

# SW INVESTMENTS LIMITED

Regd. Office: 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057.

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Email Id: cosec@swlindia.com.Website: www.swlindia.com

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SWIL/BSE/25/20-21

Date: 11<sup>th</sup> January, 2021

To  
Corporate Relation Department  
BSE Limited  
P. J. Tower, Dalal Street,  
Mumbai – 400 001  
Scrip Code: 503659

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2020**

Dear Sir/Madam,

With reference to above, we are enclosing herewith the certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2020 received from Link Intime India Pvt. Ltd., the Registrar and Share Transfer Agent of the Company.

The copy of the same is enclosed herewith for your records.

Thanking You,

For SW Investments Limited

Sapna Patel  
Company Secretary



Date :- 04.01.2021

To,  
Company Secretary  
Sw Investments Limited  
Sunteck Centre, 5th Floor, 37-40, Subhash Road,  
Near United Ink Factory, Vile Parle - East,  
Mumbai, Maharashtra, 400057

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31<sup>st</sup> December, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide their Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020; SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020 had extended the time line/period of exclusion (March 23, 2020 to September 30, 2020) for processing the demat requests by the Issuer Company/RTA. We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the time lines mentioned in the aforesaid circulars.

Note:- There were no request received from the shareholders for the quarter ended 31<sup>st</sup> December, 2020. This certificate is issued on the request of the company for compliance purpose.

We request you to kindly take note of the above in your records.

Thanking You,

**Yours faithfully,  
For Link Intime India Pvt. Ltd**



**Balaji Sharma  
Vice President-Corporate Registry**