



usha martin

Usha Martin Limited

2A, Shakespeare Sarani, Kolkata (formerly Calcutta) - 700 071, India
Phone : (00 91 33) 71006300, Fax : (00 91 33) 2282 9029, 71006400/500
CIN:L31400WB1986PLC091621
Website:www.ushamartin.com

UML/SECT

8th October, 2021

The Secretary
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor,
Plot No.C/1, G Block,
Bandra Kurla Complex, Bandra (E)
Mumbai – 400 051
[Scrip Code: USHAMART]

The Secretary
The BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai – 400 001
[Scrip Code:517146]

Societe de la Bourse de
Luxembourg
35A Boulevard Joseph II
L-1840, Luxembourg
[Scrip Code: US9173002042]

Dear Sir / Madam,

Sub: Reporting regarding violation of Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

With reference to SEBI Circular No. SEBI/HO/ISD/CIR/P/2020/135 dated July 23, 2020, please find enclosed report for violation related to code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 in prescribed Annexure – A.

This is for your information and record.

Thanking you,

Yours faithfully,
For **Usha Martin Limited**


Shampa Ghosh Ray
Company Secretary

Encl. as above.

**Report by Usha Martin Limited for violations related to Code of Conduct under
SEBI (Prohibition of Insider Trading) Regulations, 2015.**

*[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider
Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1.	Name of the listed company/ Intermediary/Fiduciary	Usha Martin Limited
2.	<i>Please tick appropriate checkbox</i> Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i) Name of the DP	Mr. Santanu Das
	ii) PAN of the DP	ABEPD4256A
	iii) Designation of DP	Asst. Vice President
	iv) Functional Role of DP	Sales & Marketing
	v) Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i) Name of the immediate relative of DP	NA
	ii) PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i) Name of the scrip	Usha Martin Limited – Equity Shares NSE Scrip Code : USHAMART BSE Scrip Code: 517146
	ii) No of shares traded and value (Rs.) (Date-wise)	1,000 equity shares sold on - 09-08-2021& 1,000 equity shares sold on 13-08-2021 at total sold value of Rs. 1,44,835/- and 250 shares purchased on 02-09-2021 at total cost value of Rs.16,917/-
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	



Sr. No.	Particulars	Details
	i) Date of intimation of trade(s) by concerned DP/ director/ promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4.	Details of violations observed under Code of Conduct	Contra Trade in Equity Shares of the Company.
5.	Action taken by Listed company/ Intermediary/- Fiduciary	Show Cause Notice was issued to Mr. Das. On receipt his reply, a warning letter was issued to him forbidding him from entering into any such transaction in future in violation of statutory provisions.
6.	Reasons recorded in writing for taking action stated above	The Management has considered the matter and since it was the first instance of violation made by the said designated person and that there was no mala fide intent of making profit taking advantage of his position or information, a warning letter was issued to him forbidding him to enter into such a transaction in future in violation of statutory provisions.
7.	Details of the previous instances of violations, if any, since last financial year	No such previous instance.
8.	If any amount collected for Code of Conduct violation(s) :	No amount has been collected towards penalty for violation of the provisions since it was first instance of violation.
	i) Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Not Applicable
	ii) Details of transfer/payment In case of Online:	Not Applicable



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9.	Any other relevant information	Nil																						

Date : 08.10.2021

Place : Kolkata



Yours faithfully,
For Usha Martin Limited


 Shampa Ghosh Ray
 Compliance Officer & Company Secretary
 PAN : AGLPR9574R
 Email ID : investor@ushamartin.co.in