

March 28, 2022

<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 NSE Symbol: IIFLSEC</b>	<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 BSE Scrip Code: 542773</b>
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**Sub: Results of Postal Ballot by remote e-voting process.**

Dear Sir(s)/Madam(s),

In continuation to our letter dated February 22, 2022, titled 'Postal Ballot Notice' please find enclosed:

- i) E-voting results pursuant to Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation(s), 2015. The same is being uploaded in XBRL mode.
- ii) Report of Scrutinizer dated March 28, 2022.

The resolutions in the postal ballot notice have been deemed to be approved and passed on the last date specified for remote e-voting i.e. Friday, March 25, 2022.

The voting results along with the scrutinizer's report will also be made available on the Company's website.

Kindly take this on record and acknowledge receipt of this intimation.

Thanking You,

Yours faithfully,

For IIFL Securities Limited



**Meghal Shah**  
**Company Secretary**  
**Place: Mumbai**  
Email ID: [secretarial@iifl.com](mailto:secretarial@iifl.com)

**IIFL Securities Limited**

Corporate Identity Number: **L99999MH1996PLC132983**

**Regd. Office:** IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: [secretarial@iifl.com](mailto:secretarial@iifl.com) • Website: [www.iiflsecurities.com](http://www.iiflsecurities.com)

## IIFL Securities Limited

Resolution Required : (Ordinary)		1 - To approve material related party transactions with IIFL Finance Limited						
Whether promoter/ promoter group are interested in the agenda/resolution?		Yes						
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	95143214	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>
Public Institutions	E-Voting	60005600	57074574	95.1154	50957417	6117157	89.2822	10.7178
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>57074574</b>	<b>95.1154</b>	<b>50957417</b>	<b>6117157</b>	<b>89.2822</b>	<b>10.7178</b>
Public Non Institutions	E-Voting	148710654	18868250	12.6879	18853448	14802	99.9216	0.0784
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18868250</b>	<b>12.6879</b>	<b>18853448</b>	<b>14802</b>	<b>99.9216</b>	<b>0.0784</b>
<b>Total</b>		<b>303859468</b>	<b>75942824</b>	<b>24.9927</b>	<b>69810865</b>	<b>6131959</b>	<b>91.9256</b>	<b>8.0744</b>

## IIFL Securities Limited

Resolution Required : (Ordinary)			2 - To approve material related party transactions with IIFL Wealth Management Limited					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	95143214	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	60005600	57074574	95.1154	50957417	6117157	89.2822	10.7178
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>57074574</b>	<b>95.1154</b>	<b>50957417</b>	<b>6117157</b>	<b>89.2822</b>	<b>10.7178</b>
Public Non Institutions	E-Voting	148710654	18868249	12.6879	18853437	14812	99.9215	0.0785
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18868249</b>	<b>12.6879</b>	<b>18853437</b>	<b>14812</b>	<b>99.9215</b>	<b>0.0785</b>
<b>Total</b>		<b>303859468</b>	<b>75942823</b>	<b>24.9927</b>	<b>69810854</b>	<b>6131969</b>	<b>91.9255</b>	<b>8.0745</b>

## IIFL Securities Limited

Resolution Required : (Ordinary)			3 - To approve material related party transactions with IIFL Home Finance Limited					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	95143214	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	60005600	57074574	95.1154	50957417	6117157	89.2822	10.7178
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>57074574</b>	<b>95.1154</b>	<b>50957417</b>	<b>6117157</b>	<b>89.2822</b>	<b>10.7178</b>
Public Non Institutions	E-Voting	148710654	18867500	12.6874	18852679	14821	99.9214	0.0786
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18867500</b>	<b>12.6874</b>	<b>18852679</b>	<b>14821</b>	<b>99.9214</b>	<b>0.0786</b>
<b>Total</b>		<b>303859468</b>	<b>75942074</b>	<b>24.9925</b>	<b>69810096</b>	<b>6131978</b>	<b>91.9255</b>	<b>8.0745</b>

## IIFL Securities Limited

Resolution Required : (Ordinary)			4 - To approve material related party transactions with IIFL Wealth Prime Limited (Formerly "IIFL Wealth Finance Limited")					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	95143214	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	60005600	57074574	95.1154	50957417	6117157	89.2822	10.7178
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>57074574</b>	<b>95.1154</b>	<b>50957417</b>	<b>6117157</b>	<b>89.2822</b>	<b>10.7178</b>
Public Non Institutions	E-Voting	148710654	18868186	12.6879	18853387	14799	99.9216	0.0784
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18868186</b>	<b>12.6879</b>	<b>18853387</b>	<b>14799</b>	<b>99.9216</b>	<b>0.0784</b>
<b>Total</b>		<b>303859468</b>	<b>75942760</b>	<b>24.9927</b>	<b>69810804</b>	<b>6131956</b>	<b>91.9256</b>	<b>8.0744</b>

