

April 21, 2021

BSE Limited
Department of Corporate Services
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort,
Mumbai 400001

National Stock Exchange of India Limited Exchange Plaza Plot No.C-1, G Block Bandra-Kurla Complex Bandra (East) Mumbai – 400 051

Security Code: 523405 Symbol: JMFINANCIL

Dear Sirs,

Re: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended March 31, 2021.

We are enclosing the certificate of compliance under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 as received by us from our Registrar and Share Transfer Agents, viz., KFin Technologies Private Limited, for the quarter ended March 31, 2021.

Kindly acknowledge receipt of the above Certificate.

Thank you.

Yours faithfully, for JM Financial Limited

**Prashant Choksi** 

Group Head – Compliance, Legal & Company Secretary

Encl. As above.



Ref : KFPL/TBS/74(5)E/L Date : April 15, 2021

To,

Bombay Stock Exchange Limited Phi rozeJeejeebhoy Towers,

Dalal Street, Mumbai- 400001

BSE Scrip Code: 523405

To,

**National Securities Depository Limited** 

Trade World, 4th Floor Kamala Mills Compound Senapati Bapat Marg, Lower Parel Parel (East) Mumbai – 400 013

Dear Sir(s)

To.

National Stock Exchange of India Ltd.

Exchange Plaza, C-1 Block G, Bandra-Kurla Complex, Bandra (E) Mumbai - 400 051

**NSE Scrip Code: JMFINANCIL** 

To,

Central Depository Services (India) Ltd

Regd Off : Marathon Futurex A wing 25th Floor, NM Joshi Marg, Lower

Mumbai - 400 023

Sub: Certificate under Regulation 74 (5) for the Period 1st January 2021 to 31st March, 2021

We as Registrars, Share Transfer and Depository Services agents of the **JM FINANCIAL LTD**, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

We hereby also confirm that the security certificates received for dematerialization have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully, For **KFin Technologies Private Limited** 

Sunil Ponugoti Deputy Manager

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")