

March 11th, 2022

To, The Executive Director, Listing Department Bombay Stock Exchange Limited., P J Towers, Dalal Street, Mumbai- 400001 Scrip Code: 511605	To, The Executive Director, Listing Department, National Stock Exchange of India Limited., Exchange Plaza 5th Floor, Plot No. C/1, G- Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400051 Symbol: ARIHANTCAP
--	--

Sub: Disclosure for intimation received under Regulation 10(7) of Securities Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Dear Sir,

We have received intimation from Mr. Ashok Kumar Jain (Promoter , Chairman and Managing Director of the Company) pursuant to Regulation 10(7) of the SEBI (Substantial Acquisition of Shares and Takeover) Regulations, 2011 regarding acquisition of 5,36,000 no. of equity shares of the Company comprising 2.57% of the paid up share capital of the Company.

In this regard we are submitting herewith the disclosure received from Mr. Ashok Kumar Jain pursuant to Regulation 10(7) of the SEBI (Substantial Acquisition of Shares and Takeover) Regulations, 2011.

This is for your information and record.

Kindly acknowledge the receipt of the same.

Thanking you,

For Arihant Capital Markets Limited


Mahesh Pancholi
(Company Secretary)
M. No. F-7143



ARIHANT CAPITAL MARKETS LTD.

Member of NSE, BSE, NSDL, CDSL

Reg. Office : 6 Lad Colony, Y. N. Road, Indore-452 003 T: +91-731-4217100

Corp. Office: #1011, Solitaire Corporate Park, Building No. 10, 1st Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093

T: +91-22-67114800, +91-22-42254800

CIN: L67120MP1992PLC007182

W: www.arihantcapital.com E: contactus@arihantcapital.com

March 10th, 2022

To,
Securities and Exchange Board of India
SEBI Bhawan, Plot No. C4-A, G- Block,
Bandra Kurla Complex, Bandra East
Mumbai- 400051

Sub: Non-applicability of filing of a report under Regulation 10(7) of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011


Ref: Prior Intimations under Regulation 10(5) dated 21st February, 2022 and under Regulation 10(6) dated 28th February, 2022.

Dear Sir/ Ma'am,

I had filed intimations for acquisition of 5,36,000 equity shares by way of inter-se transfer amongst the members of the Promoter and Promoter Group under Regulation 10(5) and Regulation 10(6) of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 (Regulations) to the Stock Exchanges on 21st February, 2022 and 28th February, 2022 respectively. The above mentioned intimations were given, as a matter of abundant precaution, to BSE Ltd. and The National Stock Exchange of India Ltd. to keep them informed about the transaction of change in the holding of the Promoter and members of the Promoter Group as the Company always files shareholding pattern on a quarterly basis with them. Copies of the said intimations under Regulation 10(5) and Regulation 10(6) submitted earlier to the stock exchanges are attached for your ready reference.

I wish to inform that in relation to this transfer of shares, it is understood that Report under Regulation 10(7) may not be required to be submitted because the transfer in this case of 5,36,000 equity shares amongst the members of the Promoter Group, which constitutes 2.57% of the total paid-up capital, does not cross the threshold specified under Regulation 3 or Regulation 4 of the Regulations. In view of the above, reporting under Regulation 10(7) of the Regulations is not being made as the same is not required. I request you to acknowledge and take the same on records. In case any further information/clarification is required in this regard by your good office, I shall be happy to provide the same.

Hope your good self shall find the above in order.


Ashok Kumar Jain
Acquirer and Promoter

Date: 10.03.2022

Place: Indore

February 21st, 2022

To, The Executive Director, Listing Department Bombay Stock Exchange Limited., P J Towers, Dalal Street, Mumbai- 400001 Scrip Code: 511605	To, The Executive Director, Listing Department, National Stock Exchange of India Limited., Exchange Plaza 5 th Floor, Plot No. C/1, G- Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400051 Symbol: ARIHANTCAP
--	--

Sub: Disclosure of Inter-se Transfer of Shares between the Promoters / Promoters group in accordance with Regulation 10 (5) of SEBI (SAST) Regulation, 2011.

