

**Almondz Global Securities Limited**

28<sup>th</sup> February, 2022

Ref:agsl/corres/Bse-NSE/21-22/reg43/0065

**The General Manager  
(Listing & Corporate Relations)  
Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001**

**The Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai – 400051**

**Re: Outcome of Postal Ballot as per Regulation 30, 44 and other applicable Regulations of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations')**

Sir/Ma'm,

This is in continuation with our Letter No. agsl/corres/Bse-Nse/21-22/0058 dated January 27, 2022, wherein we intimated about the initiation of the Postal Ballot process by the Company for seeking approval of the Members by way of Special Resolution, to be passed through remote e-voting ('E-voting') in respect of the Postal Ballot Notice dated January 24, 2022 Item No. 1 "Approval For Extending Benefit Of Almondz Global Securities Employees Stock Option Scheme 2007 to Employees of Holding/Subsidiary Company(ies)" and Item No. 2 Modification/Amendment In the 'Almondz Global Securities Employees Stock Option Scheme 2007' .

The E-voting period for Postal Ballot commenced on Friday, January 28, 2022 at 9:00 a.m. IST and concluded on Saturday, February 26, 2022 at 05:00 p.m. IST.

The Board of Directors of the Company had appointed Ms. Ashu Gupta, Practising Company Secretary (FCS No. 4123) of M/s. Ashu Gupta & Co, as the Scrutinizer for conducting the Postal Ballot and to submit the Report.

We are pleased to inform that the Shareholders have approved the aforesaid proposals with requisite majority (99.9995% and 99.9987) in favour of Item No. 1 & 2 of Postal Ballot Notice. The approval is deemed to have been received on the last date of e-voting i.e. on Saturday, February 26, 2022.

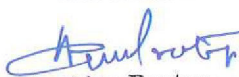
In this regard, please find enclosed the following:

- i. Voting results of the Postal Ballot by way of E-voting, as required under Regulation 44(3) of the Listing Regulations; and
- ii. Scrutinizer's Report on E-voting dated February 28, 2022 pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014.

Please take the above on record and acknowledge receipt of the same.

Thanking you,

**For Almondz Global Securities Ltd.**



**Ajay Pratap  
Company Secretary &  
Vice President Corporate Affairs**



Encl: a/a

SEBI Registration : INM000000834

## RESULT OF POSTAL BALLOT

Date of Declaration of Result of Postal Ballot	28.02.2022
Total No. of Shareholder on record date	5320
No. of shareholders present in the meeting either in person or through proxy :	N.A. (Since it is a result of Postal Ballot)
No. of shareholders attended the meeting through Video Conferencing	N.A. (Since it is a result of Postal Ballot)

**Agenda- wise disclosure (to the disclosed separately for each agenda item)**

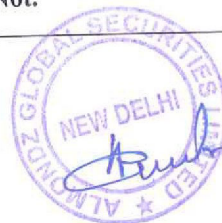
Resolution (1)								
Resolution required: (Ordinary / Special)				Special				
Whether promoter/promoter group are interested in the agenda/resolution?				No				
Description of resolution considered				APPROVAL FOR EXTENDING BENEFIT OF ALMONDZ GLOBAL SECURITIES EMPLOYEES STOCK OPTION SCHEME 2007 TO EMPLOYEES OF HOLDING/SUBSIDIARY COMPANY(IES)				
Category	Mode of voting	No. of shares held	No. of votes polled	% of Votes polled on outstanding shares	No. of votes – in favour	No. of votes – against	% of votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	15130975	15080975	99.6696	15080975	0	100.0000	0.0000
	Poll							
	Postal Ballot (if applicable)							
	Total	15130975	15080975	99.6696	15080975	0	100.0000	0.0000
Public-Institutions	E-Voting	564558	0	0.0000	0	0	0	0
	Poll							
	Postal Ballot (if applicable)							
	Total	564558	0	0.0000	0	0	0.0000	0.0000





