

**RICHFIELD FINANCIAL SERVICES LTD**

2B, Grant Lane, 2nd Floor, Kolkata Kolkata West Bengal 700012

CIN: L65999WB1992PLC055224

E-mail : [rfsl.nbfc@gmail.com](mailto:rfsl.nbfc@gmail.com), Website: [www.rfsl.co.in](http://www.rfsl.co.in)

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26-04-2023

**To,**  
**Department of Corporate Services,**  
**BSE Limited,**  
**Phiroze Jeejeebhoy Towers,**  
**Dalal Street,**  
**Mumbai-400 001.**

Dear Sir/Madam

**Scrip Code: BSE: 539435**

**Sub: Regulation 40 (9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 (Transfer Compliance Certificate issued by a Practicing Company Secretary)**

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, we are sending herewith the certificate received from a Practicing Company Secretary for the year ended 31<sup>st</sup> March, 2023.

This is your information and records.

Thanking You,

Yours faithfully,

For **RICHFIELD FINANCIAL SERVICES LIMITED**

**VADASSERIL CHACKO GEORGEKUTTY**  
**Managing Director**  
**DIN: 09194854**

24<sup>th</sup> April 2023

## CERTIFICATE

*In terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI (LODR))*

We have examined the relevant Books, Registers and Records relating to the transfer of securities of **M/s. Richfield Financial Services Limited** as maintained and made available by **M/s. Niche Technologies Pvt Limited** the Registrars and Share Transfer Agents (RTA) of the Company for the period April 1, 2022 to March 31, 2023 for the purpose of rendering certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and the basis of such examination and on the basis of information provided to us by the RTA and to the best of our knowledge we hereby certify that:

“No share certificate was lodged in physical form for transfer or otherwise with the Company during the period.”

Date: 24-04-2023  
Place: Chennai

For Lakshmmi Subramanian & Associates  
Practising Company Secretaries



Lakshmmi Subramanian

C.P No: 1087

UDIN: F003534E000176071

Peer Review Certificate No. 1670/2022