Dear Sir/Madam,

Pursuant to the Regulation 30 read with Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we would like to inform you that the Company has received an information of inter-se transfer of shares (by way of Gift) amongst promoter and promoter groups.

The details of the same is as under:

Date of Proposed Transaction	Name of Acquirer	Details of Seller	No. of shares proposed to be transferred by way of gift	% of Shareholding
On or after 26 th February, 2022	Mr. Ashok Kumar Jain	Mr. Sunil Kumar Jain	5,36,000	2.57

This being an Inter se transfer of shares amongst Promoter Group, the same falls within the exemption {under Regulation 10(1)(a)(i) and 10(1)(a)(ii) provided under SEBI (SAST) Regulation, 2011}. This is in nature of transfer of shares through an off Market transaction amongst Promoters.

The Aggregate holding of the Promoter and Promoter Group before and after the above inter se transaction remains the same.



ARIHANT CAPITAL MARKETS LTD.

Member of NSE, BSE, NSDL, CDSL

Reg. Office : 6 Lad Colony, Y. N. Road, Indore-452 003 T: +91-731-4217100

Corp. Office: #1011, Solitaire Corporate Park, Building No. 10, 1st Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093

T: +91-22-67114800, +91-22-42254800

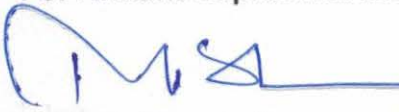
CIN: L67120MP1992PLC007182

W: www.arihantcapital.com E: contactus@arihantcapital.com

In this connection necessary disclosure under Regulation 10(5) of SEBI (SAST) Regulations, 2011 for the above said proposed acquisition in prescribed format, as submitted by the Acquirer is enclosed herewith for your kind information and records.

Thanking You,

For Arihant Capital Markets Limited


Mahesh Pancholi
(Company Secretary)
M. No. F-7143



Format for Disclosures under Regulation 10(5) - Intimation to Stock Exchanges in respect of acquisition under Regulation 10(1)(a) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

1.	Name of the Target Company (TC)	Arihant Capital Markets Limited
2.	Name of the acquirer(s)	Mr. Ashok Kumar Jain
3.	Whether the acquirer(s) is/ are promoters of the TC prior to the transaction. If not, nature of relationship or association with the TC or its promoters	Yes
4.	Details of the proposed acquisition	
	a. Name of the person(s) from whom shares are to be acquired	Mr. Sunil Kumar Jain
	b. Proposed date of acquisition	On or after 26th February, 2022
	c. Number of shares to be acquired from each person mentioned in 4(a) above	5,36,000 (Five Lakh Thirty Six Thousand Equity shares)
	d. Total shares to be acquired as % of share capital of TC	2.57% of equity shares of Arihant Capital Markets Limited
	e. Price at which shares are proposed to be acquired	Not Applicable due to Transfer by way of Gift
	f. Rationale, if any, for the proposed transfer	Inter-se transfer of shares amongst promoters of the Company
5.	Relevant sub-clause of regulation 10(1)(a) under which the acquirer is exempted from making openoffer	Regulation 10 (1)(a) (i) and 10(1)(a)(ii) of SEBI(SAST) Regulations, 2011
6.	If, frequently traded, volume weighted average market price for a period of 60 trading days preceding the date of issuance of this notice as traded on the stock exchange where the maximum volume of trading in the shares of the TC are recorded during such period.	Not Applicable
7.	If in-frequently traded, the price as determined in terms of clause (e) of sub-regulation (2) of regulation 8.	Not Applicable
8.	Declaration by the acquirer, that the acquisition price would not be higher by more than 25% of the price computed in point 6 or point 7 as applicable.	Not Applicable



