

YBL/CS/2023-24/005

April 6, 2023

Department of Corporate Services, BSE Limited P.J. Towers, Dalal Street Mumbai – 400 001 BSE Scrip Code: 532648 Listing Department National Stock Exchange of India Limited Exchange Plaza Plot no. C/1, G Block, Bandra - Kurla Complex Bandra (E) Mumbai - 400 051 NSE Symbol: YESBANK

Dear Sirs/Madam,

Sub: <u>Compliance Certificate from Registrar and Share Transfer Agent under</u> <u>Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations</u> <u>and Disclosure Requirements) Regulations, 2015 for the year ended March 31,</u> <u>2023</u>

Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith the Compliance Certificate received from the Bank's Registrar and Share Transfer Agent, KFin Technologies Limited for the year ended March 31, 2023.

Further, we hereby certify that for the year ended March 31, 2023, all activities in relation to both physical and electronic share transfer facility were maintained by KFin Technologies Limited holding SEBI Registration No. INR000000221, the Registrar and Share Transfer Agent of the Bank.

Kindly take the same on your record.

Thanking you,

Yours faithfully,

For YES BANK LIMITED

Shivanand R. Shettigar Company Secretary

Encl: As above



COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES LIMITED (KFIN) is providing Registry and Share Transfer services to YES BANK LIMITED and having the valid agreement in the form of "Service Level Agreement".

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued for the year ended March 31, 2023 compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Thanking You

Yours faithfully, for KFIN TECHNOLOGIES LIMITED

No

C Shobha Anand Deputy Vice President Date:06.04.2023

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office: Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

CIN: L72400TG2017PLC117649