

NDA SECURITIES LIMITED



Member : National Stock Exchange of India Ltd.,
: Bombay Stock Exchange Ltd.
: National Securities Depository Ltd.

Registered Office : 1002A, Arunachal, 19, Barakhamba Road, New Delhi-110001 (India)
Phone : 91-11-46204000 (30 lines), 23712751, 23717551, 23713696, 23319687, 32631621
Fax : 91-11-23731210, Email : info@ndaindia.com, website : www.ndaindia.com
CIN : L74899DL1992PLC050366

Ref: NDASEC/BSE/40 (9)/SEP/2019

Dated: 14.10.2019

To
The Manager (Listing)
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai – 400 001

Sub: Compliance with Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
Ref: NDA Securities Limited Code: 511535

Dear Sir/ Madam,

As per the requirement of Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange, please find enclosed herewith a certificate from a Company Secretary in practice to the effect that all transfers of shares for the half year ended on 30th September, 2019 have been completed within the stipulated time.

This is for information and record.

Thanking you,

Yours faithfully,
For NDA Securities Limited


(Vanshika Rastogi)
Company Secretary
ACS-36254

Encl: as above

KAPAHI AND ASSOCIATES

(COMPANY SECRETARIES)

FLAT NO. 7C, EVERSHINE APARTMENTS, VIKAS PURI, NEW DELHI - 110018
E-Mail : kapahiassociates@yahoo.com

REF: KA/NDA SEC /40 (9)/September/2019

DATED: 14.10.2019

We have examined all the relevant records of **M/s NDA Securities Limited**, (Hereinafter referred as "Company") maintained by **M/s. Beetal Financial & Computer Services Private Limited**, Registrar and Share Transfer Agents of the Company (hereinafter referred as "RTA") for the purpose of issuing certificate under sub -regulation 9 of Regulation 40 of the **Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015** with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended on **30.9.2019**:

- A) Share Certificates relating to the transfer of Shares received during the period from **1.4.2019 to 30.9.2019**, as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, excepting those rejected on technical grounds.
- B) The Company has not received any case for sub- division, consolidation, renewal and exchange of certificates.
- C) The company has not received any case for endorsement for payment of call/Allotment money.
- D) The Company has no Debentures, during the period.

FOR KAPAHI AND ASSOCIATES
COMPANY SECRETARIES



(S.K. KAPAHI)
PROPRIETOR
FCS NO.1407
C.P.NO.1118

Place: New Delhi



UDIN: F061407A000075756