



# LIBORD FINANCE LIMITED

104, M. K. Bhavan, 300, Shahid Bhagat Singh Road, Fort, Mumbai - 400 001.

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CIN No.: L65990MH1994PLC077482

To  
Department of Corporate Services  
BSE Ltd.  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai – 400023  
Maharashtra

Date: 25.05.2019

Dear Sir,

**Sub: Annual Secretarial Compliance Report of Libord Finance Limited for the year ended 31<sup>st</sup> March, 2019**

**Ref: Scrip Code No. 511593**

In pursuance to Regulation 24(A) of the Securities Exchange Board of India (LODR) Regulations, 2015, please find enclosed the Annual Secretarial Compliance Report of Libord Finance Limited dated 22<sup>nd</sup> May, 2019 duly issued by M/s Kamlesh Jain & Associates, Company Secretaries for the year ended 31<sup>st</sup> March, 2019.

This is for your kind information and necessary records.

Thanking you

Yours Faithfully,  
For **Libord Finance Limited**

**Dr. (Mrs.) Vandna Dangi**  
**Managing Director**

Encl: As Above



**SECRETARIAL COMPLIANCE REPORT OF LIBORD FINANCE LIMITED FOR THE YEAR  
ENDED 31<sup>ST</sup> MARCH, 2019**

We, Kamlesh Jain & Associates, have examined:

- a) all the documents and records made available to us and explanation provided by Libord Finance Limited ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2019 ("Review Period") in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;



- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

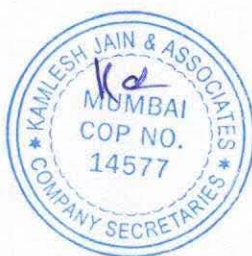
- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
			NIL

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc	Observations/ Remarks of the Practicing Company Secretary, if any
Not Applicable				

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:



Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not applicable				

**For Kamlesh Jain & Associates  
Company Secretaries**

*Kamlesh Jain*

Kamlesh Jain  
Proprietor  
ACS-14068  
CP No.- 14577  
Place: Mumbai  
Date: 22<sup>nd</sup> May, 2019

