



**TRC Financial Services Limited**

CIN : L74899MH1994PLC216417

21<sup>st</sup> October, 2019

To,  
Listing Department  
BSE Limited  
PhirozeJeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir / Madam,

**SUB: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2019.**

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed herewith Certificate issued by Practicing Company Secretary for the period starting from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September 2019.

Kindly take the above on your record.

Thanking You,  
Yours faithfully  
For TRC Financial Services Limited

**Giddaiah Koteswar**  
Whole - Time Director  
DIN: 07121503

**CERTIFICATE UNDER REGULATION 40 (9) of SEBI (LODR) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

I have examined all Registers, files and other documents relating to TRC Financial Services Limited, bearing (CIN: L74899MH1994PLC216417) (the Company) maintained by M/s. Purva Sharegistry (India) Private Limited, pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during half year ended 30<sup>th</sup> September, 2019:

- A) Share certificate(s) relating to the share transfer deed(s) received pursuant to SEBI Circular no: SEBI/HO/MIRSD/DOS3/CIR/P/2018/139/2018 dated 6<sup>th</sup> November, 2018 as entered in the memorandum of transfers have been issued within one month from the date of lodgement of each deed except those rejected on technical grounds..
- B) Duplicate Share Certificates relating to requests received has been issued within one month from the date of lodgement. Further, the Company has not received any request for transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.
- C) Any other information: Nil



Signature:

Name: Priti J. Sheth & Associates  
Company Secretaries

C. P. No: 5518

FCS No: 6833

Date: 21<sup>st</sup> October, 2019

Place: Mumbai