9.	i. Declaration by the acquirer, that the transferor and transferee have complied (during 3 years prior to the date of proposed acquisition) / will comply with applicable disclosure requirements in Chapter V of the Takeover Regulations, 2011 (corresponding provisions of the repealed Takeover Regulations, 1997) ii. The aforesaid disclosures made during previous 3 years prior to the date of proposed acquisition to be furnished.	Enclosed as "Annexure- 1"			
10.	Declaration by the acquirer that all the conditions specified under regulation 10(1)(a) with respect to exemptions has been duly complied with.	Enclosed as "Annexure- 1"			
11.	Shareholding details	Before the proposed transaction		After the proposed transaction	
		No. of shares /voting rights	% w.r.t total share capital of TC	No. of shares /voting rights	% w.r.t total share capital of TC
	a Acquirer(s) and PACs (other than sellers)(*) Mr. Ashok Kumar Jain	61,70,336	29.63	67,06,336	32.20
	b Seller Mr. Sunil Kumar Jain	16,06,656	7.72	10,70,656	5.15

Note:

- (*) Shareholding of each entity may be shown separately and then collectively in a group.
- The above disclosure shall be signed by the acquirer mentioning date & place. In case, there is more than one acquirer, the report shall be signed either by all the persons or by a person duly authorized to do so on behalf of all the acquirers.



Ashok Kumar Jain

Promoter

Date: 21.02.2022

Annexure-1


DECLARATION BY THE ACQUIRER

This is with respect to the proposed acquisition of shares by way of "Gift," inter-se, within the Members of the Promoter and Promoter Group of the Target Company viz. Arihant Capital Markets Limited (a company incorporated under the Companies Act, 1956, having its registered office at 6, Lad Colony, YN Road, Indore MP-452001) in the following manner:

Inter-se transfer of shares (by way of gift) of Arihant Capital Markets Limited (Target Company) by Mr. Sunil Kumar Jain (Brother) to Mr. Ashok Kumar Jain (brother), all being members of the Promoter and Promoter Group of the Target Company, of 5,36,000 (2.57%) equity shares in the Target Company.

In this regard, the undersigned being the proposed acquirer to the proposed "Gift", hereby declare that:

- a) the transferor and transferee have complied / will comply with applicable disclosure requirements in Chapter V of the Takeover Regulations, 2011.
- b) all the conditions specified under regulation 10(1)(a)(i) and (ii) with respect to exemptions has been duly complied with.


Ashok Kumar Jain
Date: 21.02.2022

February 28th, 2022

To, The Executive Director, Listing Department Bombay Stock Exchange Limited., P J Towers, Dalal Street, Mumbai- 400001 Scrip Code: 511605	To, The Executive Director, Listing Department, National Stock Exchange of India Limited., Exchange Plaza 5th Floor, Plot No. C/1, G- Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400051 Symbol: ARIHANTCAP
--	--

Sub: Disclosure for intimation received from Mr. Ashok Kumar Jain for acquisition of equity shares of Arihant Capital Markets Limited (the Company).

Dear Sir/ Ma'am,

We have received intimation from Mr. Ashok Kumar Jain (Promoter and CMD of the Company) pursuant to Regulation 7(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Regulation 29(1) & 29(2) of the SEBI (Substantial Acquisition of Shares and Takeover) Regulations, 2011 regarding acquisition of 5,36,000 no. of equity shares of the Company comprising 2.57% of the paid up share capital of the Company.

After the said acquisition the total shareholding of Mr. Ashok Kumar Jain is 67,06,336 equity shares comprising 32.20% of the paid up share capital of the Company.

In this regard we are submitting herewith the disclosure received from Mr. Ashok Kumar Jain pursuant to Regulation 10(6), 29(1) and 29(2) of the SEBI (Substantial Acquisition of Shares and Takeover) Regulations, 2011 and Regulation 7(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015.

This is for your information and record.

Kindly acknowledge the receipt of the same.

