



Ref: STEX/SECT/2020  
May 06, 2020

The Relationship Manager BSE Limited P. J. Towers Dalal Street, Fort Mumbai 400001  BSE Scrip Code: 500480	National Stock Exchange of India Limited Exchange Plaza, 5 <sup>th</sup> Floor Plot No. C/1, G Block, Bandra – Kurla Complex Bandra (East) Mumbai 400051  NSE Symbol: CUMMINSIND
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**Subject: Compliance Certificate – Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sirs,

As per the requirement of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing a Compliance Certificate for the half year ended on March 31, 2020 obtained from SVD & Associates, Practicing Company Secretaries, Pune.

Thanking you,

Yours truly,  
For Cummins India Limited

Hemiksha Bhojwani  
Company Secretary & Compliance Officer  
Membership No.: A22170

Encl: As above  
(This letter is digitally signed)

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015  
FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH 2020

We have received the Statement relating to transfer of equity shares, deletion of the name of the deceased, transmission of securities and transposition of names on email from M/s **Link Intime India Private Limited**, Registrar and Transfer Agents (“RTA”), having its registered office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai- 400 083 and branch office at 202, A wing, 2<sup>nd</sup> Floor, Akshay Complex, off Dhole Patil Road, near Ganesh Mandir, Pune- 411001 of **Cummins India Limited** ( “the Company”) having Registered office at Cummins India Office Campus, Tower A, 5th Floor, Survey No. 21, Balewadi, Pune-411045, for the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March 2020 to issue certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 and we hereby certify, subject to the matter stated in Note (1) & (2) below, that the Company has delivered the Share certificates during the half year ended on 31<sup>st</sup> March 2020;

- a) relating to transfer deeds re-lodged during this period pursuant to the press release dated 27<sup>th</sup> March 2019 issued by Securities and Exchange Board of India, within 30 days from the respective date of re-lodgment of each form excepting those rejected on technical grounds and those that are delayed due to reason as mentioned in Note (1) below;

- b) relating to deletion of the name of the deceased, transmission of securities , transposition of names wherever applicable, received during this period as entered in the Memorandum of Transfers, within 30 days from the respective date of lodgment of each form except those rejected on technical grounds or those where seller Notices have been sent and those that are delayed due to reason as mentioned in Note (1) below;
- c) Relating to requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, wherever applicable within 30 days of lodgment or event date except those rejected on technical grounds and those that are delayed due to reason as mentioned in Note (1) below.

*Note (1) : Non delivery of share certificates/other documents is caused as the post offices and courier services are suddenly closed down as an effect of the order of the Government of India to observe a nationwide lock down for a period from 25th March 2020 to 17<sup>th</sup> May 2020 (both days inclusive) to avoid spread of the pandemic of Covid -19.*

*Note (2): We could not physically verify the data for the above certificate due to the reason mentioned in Note (1) above.*

For SVD & Associates

S. V. Deulkar  
Partner  
FCS No 1321 C. P. No. 965

Date: 6<sup>th</sup> May 2020  
Place: Pune

UDIN : F001321B000205426