## IIFL Securities Limited

Resolution Required : (Ordinary)			5 - To approve material related party transactions between IIFL Facilities Services Limited, a wholly owned subsidiary company, with IIFL Finance Limited					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	95143214	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	60005600	57074574	95.1154	50957417	6117157	89.2822	10.7178
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>57074574</b>	<b>95.1154</b>	<b>50957417</b>	<b>6117157</b>	<b>89.2822</b>	<b>10.7178</b>
Public Non Institutions	E-Voting	148710654	18868349	12.6880	18853569	14780	99.9217	0.0783
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18868349</b>	<b>12.6880</b>	<b>18853569</b>	<b>14780</b>	<b>99.9217</b>	<b>0.0783</b>
<b>Total</b>		<b>303859468</b>	<b>75942923</b>	<b>24.9928</b>	<b>69810986</b>	<b>6131937</b>	<b>91.9256</b>	<b>8.0744</b>

## IIFL Securities Limited

Resolution Required : (Ordinary)			6 - To approve material related party transactions between IIFL Management Services Limited, a wholly owned subsidiary company, with IIFL Finance Limited					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	95143214	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	60005600	57074574	95.1154	50957417	6117157	89.2822	10.7178
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>57074574</b>	<b>95.1154</b>	<b>50957417</b>	<b>6117157</b>	<b>89.2822</b>	<b>10.7178</b>
Public Non Institutions	E-Voting	148710654	18868287	12.6879	18853507	14780	99.9217	0.0783
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18868287</b>	<b>12.6879</b>	<b>18853507</b>	<b>14780</b>	<b>99.9217</b>	<b>0.0783</b>
<b>Total</b>		<b>303859468</b>	<b>75942861</b>	<b>24.9928</b>	<b>69810924</b>	<b>6131937</b>	<b>91.9256</b>	<b>8.0744</b>



# P. NAITHANI & ASSOCIATES

## Company Secretaries

902, B Wing, Venus Tower, Veera Desai Road, Andheri (W), Mumbai - 400 053.

**Mobile:** +91 98204 00325 +91 8779458982 | **Email:** [cs@careerimpact.in](mailto:cs@careerimpact.in)

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### **SCRUTINIZER'S REPORT ON POSTAL BALLOT THROUGH REMOTE E-VOTING**

To,  
The Chairman  
**IIFL Securities Limited**  
IIFL House, Sun Infotech Park,  
Road No. Plot No.B-23, MIDC  
Thane Industrial Area, Wagle Estate  
Thane MH 400604 IN

Dear Sir,

#### **SUB: REPORT ON POSTAL BALLOT PROCESS THROUGH REMOTE E-VOTING CARRIED OUT DURING 24/02/2022 TO 25/03/2022**

I, Mr. Prasen Naithani, in the capacity of the Scrutinizer, furnish the scrutinizer report as under:

1. The Company engaged the services of Central Depository Services (India) Limited ("CDSL") (hereinafter referred to as the "Service Provider") to offer the e-voting facility to its shareholders. The e-voting facility was offered and kept open by the Company to its Shareholders for the period commencing on 24<sup>th</sup> February, 2022 (09.00 am) and ending on 25<sup>th</sup> March, 2022 (5.00 p.m.). The shareholders whose names appeared in the Register of Members / List of Beneficial Owners as on 18<sup>th</sup> February, 2022 (i.e. cut - off date) were allowed to participate and vote electronically during the aforesaid period of e-voting. On 25<sup>th</sup> March, 2022, the votes cast through e-voting facility were duly unblocked by me in the capacity of the Scrutinizer in the presence of Ms. Neelu Jha and Ms. Shweta Gupta who were present as witnesses, who are not in employment of the Company.
2. As per Ministry of Corporate Affairs Circular No. 14/2020 dated April 8,2020 , No. 17/2020 dated April 13, 2020, No. 22/2020 dated June 15, 2020, No. 33/2020 dated September 28, 2020, No. 39/2020 dated December 31, 2020, No. 10/2021 dated June 23, 2021 and No. 20/2021 dated December 8, 2021, Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") (including any statutory modification(s) or re-enactment(s) thereof for the time being in force), and due to difficulty in dispatch of Postal Ballot form / self- addressed envelope etc., by post or courier, on account of threat posed by COVID-19 pandemic situation, the Company had sent the Postal Ballot Notice dated February 19, 2022 by email to all Members, whose e-mail addresses were registered with Company and Depositories ( i.e. CDSL and NSDL) and whose names were recorded in the Register of Members of the Company or in the Register of Beneficial Owner maintained by the Depositories as on the Cut-off date i.e. Friday, February 18, 2022
3. Particulars of votes cast through electronic means only have been entered in the register separately maintained for the purpose. Since there was no voting by physical postal ballot form, the question of keeping them under my safe custody before commencing the scrutiny does not arise. Since the voting on Postal Ballot was Conducted only through remote e-voting, reporting on number of envelopes containing postal ballot form received after due date and up to the date of this report and returned undelivered does not arise and reporting on finding of defaced or mutilated ballot paper does not arise.
4. I am herewith enclosing the details containing inter alia, list of equity shareholders, who cast their votes through E-voting on the resolution.



