



(An ISO 9001-2000 Certified Company)

Registered & Corporate Office: 26/25, Bazar Marg, Old Rajender Nagar, New Delhi-110060 CIN: L74899DL1996PLC078339



May 30, 2023

To,
BSE Limited,
Phiroze Jeejeebhoy Towers, Dalal

Street, Mumbai, Maharashtra 400001

To.

National Stock Exchange of India Limited

Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai, Maharashtra 400051

Sub: Annual Secretarial Compliance Report for the financial year ended 31st March, 2023.

Dear Sir/ Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, please find enclosed herewith Annual Secretarial Compliance Report for the Financial Year ended 31st March, 2023.

Request you to kindly take this on record.

Thanking you.

Yours truly,

For Oriental Trimex Limited

Rajesh Rajesh Kumar Punia Date: 2023.05.30 18:18:10 +05'30'

Digitally signed by

Rajesh Kumar Punia **Managing Director** DIN: 00010289

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058 Email: consult2tnd@gmail.com

Tel: +91-9910374997

Secretarial Compliance Report of Oriental Trimex Limited for the financial year ended 31st March, 2023

I/We have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by M/s **Oriental Trimex Limited** (hereinafter referred as 'the listed entity'), having its Registered Office at 26/25, BAZAR MARG, OLD RAJINDER NAGAR, NEW DELHI – 110 060. Secretarial Review was conducted in a manner that provided me/us a reasonable basis for evaluating the corporate conducts/statutory compliances and to provide my/our observations thereon.

Based on my/our verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, I/we hereby report that the listed entity has, during the review period covering the financial year ended on 31st March, 2023 complied with the statutory provisions listed hereunder in the manner and subject to the reporting made hereinafter:

I have examined:

- (a) all the documents and records made available to me and explanation provided by M/s **Oriental Trimex Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2023 ("Review Period") in respect of compliance with the provisions of:
- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011:
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018: **Not Applicable for the review period**;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021: **Not Applicable for the review period**;
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations,2021: **Not Applicable for the review period**;
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) (other regulations as applicable) and circulars/ guidelines issued thereunder;

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058 Email: consult2tnd@gmail.com Tel: +91-9910374997

And, based on above examination, I/We hereby report that, during the Review Period:

1.(a) (**) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr.	Com-	Regu-	Deviations	Action	Type of	Details of	Fine	Obser-	Man-	Re-
No.	pliance	lation/		Taken	Action	Violation	Amoun	vations/	age-	marks
	Require-	Circula		by			t	Remarks	ment	
	ment	rNo.		~,				of the	Re-	
	(Regu-	rivo.								
	lations/							Practicin	sponse	
	circulars/							g		
	guide-							Company		
	lines							Secretary		
	including									
	specific									
	clause)									
1	Disclosure	Regulatio	Delay in	BSE and	Imposed	There was	Rs.	There was a	Board	Acknow
	of Related	n 23(9) of	submission	NSE	Penalty for	delay in	16,200/-	delay in	discussed	ledged
	Party	Securities	of Report				by NSE	filing	the	
	transaction				delay in		and Rs.	disclosure	matter in	
		Exchange			filing.		16,200/-		the Board	
	consolidate					Transactions	by BSE.		Meeting	
	d basis	India				as under:.		23 (9) of	and	
		(Listing				For the half		Securities	considere	
		Obligatio				yearly		and	d the	
	publication					ended on		Exchange	same and	
		Disclosur				31 st		Board of	affirms	
	Financial	e D				March,2022,		India	that non	
		Requirem				it was due to			complian	
		ents)				be filed on		Obligations		
		Regulatio ns,2015				15.06.2022 but after		and Disclosure	provision is not	
		ns,2013				delay of 2		Disclosure Requiremen		
						days, it was		_	on the	
						filed on		Regulations		
						17.06.2022.		,2015.	the	
						And for the			Company	
						half year		In respect	and it	
						ended on		thereto,	were non	
						30.09.2022,			intention	
						it was			al rather	
						supposed to		compliance		
						be filed by		has been	circumsta	
						05.11.2022,		imposed by	ntial. In	
						but it was		the stock	future the	
						filed on			Company	
						07.11.2022		was paid by	will take	