Public-Non Institutions	E-Voting	10189434	721647	7.0823	721569	78	99.9892	0.0108
	Poll							
	Postal Ballot (if applicable)							
	Total	10189434	721647	7.0823	721569	78	99.9892	0.0108
Total	Total	25884967	15802622	61.0494	15802544	78	99.9995	0.0005
Whether resolution is Pass or Not.							Yes	

Resolution (2)								
Resolution required: (Ordinary / Special)				Special				
Whether promoter/promoter group are interested in the agenda/resolution?				No				
Description of resolution considered				MODIFICATION/AMENDMENT IN THE 'ALMONDZ GLOBAL SECURITIES EMPLOYEES STOCK OPTION SCHEME 2007'				
Category	Mode of voting	No. of shares held	No. of votes polled	% of Votes polled on outstanding shares	No. of votes – in favour	No. of votes – against	% of votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	15130975	15080975	99.6696	15080975	0	100.0000	0.0000
	Poll							
	Postal Ballot (if applicable)							
	Total	15130975	15080975	99.6696	15080975	0	100.0000	0.0000
Public-Institutions	E-Voting	564558	0	0.0000	0	0	0	0
	Poll							
	Postal Ballot (if applicable)							
	Total	564558	0	0.0000	0	0	0.0000	0.0000
Public-Non Institutions	E-Voting	10189434	721647	7.0823	721447	200	99.9723	0.0277
	Poll							
	Postal Ballot (if applicable)							
	Total	10189434	721647	7.0823	721447	200	99.9723	0.0277
Total	Total	25884967	15802622	61.0494	15802422	200	99.9987	0.0013
Whether resolution is Pass or Not.							Yes	







## Scrutinizer(s) Report

*[Pursuant to Section 108 & 110 of the Companies Act, 2013 read with Rule 20 & 22 of the Companies (Management and Administration) Rules, 2014 as amended]*

To,  
**The Chairman,**  
**Almondz Global Securities Limited**  
Regd. Office: F-33/3, Okhla Industrial Area,  
Phase-II, New Delhi-110020

**SUB: Scrutinizer's Report on remote e-voting in respect of passing of the resolutions contained in the notice dated January 24, 2022 through Postal Ballot**

Dear Sir,

I, Ashu Gupta, of Ashu Gupta & Co., Practicing Company Secretary, has been appointed as the Scrutinizer by the Board of Directors of Almondz Global Securities Limited ("the Company") pursuant to Rule 20 & 22 of the Companies (Management and Administration) Rules, 2014 as amended till date, for the purpose of scrutinizing the process of Remote e-voting in a fair and transparent manner and ascertaining the requisite majority for passing of resolutions as contained in the said Postal Ballot notice.

Your Company had provided a facility to the shareholders to exercise their votes on the resolution(s), as set out in the Notice dated January 24, 2022 by way of Remote e-voting pursuant to Section 108 & 110 of the Companies Act, 2013 read with Rule 20 & 22 of the Companies (Management and Administration) Rules, 2014 as amended till date read with Regulation 44 of the SEBI (LODR) Regulations, 2015 and General Circular No. 14/2020 dated April 8, 2020, General Circular No. 17/2020 dated April 13, 2020, General Circular No. 22/2020 dated June 15, 2020, General Circular No. 33/2020 dated September 28, 2020, General Circular No. 39/2020 dated December 31, 2020, General Circular No. 10/2021 dated June 23, 2021 and General Circular No. 20/2021 dated December 8, 2021 issued by the Ministry of Corporate Affairs ("MCA") (hereinafter collectively referred to as "MCA Circulars") and other applicable laws and regulations.

Page 1 of 4





The Notice dated January 24, 2022 along with statement setting out material facts under Section 102 of the Act in respect of the resolutions mentioned therein, as confirmed by the Company, was sent, via e-mail only to the Members whose names appeared in the Register of Members / List of Beneficial Owners as received from Central Depository Services (India) Limited ("CDSL") and whose e-mail addresses were available with the Company as on January 21, 2022 ("cut-off date").

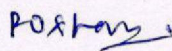
The management of the Company is responsible to ensure compliance with the provisions of the Companies Act, 2013 and rules made there-under relating to remote e-voting through electronic means on the resolutions proposed in the said notice.

