

Date: April 20, 2021

To,

**BSE Limited**  
Floor 25, P J Tower  
Dalal Street  
Mumbai - 400001

**National Stock Exchange of India Limited**  
Exchange Plaza, 5<sup>th</sup> Floor,  
Bandra Kurla Complex, Bandra (East)  
Mumbai - 400051

Dear Sir/Madam,

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended March 31, 2021.**

**Ref: Scrip code: BSE - 513121, Symbol: NSE - ORICONENT**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, we are submitting herewith copy of Certificate as issued by P.P. Singh & Co., Practicing Company Secretaries for the half year ended March 31, 2021.

We request you to kindly take same on records.

Thanking You,

Yours Faithfully,  
For **Oricon Enterprises Limited**

Sanjay Jain  
Company Secretary

**P. P SINGH & CO.**  
PRACTICING COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF  
YEAR ENDED MARCH 31, 2021**

We, have examined all the relevant Books, Register, Forms, Memorandum of Transfer, documents and papers relating to **Oricon Enterprises Limited** CIN: L28100MH1968PLC014156), having its registered office at 1076, Dr. E. Moses Road, Worli, Mumbai - 400 018 maintained by the Registrar and Transfer Agents, **Bigshare Services Private Limited**, pertaining to Equity Shares of the Company for the period beginning from October 01, 2020 to March 31, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015.

On the basis of examination of all relevant Books, Register, Forms, Memorandum of Transfer, documents and papers pertaining to the Equity Shares of the Company for the period from October 01, 2020 to March 31, 2021 and on the basis of the information and explanation given to us, We hereby certify that in relation to the half year period from October 01, 2020 to March 31, 2021, the following:

1. As per the records made available to us there were no requests received relating to the sub-division, consolidation, renewal, exchange and/or transfer of shares; and
2. As per the records made available to us, no requests relating to exchange or endorsement of calls/allotment monies were received.
3. As per the record made available to us, 10 requests for dematerialization of shares were received during the quarter.
4. As per the records made available to us, 7 requests were computed within the prescribed time limit within the half year period under consideration.
5. As per the records made available to us, 3 requests were received during the half year period; however they were pending confirmation as on March 31, 2021.

712-714, C- Wing, Trade World, Kamla Mill Compound,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400 013  
Contact: +91 7208273964  
PAN: BTKPS3852C



6. As per the records available to us, no requests for deletion of name no request were received.

We do not have any additional information to add to this certificate.

For P.P Singh Co.

*Pushpendra Pratap Singh*



**PUSHPENDRA PRATAP SINGH**  
**Practicing Company Secretary**

**UDIN: A033381C000110194**

**Membership No.:**A33381  
**COP No.:**15570

**Place:** Mumbai  
**Date:** April 16, 2021