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

	1		<u> </u>			0 11	.1		
						after delay	the	extra	
						of 2 days.	Company.	precautio	
								n.	
2.	As per the			-	_	The		Board	Acknow
		n 74(5) of	submission			Company		discussed	ledged
	of	SEBI	of Certificate			has	filing	the	
	Regulation	(Deposito	with Stock			submitted	disclosure	matter in	
			Exchange			Certificate	under	the Board	
		Participan				under	Regulation	Meeting	
	(Depositori					Regulation		and	
		Regulatio				74(5) of		considere	
	Participant					SEBI		d the	
	s)	115, 2010				(Depositorie		same and	
	Regulation					s and		affirms	
	s, 2018,					Participants)	India(Depo		
	Complianc					Regulations,	sitories and		
	Compilanc					2018 with	Participants		
	C								
	Certificate needs to be					stock) Regulations	provision	
						exchange on 29 th			
	filed for					F *	, 2018.	mens rea	
	each					April,2022		on the	
	quarter to					to BSE and		part of	
	stock					on 01 st May		the	
	exchange.					to NSE for		Company	
						the Quarter		and it	
						ended on		were non	
						31.03.2022		intention	
						which was		al rather	
						due to be		it was	
						filed on		circumsta	
						15.04.2022.		ntial. In	
								future the	
						For the		Company	
						Quarter		will take	
						ended on		extra	
						30.06.2022,		precautio	
						it was filed		n.	
	1					on			
	1					18.07.2022			
	1					for which			
	1					due date			
	1					was			
	1					15.07.2022			
	1					and for the			
	1					quarter			
	1					ended			
	1					31.12.2022,			
	1					it was filed			
	1					on			
	1					17.01.2023			
	1					for which			
	1					due date			
	1								
	1					was			

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

	_									
						15.01.2023.				
3.	T., 4' 4'	T4:4:	D.1			The listed		The	Tl 1.1	A . 1
3.	Intimation			-	_		-		The delay	
		of closure				entity was		1 2		ledged.
		of trading				in			unintenti	
	window in		Closure of			compliance			onal. We	
			Trading			with			will be	
			window.			Regulation			more	
	(Prohibitio	(Prohibiti				SEBI			cautious	
		on of				(Prohibition		window on		
	Insider	Insider				of Insider		Stock	Complian	
		Trading)				Trading)		Exchange	ces.	
	Regulation					Regulations,		on 1st		
		ns,				2015 except		October,		
		2015.				for the		2022 which		
	2013.	2013.				Quarter		was to be		
						ended on		filed on		
						30th		30th		
						September,		September,		
						2022. As		2022.		
						Company		There was		
						published		one day		
						notice of		delay.		
						closure of				
						trading		Further		
						window on		published		
						Stock		notice of		
						Exchange		closure of		
						on 1st		trading		
						October,		window to		
						2022 which		NSE for the		
						was to be		Quarter		
						filed on 30th		ended on		
						September,		31 st March,		
						2022.		2023 on		
						There was		01 st April		
						one day		2023 which		
						delay.		was due on		
						uciay.		was due on 31 st March,		
						E41				
						Further		2023		
						published				
						notice of				
						closure of				
						trading				
						window to				
	1					NSE for the				

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

Email: consult2tnd@gmail.com
Tel: +91-9910374997

			Quarter		
			ended on		
			31st March,		
			2023 on 01st		
			April 2023		
			which was		
			due on 31st		
			March, 2023		

(a) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circula rNo.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amoun t	Observations/ Remarks of the Practicin g Company Secretary	Man- age- ment Re- sponse	Re- marks
1.	Qualified Company Secretary as Complianc e officer of Listed Company	n 6(1) of Securities and Exchange Board of India	appointment of Company Secretary for	NSE		The Company had appointed a Company Secretary on 21.06.2021 after the resignation of previous company secretary i.e. on 26.10.2020 . Accordingl y, the Company was in default for non- appointme nt of a Company Secretary		non- compliance was due to covid curbs and the penalty thereto has been paid. Will be cautious in	matter in the Board Meeting and considere d the same and affirms that non	