Sr. No.	Particulars of Resolution	Method of Voting	Total number of votes cast	Votes in favour of Resolution			Votes against Resolution			Invalid Votes	
				Number of members voted	Number of votes	% of total number of votes	Number of members voted	Number of votes	% of total number of votes	No. of members (in person or by proxy)	Total no. of votes cast by them
1	Approval of Material Related party transactions with IIFL Finance Limited	E-voting	75942824	230	69810865	91.93	51	6131959	8.07	0	0
		Postal Ballot	0	0	0	0.00	0	0	0	0	0
		<b>Total</b>	<b>75942824</b>	<b>230</b>	<b>69810865</b>	<b>91.93</b>	<b>51</b>	<b>6131959</b>	<b>8.07</b>	<b>0</b>	<b>0</b>
2	Approval of Material Related party transactions with IIFL Wealth Management Limited	E-voting	75942823	228	69810854	91.93	52	6131969	8.07	0	0
		Postal Ballot	0	0	0	0.00	0	0	0	0	0
		<b>Total</b>	<b>75942823</b>	<b>228</b>	<b>69810854</b>	<b>91.93</b>	<b>52</b>	<b>6131969</b>	<b>8.07</b>	<b>0</b>	<b>0</b>
3	Approval of Material Related party transactions with IIFL Home Finance Limited	E-voting	75942074	229	69810096	91.93	51	6131978	8.07	0	0
		Postal Ballot	0	0	0	0.00	0	0	0	0	0
		<b>Total</b>	<b>75942074</b>	<b>229</b>	<b>69810096</b>	<b>91.93</b>	<b>51</b>	<b>6131978</b>	<b>8.07</b>	<b>0</b>	<b>0</b>

4	Approval of Material Related party transactions with IIFL Wealth Prime Limited (Formerly "IIFL Wealth Finance Limited")	E-voting	75942760	229	69810804	91.93	50	6131956	8.07	0	0
		Postal Ballot	0	0	0	0.00	0	0	0	0	0
		<b>Total</b>	<b>75942760</b>	<b>229</b>	<b>69810804</b>	<b>91.93</b>	<b>50</b>	<b>6131956</b>	<b>8.07</b>	<b>0</b>	<b>0</b>
5	Approval of Material Related party transactions between IIFL Facilities Services Limited, a wholly owned subsidiary company, with IIFL Finance Limited	E-voting	75942923	231	69810986	91.93	50	6131937	8.07	0	0
		Postal Ballot	0	0	0	0.00	0	0	0	0	0
		<b>Total</b>	<b>75942923</b>	<b>231</b>	<b>69810986</b>	<b>91.93</b>	<b>50</b>	<b>6131937</b>	<b>8.07</b>	<b>0</b>	<b>0</b>
6	Approval of Material Related party transactions between IIFL Management Services Limited, a wholly owned subsidiary company, with IIFL Finance Limited	E-voting	75942861	231	69810924	91.93	50	6131937	8.07	0	0
		Postal Ballot	0	0	0	0	0	0	0	0	0
		<b>Total</b>	<b>75942861</b>	<b>231</b>	<b>69810924</b>	<b>91.93</b>	<b>50</b>	<b>6131937</b>	<b>8.07</b>	<b>0</b>	<b>0</b>

From the above report, I state that the resolutions stand passed through postal ballot (remote e-voting) with requisite majority.

The register and all other papers and relevant records relating to electronic voting are handed over to the Company Secretary.

Thanking You.

**For P. Naithani & Associates,  
Practising Company Secretary**

Prasen  
Pravincha  
ndra  
Naithani

Digitally signed  
by Prasen  
Pravinchandra  
Naithani  
Date: 2022.03.28  
17:10:31 +05'30'

**Prasen Naithani  
Proprietor**

**C.P: 3389**

**FCS: 3830**

**PR No. 1131/2021**

**Place: Mumbai**

**Date: 28<sup>th</sup> March, 2022**

**UDIN# 003830C003207681**

*Megha*

