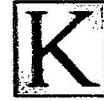


KPL/2022-23/BSE  
20.04.2022



**KOTHARI**

<b>Bombay Stock Exchange Ltd.</b> <b>Phiroze Jeejeebhoy Towers</b> <b>25<sup>th</sup> Floor, Dalal Street</b> <b>MUMBAI - 400 001</b>  Scrip Code : 530299	<b>National Stock Exchange Of India Ltd.</b> <b>Exchange Plaza 5<sup>th</sup> Floor</b> <b>Plot No.C/1, G Block</b> <b>Bandra Kurla Complex Bandra (E)</b> <b>MUMBAI - 400 051</b>  Scrip Code : KOTHARIPRO
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Dear sir,

**Re : SUBMISSION OF COMPLIANCE CERTIFICATE UNDER  
REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING  
REGULATIONS)**

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the year ended March, 2022.

Kindly acknowledge the receipt.

Thanking you,

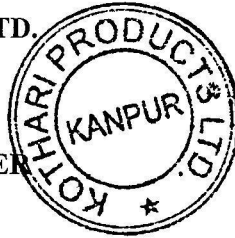
Yours faithfully  
for KOTHARI PRODUCTS LTD.

  
**(RAJ KUMAR GUPTA)**  
**CS & COMPLIANCE OFFICER**

FCS - 3281

**Address :**

"Pan Parag House  
24/19, The Mall,  
Kanpur



**Regd. Off. :** " Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)  
Phone : +91 512 2312171-72-73-74 • Fax: +91 512 2312058  
E-mail: kothari@panparag.com

**ADESH TANDON & ASSOCIATES**  
**COMPANY SECRETARIES**

**Adesh Tandon**  
FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel. : 0512 - 2332397 • Mobile : +91-9839100709  
E-mail : adesh.tandon11@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATION, 2015 FOR THE YEAR ENDED MARCH 31, 2022.**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 08<sup>th</sup>, 2018 and vide its press release dated December 03<sup>rd</sup>, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01<sup>st</sup>, 2019. Further, SEBI vide its press release dated March 27<sup>th</sup>, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01<sup>st</sup>, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of all the relevant documents and to the best of our information and explanation given to us relating to Shares of KOTHARI PRODUCTS LIMITED ("the Company"), having its registered office at Pan Parag House, 24/19, The Mall, Kanpur-208001 and paid up share capital of Rs. 29,84,38,650/- (Rupees Twenty Nine Crores Eighty Four Lakhs Thirty Eight Thousand Six Hundred and Fifty only)

*Adesh Tandon*

**ADESH TANDON & ASSOCIATES**  
**COMPANY SECRETARIES**

**Adesh Tandon**  
FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel. : 0512 - 2332397 • Mobile : +91-9839100709  
E-mail : adesh.tandon11@gmail.com

divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained and provided electronically by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent (RTA) of the Company, pertaining to period ending on March 31<sup>st</sup>, 2022 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- (A) There were no requests for transfer/transmission/transposition of securities, duplicate/sub division (split), consolidation, renewal, exchange of certificates or endorsement of calls/allotment monies received during the said period;
- (B) The Company has not issued any debentures, hence the reporting of the same is not applicable.
- (C) Any other information, if applicable: Physical verification of the documents could not be done due to the prevailing COVID-19 situation.

For ADESH TANDON AND ASSOCIATES  
Company Secretaries

*Adesh Tandon*

UDIN: F002253D000146298

Date: April 18, 2022

Place: Kanpur

Adesh Tandon  
Proprietor  
FCS No.2253  
C. P. No.1121