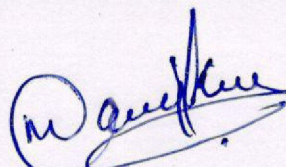
My responsibility as scrutinizer is to ensure that the voting process through electronic means is conducted in a fair and transparent manner and render scrutinizer's report of the total votes cast 'in favour' or 'against' if any, to the Chairman or his authorized representative, on the resolutions based on the votes cast and reports generated from the electronic voting system, provided by Central Depository Services (India) Limited (CDSL), the authorized agency engaged by the Company to provide facility of Remote e-voting.

In this regard, I confirm that-

1. the shareholders of the Company holding shares on the "cut-off date" i.e. Friday, January 21, 2022, were entitled to vote on the resolution(s) proposed as set out in the notice;
2. the Company had engaged Central Depository Services (India) Limited (CDSL) as the Agency for remote e-voting; and
3. remote e-voting period remained open from Friday, 28<sup>th</sup> January, 2022 at 09:00 a.m. (IST) and will end on Saturday, 26<sup>th</sup> February, 2022 at 05:00 p.m. (IST).

After the conclusion of remote e-voting cast, we unblocked the result of votes cast through remote e-voting by members at 06.20 P.M. in the presence of Mr. Roshan Kumar Ojha S/o. Mr. Vijay Shankar Ojha and Mr. Manoj Kumar S/o. Mr. Bhagat Ram, who are not in the employment of the Company and who also appended their signature, as witnesses thereto, hereunder:

  
(Roshan Kumar Ojha)

  
(Manoj Kumar)





Thereafter, the details containing, inter-alia, the list of Equity Shareholders who voted "in favour" or "against" on each of the resolution that was put to vote through Remote e-voting, were generated from the e-voting website of CDSL.

It is observed that -

83 members had cast vote through remote e-voting;

I now submit my Scrutinizer Report on the results of the voting by postal ballot only through the remote electronic voting process in respect of the Resolutions as under:

**Resolution No. 1: Special Resolution**

APPROVAL FOR EXTENDING BENEFIT OF ALMONDZ GLOBAL SECURITIES EMPLOYEES STOCK OPTION SCHEME 2007 TO EMPLOYEES OF HOLDING/SUBSIDIARY COMPANY(IES):

Particulars	Number of members cast votes	Number of Votes cast in Remote e-voting	% of total number of valid votes cast
Votes in Favour	70	15802544	99.9995
Votes Against	13	78	0.0005
Invalid Votes / Abstain from voting	0	0	0
<b>Total</b>	<b>83</b>	<b>15802622</b>	<b>100</b>

**Resolution No. 2: Special Resolution**

MODIFICATION/AMENDMENT IN THE 'ALMONDZ GLOBAL SECURITIES EMPLOYEES STOCK OPTION SCHEME 2007:

Particulars	Number of members cast votes	Number of Votes cast in Remote e-voting	% of total number of valid votes cast
Votes in Favour	68	15802422	99.9987
Votes Against	15	200	0.0013





Invalid Votes / Abstain from voting	0	0	0
<b>Total</b>	<b>83</b>	<b>15802622</b>	<b>100</b>

Based on the aforesaid results, I report that Special Resolution(s) set out in the notice of Postal Ballot stands passed with requisite majority.

The electronic data and all other relevant records relating to voting are under my safe custody and will be handed over to Chairman/Auth, representative, for preserving safely.

The Company may accordingly declare the results of voting, as required.

ASHU  
GUPTA

Digitally signed by  
ASHU GUPTA  
Date: 2022.02.28  
13:14:47 +05'30'

**Ashu Gupta**

**Ashu Gupta & Co.**

Practising Company Secretaries

FCS No.: 4123 | CP No.: 6646



Place: New Delhi

Date: 28/02/2022

**UDIN: F004123C002736578**

AJAY  
PRATAP

Digitally signed  
by AJAY PRATAP  
Date: 2022.02.28  
13:45:49 +05'30'

**Countersigned by:**

Chairman/Auth, representative  
Almondz Global Securities Limited