

# **MS SECURITIES**

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Regd. Office : 601-B, Ashiana Plaza, Budh Marg, Patna (Bihar) 800001  
Admin. Office : 32, Vaibhav Apartment, Budh Marg, Patna (Bihar) 800001  
Tel. No. 9608398301, email : investor@mssl.co.in, website : www.mssl.co.in  
CIN NO L08032BR1992PLC004781

To,  
The General Manager,  
Listing Operation,  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street  
**Mumbai- 400 001**

Date: 23/05/2019

Ref: **Script Code – 531039**

Sub: **Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended 31<sup>st</sup> March, 2019**

Respected Sir/Madam,

Pursuant to regulation 40(10) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015, we are enclosing herewith certificate Issued by M/s Manisha Singh & Co., Practising Company Secretaries firm for the half year ended 31<sup>st</sup> March, 2019, for compliance of Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulation, 2015.

This is for your information and record.

Thanking You.

Yours faithfully  
For **MS Securities Limited**



**MANOJ KUMAR SARAF**  
*Managing Director*

Encl: As above





## **MANISHA SINGH & CO.**

Practising Company Secretaries

GF-002 Tower A3, Gardenia Getway, Plot No.10, Sector-75, Noida-201301

Email: [csmanishasingh22@gmail.com](mailto:csmanishasingh22@gmail.com) Contact: 8178380710

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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2019**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **MS Securities Limited** having its registered office at 601B, Ashiana Plaza Budh Margpatna, Bihar Patna, maintained by S. K. Infosolutions Private Limited, Registrar and Share Transfer Agent ("Company's RTA") pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations 2015 and based on the information provided by the Company we hereby certify that:

- a) Share certificates relating to the share transfer deeds, if any receive during the period from 01<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019, as entered in the memorandum of Transfer have been issued within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds;
- b) We certify that company has forfeited 1,55,700 number of shares for non- payment of call money by shareholders requested vide letter dated 06/09/2018, Board has approved the forfeiture of shares at their meeting held on 03/11/2018 intimation letter regarding forfeiture was sent to Stock exchange.
- c) We further certify that there was no sub-division, consolidation, renewal, exchange during the period under review.

For **Manisha Singh & Co.**  
Company Secretaries



Manisha Singh  
(Proprietor)  
ACS: 55169  
CP: 20845

Place: New Delhi  
Date: 30/04/2019