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

						J			.:	
						during the			circumsta	
						period .			ntial. In	
						commencin			future the	
						g from			Company	
						26.04.2021			will take	
						to			extra	
						20.06.2021			precautio	
						•			n.	
2.	Submissio	Regulatio	Late	NSE	T 1	Delay ion	Rs. 2300	That the	Board	Acknow
			submission	TIDE	imposea	submission			discussed	
	Shareholdi					of		compliance	the	leagea
	ng Pattern		shareholding			shareholdin			matter in	
	with Stock					g pattern		covid curbs		
	Exchanges		pattern.			by 1 day			Meeting	
		India				for the			and	
		(Listing				Quarter		μ	considere	
		Obligatio				ended on			d the	
		ns and				Dec, 2020		, ,	same and	
		Disclosur				Dec, 2020			affirms	
		Disclosur								
		e Danimana							that non	
		Requirem						Compliance		
		ents)						•	ce of the	
		Regulatio							provision	
		ns,2015							is not	
									mens rea	
								l	on the	
									part of	
									the	
									Company	
									and it	
									were non	
									intention	
									al rather	
									it was	
									circumsta	
									ntial. In	
									future the	
									Company	
									will take	
									extra	
									precautio	
									n.	
3	Submissio	Regulatio	Late	BSE	Imposed	Delay in	Rs.	That the	Board	Acknow
			submission		Penalty			non-	discussed	
			of Financials			March,		compliance		
			Results			2021			matter in	
	with Stock					yearly		covid curbs		
		Board of				Result for			Meeting	
		India				10 days			and	
		(Listing				,-			considere	
		Obligatio							d the	
		ns and							same and	
								111 00	carrie und	

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

		Disclosur					cautious in	affirms	
		e					future	that non	
		Requirem					Compliance	complian	
		ents)						ce of the	
		Regulatio						provision	
		ns,2015						is not	
		113,2013						mens rea	
								on the	
								part of	
								the	
								Company	
								and it	
								were non	
								intention	
								al rather	
								it was	
								circumsta	
								ntial. In	
								future the	
								Company	
								will take	
								extra	
								precautio	
								n.	
4	As per the	Pagulatio	The		The		That the	Board	Acknow
Γ			company has	-	company	_		discussed	
			not filed		has not		compliance		icugcu
					filed				
			Compliance					matter in	
		ries and	certificate		Complianc		covid curbs		
		Participan			e certificate			Meeting	
	(Depositori	ts)	Quarter		under			and	
		Regulatio			Regulation			considere	
	Participant	ns, 2018	September,		74(5) of		Compliance		
	s)		2021.		SEBI			same and	
	Regulation				(Depositori			affirms	
	s, 2018,				es and			that non	
	Complianc				Participant			complian	
	e				s)			ce of the	
	Certificate				Regulation			provision	
	needs to be				s, 2018for			is not	
	filed for				the Quarter			mens rea	
	each				ended on			on the	
	quarter to				September,			part of	
	stock				2021.			the	
	exchange.				,			Company	
								and it	
								were non	
1								intention	
								al rather	
								it was	
	I	I	ı				1	circumsta	
								ntial. In future the	

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

Email: consult2tnd@gmail.com
Tel: +91-9910374997

							Company will take extra precautio n.	
5.	regulation 46 of Securities and exchange Board of India (listing obligations and Disclosure Requireme nt) Regulation s, 2015, the listed entity shall maintain a functional website containing the basic informatio n about the listed entity and shall disseminat e the prescribed/ specified informatio n under a separate	n 46 of Securities and exchange Board of India (listing obligation s and Disclosur e Requirem ent) Regulatio ns, 2015	Î		Website of listed entity has not been updated.	Company has been advised to comply with the Regulation 46 of Securities and exchange Board of India (listing obligations and Disclosure Requirement) Regulations, 2015 to avoid future penalties.	part of the Company	
	section on its website.							

