

Ref. No.: PSL/2021-22/CS/SE/71 Date: 5<sup>th</sup> February, 2022

То,	To,
Listing Department	Corporate Relationship Department
National Stock Exchange of India Limited	BSE Limited
Exchange Plaza, 5 <sup>th</sup> Floor,	P.J. Towers,
Plot No. C/1, G Block,	Dalal Street,
Bandra Kurla Complex,	Mumbai - 400 001
Bandra (E), Mumbai - 400 051	
	Security Code: 540724
Symbol: DIAMONDYD	Security ID: DIAMONDYD

Dear Sir/Madam,

Subject: Reporting to Stock Exchanges regarding violations under the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company's Code of Conduct for regulating, monitoring and reporting of trading in securities

## Ref.: SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July, 2020

With reference to the above Circular, please find enclosed herewith a report on violations under the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company's Code of Conduct for regulating, monitoring and reporting of trading in securities by the Designated Person.

This is for your information and records.

Thanking you,

Yours faithfully,

For Prataap Snacks Limited

Om Prakash Pandey Company Secretary and Compliance Officer

Encl.: As above





Report by Prataap Snacks Limited for violations related to Code of Conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015

No.		Details	
1.	Name of the Listed Company/Intermediary/Fiduciary	Prataap Snacks Limited	
2.	Please tick appropriate checkbox Reporting in capacity of:	Listed Company	
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3.	A. Details of Designated Person (DP)		
	i. Name of the DP	Mr. Sanjeev Kumar Dubey	
	ii. PAN of the DP	AEYPD3102Q	
	iii. Designation of DP	Manager - Accounts	
	iv. Functional Role of DP	Handling customer receipts and payments.	
	v. Whether DP is Promoter or belongs to Promoter Group	No	
	B. If Reporting is for immediate relative of DP		
	i. Name of the immediate relative of DP	N.A.	
	ii. PAN of the immediate relative of DP	N.A.	
	C. Details of transaction(s)		
	i. Name of the scrip	DIAMONDYD	
	ii. No. of shares traded and value (Rs.) (Date- wise)	Sold 335 shares on 24 <sup>th</sup> January, 2022 for a value of Rs. 2,93,326/- and 38 shares on 27 <sup>th</sup> January, 2022 for a value of Rs. 32,139/-, for an aggregate value of Rs. 3,25,465/	
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter		
	<ul> <li>Date of intimation of trade(s) by concerned DP/ director/promoter/promoter group to Company under Regulation 7 of the SEBI (PIT) Regulations, 2015</li> </ul>	N.A.	
	<ul> <li>Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of the SEBI (PIT) Regulations, 2015</li> </ul>	N.A.	
4.	Details of violations observed under Code of Conduct	Shares sold during the trading window closure period.	
5.	Action taken by Listed Company <del>/ Intermediary/ Fiduciary</del>	Warning letter issued to the DP. Also, a penalty of Rs. 10,000/- has been collected from the DP.	
6.	Reasons recorded in writing for taking action stated	The aforesaid action was taken after	

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	above	<ul> <li>taking into account the following factors:</li> <li>1. It being first instance of non-compliance on the part of the DP; and</li> <li>2. The quantum of shares involved.</li> </ul>
7.	Details of the previous instances of violations, if any, since last financial year	N.A.
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand       Online         Draft)       ii. Details of transfer/payment in case of Online:	
	Particulars	Details
	Name of the transferor	PRATAAP SNACKS LTD.
	Bank name, branch and account number	ICICI Bank Malav Parisar, Indore 004151000031
	UTR/Transaction reference number	000927705467
	Transaction date	5 <sup>th</sup> February, 2022
	Transaction Amount (in Rs.)	10,000/-
9.	Any other relevant information	None

Yours faithfully,

For Prataap Snacks Limited

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Om Prakash Pandey Company Secretary and Compliance Officer PAN: ANUPP6287B E-mail: <u>ompandey@yellowdiamond.in</u> Date: 5<sup>th</sup> February, 2022 Place: Indore

