

**ESTER****INDUSTRIES LTD.**

CIN : L24111UR1985PLC015063

Date: 11<sup>th</sup> April, 2024

<b>BSE Limited</b> Department of Corporate Services Phirojee Jeejeebhoy Towers Dalal Street, Mumbai – 400023	<b>National Stock Exchange of India Limited</b> Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400051
<b>Scrip Code: 500136</b>	<b>Symbol: ESTER</b>

Dear Sir/Ma'am,

**Subject: Certificate in compliance with Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, ("Listing Regulations"), please find enclosed herewith a Certificate issued under Regulation 40(9) of the Listing Regulations, by RSM & Co., Practicing Company Secretaries for the year ended on 31<sup>st</sup> March 2024.

Kindly take the same on your record

Thanking You,

Yours Faithfully,

For Ester Industries Limited

**Poornima Gupta****Company Secretary & Compliance Officer****Encl: As above****Ester Industries Limited**

Corporate Office : Block-A, Plot No. 11, Infocity-I, Sector-34, Gurgaon - 122001 Haryana, India  
Phone : +91-124-2656100, 4572100 Fax : +91-124-4572199, 2656199 E-Mail : info@ester.in Website : www.esterindustries.com

Regd. Office & Works : Sohan Nagar, P. O. Charubeta, Khatima - 262308 Distt. Udham Singh Nagar, Uttarakhand  
Phone : EPABX No. (05943) 250153-57 Fax No.: (05943) 250158

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024**

On the basis of examination of relevant files, records, Registers and other documents relating to Ester Industries Limited ("the Company") having registered office at Sohan Nagar, P.O. Charubeta, Khatima Distt. Udham Singh Nagar, Uttarakhand-262308 maintained by their Registrar and Share Transfer Agent Mas Services Limited ("RTA") having its office at T-34, 2<sup>nd</sup> Floor, Okhla Industrial Area Phase – II, New Delhi 110 020 pertaining to transfer of equity shares of the Company for the period commencing from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information and explanations provided to us by the Company/ Registrar and Share Transfer Agent electronically, we do hereby certify that:

- A) There was no request received and processed for shares transfer during the Audit period.
- B) Letter of confirmation relating to Transmission of shares received during the period under review from 1<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024 has been processed within 30 days from the respective date of lodgment.
- C) The Company has issued Shares Certificates/ 'Letter of Confirmation' in lieu of physical share certificates in respect of requests received during the period under review from 1<sup>st</sup> April 2023 to 31<sup>st</sup> March, 2024 for issue of duplicate certificate (s) within thirty days from respective date of lodgment, provided all the required documents were in order. However, there were no requests for sub-division, consolidation, renewal, exchange of shares certificates and endorsement of call/allotment monies received by the company during the period under review.



For R S M & Co.  
Company Secretaries

**RAVI SHARMA**

C.P. No. 3666

UDIN: F004468F000091577

Peer Review No 078/2020

Place: New Delhi

Date: 11.04.2024