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as perSEBI Circular CIR/CFD/CMD1/114/2019 dated 18^{th} October, 2019:

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

Sr. No.	Particulars	Compliance Status (Yes/No/ NA)	Observations /Remarks by PCS*
1.	Compliances with the following conditions while appointing	/re-appointing an aud	litor
	 i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or iii. If the auditor has signed the limited review/ audit 	NA	No statutory Auditor has resigned from the Company in the review period.
	iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the lastquarter of such financial year as well as the audit report for such financial year.		
2.	Other conditions relating to resignation of statutory auditor		
	i. Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee: a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting forthe quarterly Audit Committee meetings.	NA	No statutory Auditor has resigned from the Company in the review period.

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

Email: consult2tnd@gmail.com
Tel: +91-9910374997

Sr. No.	Particulars	Compliance Status (Yes/No/ NA)	Observations /Remarks by PCS*
	 b. In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information / explanation sought and not provided by the management, as applicable. c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor. ii. Disclaimer in case of non-receipt of information: 		
	in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.		
3.	The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/CFD/CMD1/114/2019 dated 18 th October, 2019.	NA	No statutory Auditor has resigned from the Company in the review period.

III. I hereby report, during the review period, the Compliance status of Listed Entity is appended as below:

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

Sr. No.	Particulars	Compliance Status (Yes/No/ NA)	Observations /Remarks by PCS*
1.	Secretarial Standards:	Yes	NIL
	The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.		
2.	Adoption and timely updation of the Policies:	Yes	NIL
	 All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities 		
	All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI		
3.	Maintenance and disclosures on Website: The Listed entity is maintaining a functional website	Website of listed entity has not been updated.	The listed entity is advised to update all the information or changes in content(s)
	Timely dissemination of the documents/ information under aseparate section on the website		at its website regularly.
	Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/section of the website		
4.	Disqualification of Director:	Yes	NIL
	None of the Director(s) of the Company is/ are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.		

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058 Email: consult2tnd@gmail.com

Tel: +91-9910374997

5.	Details related to Subsidiaries of listed entities have been examined w.r.t.:	NA	NA
	(a) Identification of material subsidiary companies		
	(b) Disclosure requirement of material as well as other subsidiaries		

Sr. No.	Particulars	Compliance Status (Yes/No/ NA)	Observations /Remarks by PCS*
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documentsand Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	NIL
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	NIL
8.	Related Party Transactions: (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	Yes	NIL
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	NIL

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

10.	Prohibition of Insider Trading:	Yes	The listed entity was in compliance with
	The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.		Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015 except following observations:
			For the Quarter ended 30 th September, 2022, Listed Entity has submitted required Compliance certificate of SDD with Bombay Stock Exchange on 27 th January, 2023 for which due date was 18 th November, 2022.
			Further Listed Entity has submission on email that the Listed Entity has submitted SDD Certificate to National Stock exchange through email for the Quarter ended on 30 th September, 2022 and For Quarter ended on 31 st December, 2022 but due to technical error in email from which certificate was sent, management is unable to trace the proof of submission.
11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity/its	Already covered above	Action taken is mentioned above adjacent to the
	promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided underseparate paragraph herein (**).		Specific regulations.

Reg Off: Plot No. 160, Block A-4C, Janak Puri, New Delhi-110058

Email: consult2tnd@gmail.com Tel: +91-9910374997

Sr. No.	Particulars	Compliance Status (Yes/No/ NA)	Observations /Remarks by PCS*
12.	Additional Non-compliances, if any:	Yes	No specific remarks
	No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.		

Assumptions & Limitation of scope and Review:

- 1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
- 2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
- 3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
- This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

FOR POOJA MALHOTRA & CO. Company Secretaries

POOJA MALHOTRA Date: 2023.05.29 22:21:34 +05'30'

Digitally signed by POOJA MALHOTRA

(POOJA MALHOTRA) COMPANY SECRETARY ACS 24033 CP No. 22704

UDIN: A024033E000411068

Date: 29.05.2023 Place: New Delhi