

CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 www.ctcl.co.in ctclbse@gmail.com CIN: L51909MH1980PLC231713

Date: 29/05/2019

To
The Manager,
Department of Corporate Services
BSE Ltd.
Dalal Street, Fort,
Mumbai - 400 001

Dear Sir /Madam,

Sub: Compliance under Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015.

Ref: Scrip Code 504340

Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Annual Secretarial Compliance Report issued by Ms. Deepika Singhvi, Company Secretary, for the Financial Year ended on 31st March 2019.

Request you to take the same on record.

Yours faithfully,
For Confidence Finance and Trading Limited



Mr. Manoj Jain
Director
DIN: 00165280



DEEPIKA SINGHVI

PRACTICING COMPANY SECRETARY

1801-1802, Riviera Towers, C Wing, Lokhandwala
Kandivali East, Mumbai Maharashtra-400101

**SECRETARIAL COMPLIANCE REPORT OF
CONFIDENCE FINANCE AND TRADING LIMITED
For the year ended 31st March 2019**

To,
CONFIDENCE FINANCE AND TRADING LIMITED
CIN-L51909MH1980PLC231713
9, Botawala Building, 3rd Floor, 11/13, Horniman Circle,
Fort, Mumbai -400001, Maharashtra.

I have examined:

1. All the documents and records made available to me and explanation provided by [Confidence Finance And Trading Limited] (“the listed entity”).
2. The filings and submissions made by the listed entity to the stock exchanges, website of the listed entity.
3. Any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended (“1st April 2018 to 31st March 2019”) in respect of compliance with the provisions of:
 - the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”)
4. The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-
 - Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
 - Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;**Not Applicable**
 - Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Not Applicable**
 - Securities and Exchange Board of India (Buyback of securities) Regulations, 2018; **Not Applicable**



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- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **Not Applicable**
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable**
- Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable**
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

5. And based on the above examination, I hereby report that, during the Review Period:

- The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, Except in respect of matters specified below:-

Sr. No.	Compliance Requirement	Deviations	Observation/ Remark of the PCS
1.	Regulation 33(3)(a) – Audited Financial Results for year ending 31/03/2018	Delayed submission of financial results on BSE	The Audited Financial results were submitted on 8 th June, 2018 with a delay of 9 (Nine) days.

- The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- The Following are the details of actions taken against the listed entity/its promoters /directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/guidelines issued thereunder:





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Sr. No.	Action Taken By	Details of violation	Details of action taken	Observation/ Remark of the PCS, if any
1.	Bombay Stock Exchange (BSE)	Delayed submission of Financial results U/R 33(3)(a)	As per the representation given by the Company, penalty imposed by BSE Ltd.	As Per Management representations the company has requested to BSE for waiver of penalties and the final outcome is still pending.

Date-28.05.2019
Place-Mumbai

For and on Behalf of
Deepika Singhvi



Company Secretary
M. No.-41005
CP No.-15588