demat	1	8460	-	-	1	8460
2	CDSL Demat	1	8820	-	-	1	8820

This is for your information and record.

Thanking you.

For Skyline Financial Services Private Limited

  
Parveen Sharma  
(Authorised Signatory)



04/10/2024

**APEX CAPITAL AND FINANCE LIMITED**

L-3,  
GREEN PARK EXTENSION,  
NEW DELHI 110016

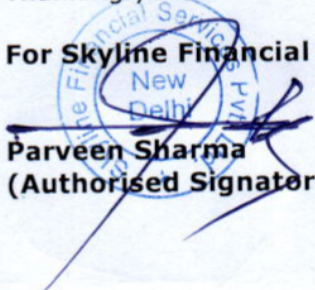
Dear Sir/Madam,

The demat Status Report of Apex Capital And Finance Limited is as given below:

Particulars	Holding As on 30/06/2024	Holding As on 30/09/2024	Change in Total
NSDL	814946	823406	
CDSL	4369516	4378336	
<b>TOTAL</b>	<b>5184462</b>	<b>5201742</b>	<b>17280</b>

Thanking you.

For Skyline Financial Services Private Limited

  
Parveen Sharma  
(Authorised Signatory)

**APEX CAPITAL AND FINANCE LIMITED ISIN: INE758W01019 LIST OF DEMAT/REMAT/REJECTION(CDSL) FROM: 01/07/2024 TO 30/09/2024**

S.NO.	CLIENT ID	DRN/RRN	NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REL.	QTY ACP.	CONF DATE	DAYS	RTA REF
1	1208770000011120	11258556	USHA SOLANKI,,	30/07/2024	8820	29/07/2024	0	8820	30/07/2024	1	9

SUMMARY											
	QUANTITY DOWNLOA DED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS.	
DEMAT	8820	1	0	0	8820	1	0	0	8820	1	
REMAT	0	0	0	0	0	0	0	0	0	0	
Total	8820	1	0	0	8820	1	0	0	8820	1	



**APEX CAPITAL AND FINANCE LIMITED ISIN: INE758W01019 LIST OF DEMAT/REMAT/REJECTION(NSDL) FROM: 01/07/2024 TO 30/09/2024**

S.NO.	CLIENT ID	D/R	DRN/RRN	NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REJ.	QTY ACP.	CONF DATE	DAYS	RTA REF
1	IN300940-10398879	D	100000000008662	ANKIT SANGWAN,,	19/07/2024	8460	18/07/2024	0	8460	19/07/2024	1	8

SUMMARY											
	QUANTITY DOWNLOADED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS.					
DEMAT	8460	1	0	0	8460	1					
REMAT	0	0	0	0	0	0					
Total	8460	1	0	0	8460	1					

