



NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no.: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas master Road, Fort, Mumbai - 400023 Maharashtra
Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

February 23, 2022

The Deputy Manager - DCS
BSE Limited
P. J. Towers, Dalal Street, Fort, Mumbai - 400 001

Ref: Scrip Code 530557

Sub: Change in Registrar and Share Transfer Agent (RTA) of the Company

Respected Sir or Madam,

Pursuant to Regulation 7 and Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform you that the appointment of M/s. Purva Shareregistry (India) Private Limited as a Registrar and Share Transfer Agent of the Company for the purpose of electronic connectivity shall be effective from BOD of February 17, 2022 in place of M/s. ABS Consultants Private Limited in terms of communication received from NSDL and CDSL vide its letter dated February 16, 2022, copy attached. The contact details of new RTA are as under:

Purva Shareregistry (India) Pvt. Ltd.
No. 9, Shiv Shakti Ind. Estate, Gr. Floor, J. R. Boricha Marg,
Lower Parel, Mumbai-400 011
Tel: +91 22 2301 8261 / 6761
Email: support@purvashare.com
Website: www.purvashare.com
SEBI Registration No.: INR00000112

Further, in terms of Regulation 7 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, a tripartite agreement between the Company, ABS Consultants Private Limited (Old RTA) and Purva Shareregistry (India) Private Limited (New RTA) is under process and same will be intimated in due course.

Kindly take the above on record and acknowledge.

Thanking You,

Yours Faithfully,
For NCL RESEARCH & FINANCIAL SERVICES LIMITED

GOUTAM BOSE
DIN: 02504803
MANAGING DIRECTOR

Enclosed: As stated above

II/DD/DEMAT/2022/0042

February 16, 2022

Mr. Vijay J Poddar
Managing Director
NCL Research and Financial Services Limited
79, Nagindas Master Road
3rd Floor, Bhagyodaya Building
Fort
Mumbai - 400023

Dear Sir,

Sub: Change of R&T Agent for connectivity for NCL Research and Financial Services Limited

We refer to your letter intimating change of R&T Agent for electronic connectivity from ABS Consultant Pvt. Limited to Purva Sharegistry (India) Private Limited . In this regard, please note that the change of R&T Agent is scheduled to be carried out on February 17, 2022 . Consequent to the change, ABS Consultant Pvt. Limited will stop acting as R&T Agent for electronic connectivity w.e.f. BOD of February 17, 2022 and Purva Sharegistry (India) Private Limited may commence as the R&T Agent on February 17, 2022 .

In case you require any further clarifications, please get in touch with us on 022-2499 4552/4543.

Yours faithfully,

Rakesh Mehta
Vice President

Cc :	
Mr. Vijay Chand Sharma Director ABS Consultant Pvt. Limited 99, Stephen House, 6th Floor 4, B.B.D. Bagh (East) Kolkata - 700 001	You are advised to furnish the relevant Reconciliation Statement and such reports (as mentioned below) as on EOD February 16, 2022 to the target SHR viz. Purva Sharegistry (India) Private Limited .
Mr. Rajesh Shah Director Purva Sharegistry (India) Private Limited 9, Shiv Shakti Industrial Estate J.R. Boricha Marg, Opp. Kasturba Hospital Lower Parel (East) Mumbai - 400 011	We request you to confirm (as per enclosed format), that the control positions(NSDL position, pending demat/remat position and pending interdepository debit/credit position) are correct, by comparing NSDL position in the reconciliation statement with the reports generated at Purva Sharegistry (India) Private Limited and also benpos statements as of the cut off date.



Central Depository Services (India) Limited



CDSL/ISS/RB/RTA-CH/2021-22/4452

16-Feb-2022

The Company Secretary
NCL Research And Financial Services Limited
Bhagyodaya Building, 3rd Floor
79, Nagindas Master Road
Fort
Mumbai - 400023

Dear Sir,

Sub: Change in RTA from ABS Consultants Private Limited To Purva Sharegistry (India) Pvt. Ltd.

This has reference to your request for change of RTA.

We have effected the change in RTA in CDSL system from **ABS Consultants Private Limited To Purva Sharegistry (India) Pvt. Ltd.** on **16-Feb-2022**.

Please note that the Tri-partite Agreement with your previous RTA now stands cancelled.

Thanking you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Rupali Kinalekar
Asst. Vice President – Admission Cell

CC: Kind Attn. : Mr. Rajesh Shah
Purva Share Registry India Pvt. Ltd.
9 Shiv Shakti Indl Estate
J R Boricha Marg, Opp.Kasturba Hospital
Lower Parel (E)
Mumbai- 400011