

April 09, 2020

National Stock Exchange of India Limited

Exchange Plaza, Plot no. C/1, G Block,

Bandra - Kurla Complex

Bandra (E)

Mumbai - 400 051

NSE Symbol: YESBANK

BSE Limited

Corporate Relations Department

P.J. Towers, Dalal Street

Mumbai - 400 001

BSE Scrip Code: 532648

Dear Sirs,

SUB: <u>Compliance Certificate from Registrar and Share Transfer Agent under Regulation 7(3) of SEBI</u>
(<u>Listing Obligations and Disclosure Requirements</u>) Regulations, 2015 for the half year ended

March 31, 2020

Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith the Compliance Certificate received from the Bank's Registrar and Share Transfer Agent- KFin Technologies Private Limited for the half year ended March 31, 2020.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For YES BANK LIMITED

Shivanand R Shettigar

Group Company Secretary

Encl: a.a



COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to YES BANK LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INRO00000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFin Technologies Private Limited

Sd/-C Shobha Anand Deputy General Manager

Place: Hyderabad Date: 02/04/2020 Certified True Copy For YES BANK Limited

Shivanand Shettigar Group Company Secretary

KFin Technologies Private Limited

(Formerly known as 'Karvy Fintech Private Limited")