



# IKAB SECURITIES & INVESTMENT LTD.

Regd. Off.: Raja Bahadur Compound, Building No.5, 2nd Floor, 43 Tamarind Lane, Fort, Mumbai – 400 001

☎ : 4046 3500 / 01

• E-mail : [info@ikabsecurities.com](mailto:info@ikabsecurities.com)

CIN: L17100MH1991PLC059848 • Website: [www.ikabsecurities.com](http://www.ikabsecurities.com)

Date: 12.04.2021

The General Manager  
BSE LIMITED  
P. J, Towers, Dalal Street  
Mumbai – 400 001

**Scrip code: 514238**

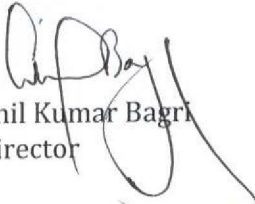
**Sub: Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015 for half year ended 31.03.2021**

Sir / Madam,

Pursuant to the Clause 40 (9) of the SEBI (Listing Obligations and Disclosure requirements) Regulations 2015, enclosed is copy of the Certificate from the practicing Company Secretary for the half year ended 31.03.2021

Thank you.

Yours truly,  
For Ikab Securities and Investment Limited

  
Anil Kumar Bagri  
Director

**PARIKH PAREKH & ASSOCIATES**  
**COMPANY SECRETARIES**

Office

111, 11<sup>th</sup> Floor, Sai-Dwar CHS Ltd.,  
Sab TV Lane, Opp Laxmi Industrial Estate,  
Off Link Road, Above Shabari Restaurant,  
Andheri (W), Mumbai: 400053  
Tel No.: 26301232 / 26301233 / 26301240  
Email: cs@parikhassociates.com  
parikh.associates@rediffmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to **IKAB Securities & Investment Limited** ('the Company') maintained and provided electronically by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) There were no requests relating to transfers, deletion of name, transmission of securities, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

B) Any other information, if applicable: --

Place: Mumbai  
Date: April 12, 2021

**For Parikh Parekh & Associates**

**Mohammad**  
**Pillikandlu**

Digitally signed by Mohammad Pillikandlu  
DN: cn=IN, o=Personal, postalCode=400058,  
st=Maharashtra,  
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7c0b8, cn=Mohammad Pillikandlu  
Date: 2021.04.12 12:59:40 +05'30'

**Mohammad Pillikandlu**

**FCS: 10619 CP: 14603**

**UDIN: F010619C000061010**