



Ref: SSFL/Stock Exchange/2021-22/001

Date: April 06, 2021

To
BSE Limited,
Department of Corporate Services
P. J. Towers, 25th Floor,
Dalal Street,
Mumbai - 400001

To
National Stock Exchange of India Limited,
Listing Department
Exchange Plaza, C-1, Block G
BandraKurla Complex, Bandra (E)
Mumbai - 400051

Scrip Code:542759

Symbol: SPANDANA

Sub: Submission of Compliance Certificate under Regulation 7(3) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

Dear Sir/Madam,

In compliance of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith compliance certificate for the half year ended March 31, 2021.

We request you to kindly take the above on record.

Thanking you.

For Spandana Sphoorty Financial Limited

Ramesh Periasamy
Company Secretary & Compliance Officer

Encl: as above



CERTIFICATE

This is to certify that, Spandana Sphoorty Financial Limited ("the Company") has appointed KFIN TECHNOLOGIES PRIVATE LIMITED ("KFIN") as the Share Transfer Agent of the Company for providing Registry and Share Transfer services to the Company.

Further certify that:

1. All activities in relation to both physical and electronic shares and debentures transfer facility are being maintained by KFIN;
2. KFIN holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vides SEBI Registration No. INR000000221 issued by Securities Exchange Board of India ("SEBI").

This certificate is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Compliance certificates issued by KFIN dated April 06, 2021 are enclosed.

For Spandana Sphoorty Financial Limited

Ramesh Periasamy
Company Secretary & Compliance Officer

Date: April 06, 2021

Place: Hyderabad

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to SPANDANA SPOORTY FINANCIAL LTD and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).

b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.

c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI(Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES PRIVATE LIMITED



C SHOBHA ANAND
Dy. Gen. Manager

Place : Hyderabad
Date :06/04/2021

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Ref: R/KFPL/SPL/LODR/MAR-21

Date: 06th Apr 2021

The Secretary
National Stock Exchange
Debt Listing Department
Mumbai

Subject: Compliance Certificate pursuant to regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the Half year ended March 31 2021

Dear Sir(s),

SPANDANA SPHOORTY FINANCIAL LIMITED has appointed KFin Technologies Private Limited (formerly Karvy Fintech Private Limited), having Registration No. INR000000221 as Registrar & Share Transfer Agents for handling electronic connectivity of Debentures.

Pursuant to regulation 7(3) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that with effect from October 01 2020, all the activities in relation to the electronic facilities of Debentures for SPANDANA SPHOORTY FINANCIAL LIMITED are maintained by KFin Technologies Private Limited (having CIN U72400TG2017PTC117649) having its registered office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032.

Regulation 7(2) of SEBI (LODR) Regulations, 2015: “The listed entity shall ensure that all activities in relation to both physical and electronic share transfer facility are maintained either in house or by Registrar to an issue and share transfer agent registered with the Board.”

There are no Physical NCDs issued and outstanding for KFin Technologies Private Limited.

Request you to kindly take the same on your record.

Thanking you,
Yours Faithfully,

For KFin Technologies Private Limited



Hanumantha Rao Patri
Senior Manager

Place: Hyderabad
Date: 06-Apr-2021

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649

Ref: R/KFPL/SPL/LODR/MAR-21

Date: 06th Apr 2021

The Secretary
Bse Limited
P J Towers
Dalal Street
Mumbai – 400 001

Subject: Compliance Certificate pursuant to regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the Half year ended March 31 2021

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