

Date: April 15th, 2022

To, The Department of Corporate Services, Bombay Stock Exchange Limited., P J Towers, Dalal Street, Mumbai- 400001 Scrip Code: 511605	To, Listing Department, National Stock Exchange of India Ltd. Exchange Plaza 5 th Floor, Plot No. C/1, G- Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400051 Security Symbol: ARIHANTCAP
---	---

Sub: Compliance Certificate under Regulation 40(10) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

In terms of Regulation 40(10) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, enclosed herewith the certificate issued by Mr. Virendra G Bhatt, Practicing Company Secretaries, for the period from April 01, 2021 to March 31, 2022.

Please take the same on your record and acknowledge.

Thanking You,

Yours Faithfully,

For Arihant Capital Markets Limited


Mahesh Pancholi
(Company Secretary)
M. No. F-7143



ARIHANT CAPITAL MARKETS LTD.
Member of NSE, BSE, NSDL, CDSL

Reg. Office : 6 Lad Colony, Y. N. Road, Indore-452 003 T: +91-731-4217100
Corp. Office: #1011, Solitaire Corporate Park, Building No. 10, 1st Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093
T: +91-22-67114800, +91-22-42254800
CIN: L67120MP1992PLC007182
W: www.arihantcapital.com E: contactus@arihantcapital.com

Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,
Pinnacle Corporate Park,
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Name of the Company : ARIHANT CAPITAL MARKETS LIMITED
CIN : L67120MP1992PLC007182
Registered Office : 6, Lad Colony, Y. N. Road, Indore, Madhya Pradesh- 452001

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR
ENDED 31ST MARCH, 2022.**

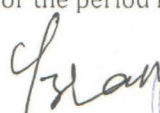
I have examined all the relevant documents relating to **ARIHANT CAPITAL MARKETS LIMITED** maintained by the **Ankit Consultancy Private Limited** for the purpose of issuing the Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from **01st April, 2021 to 31st March, 2022** and based on the information provided, hereby certify that the Company has delivered during year ended on **31st March, 2022**:

- A. The Share Certificates relating to the Share Transmission forms requests, received during the period from **01st April, 2021 to 31st March, 2022** has been issued within prescribed time from the respective date of lodgement.
- B. The Share certificates / Letter of Confirmation* in respect of request for duplicate certificates has been issued within prescribed time from the respective date of lodgement.
- C. Pursuant to the Securities and Exchange Board of India (SEBI) notification dated 08th June, 2018 relating to the amendments in Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements Regulations), 2015, the Registrar and Share Transfer Agent has not transferred any physical shares during the audit period.
- D. No requests were received by the Registrar and Share Transfer Agents of the Company for transfer, name deletion, sub-division, consolidation for the period from **01st April, 2021 to 31st March, 2022**.

Date: 14th April, 2022
Place: Mumbai

UDIN: A001157D000112070

Note: * Pursuant to SEBI Circular No.: SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022


Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124
Peer Review Cert. No.: 1439/2021