Thanking you,

For Arihant Capital Markets Limited


Mahesh Pancholi
(Company Secretary)
M. No. F-7143



ARIHANT CAPITAL MARKETS LTD.
Member of NSE, BSE, NSDL, CDSL

Reg. Office : 6 Lad Colony, Y. N. Road, Indore-452 003 T: +91-731-4217100

Corp. Office: #1011, Solitaire Corporate Park, Building No. 10, 1st Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093

T: +91-22-67114800, +91-22-42254800

CIN: L67120MP1992PLC007182

W: www.arihantcapital.com E: contactus@arihantcapital.com

February 28th, 2022

To, The Executive Director, Listing Department Bombay Stock Exchange Limited., P J Towers, Dalal Street, Mumbai- 400001 Scrip Code: 511605	To, The Executive Director, Listing Department, National Stock Exchange of India Limited., Exchange Plaza 5th Floor, Plot No. C/1, G- Block, BandraKurla Complex, Bandra (E), Mumbai – 400051 Symbol: ARIHANTCAP
--	---

Sub: Disclosure under Regulation 10(6) of the SEBI (SAST) Regulations, 2011 with respect to acquisition of equity shares of Arihant Capital Markets Limited by way of Gift.

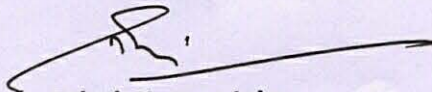
Ref: Prior intimation submitted under Regulation 10(5) of SEBI (SAST) Regulations, 2011 dated February 21, 2022.

Dear Sir,

With regard to the captioned subject and in continuation to my prior intimation submitted under Regulation 10(5) of SEBI (SAST) Regulations, 2011 on February 21, 2022, please find enclosed herewith disclosure pursuant to Regulation 10(6) of the SEBI (SAST) Regulations, 2011 with respect to acquisition of 5,36,000 (Five Lakh Thirty Six Thousand) equity shares of Arihant Capital Markets Limited from Mr. Sunil Kumar Jain, Promoter and Non- Executive Director of Arihant Capital Markets Limited.

The said acquisition is pursuant to off market inter-se transfer of shares between promoter and promoter group and immediate relatives, as specified in Regulation 10(1)(a)(i) and (ii) of the SEBI (SAST) Regulations, 2011 by way of Gift.

Yours Truly,



Ashok Kumar Jain
Acquirer and Promoter


Disclosures under Regulation 10(6) –Report to Stock Exchanges in respect of any acquisition made in reliance upon exemption provided for in Regulation 10 of SEBI(Substantial Acquisition of Sharesand Takeovers)Regulations,2011

1.	Name of the Target Company (TC)	Arihant Capital Markets Limited	
2.	Name of the acquirer	Mr. Ashok Kumar Jain	
3.	Name of the stock exchange where shares of the TC are listed	Bombay Stock Exchange Limited and National Stock Exchange of India Limited	
4.	Details of the transaction including rationale, if any, for the transfer/acquisition of shares.	Inter-se transfer of 5,36,000 equity shares from Mr. Sunil Kumar Jain to Mr. Ashok Kumar Jain, Promoters and immediate relatives of the Company, by way of Gift	
5.	Relevant regulation under which the acquirer is exempted from making open offer.	Regulation 10(1)(a)(i) and 10(1)(a)(ii) of SEBI (SAST) Regulations, 2011	
6.	Whether disclosure of proposed acquisition was required to be made under Regulation 10 (5) and if so,	The disclosure of proposed acquisition was required to be made under Regulation 10(5).	
	➤ whether it was made within the timeline specified under the regulations.	Yes, declaration under Regulation 10(5) was made within the stipulated time specified under the SEBI (SAST) Regulation.	
	➤ Date of filling with stock exchange	21.02.2022	
7.	Details of acquisition	Disclosures required to be made under regulation 10(5)	Whether the disclosures under regulation 10(5) are actually made
	a. Name of the transferor / seller	Mr. Sunil Kumar Jain	Yes



	b.	Date of Acquisition	26.02.2022 (By way of gift)			
	c.	Number of shares/ voting rights in respect of the acquisitions from person mentioned in 7(a) above	5,36,000 Equity Shares of Arihant Capital Markets Limited			
	d.	Total shares proposed to be acquired / actually acquired as a % of diluted share capital of TC	5,36,000 Equity Shares (2.57%)			
	e.	Price at which shares are proposed to be acquired / actually acquired	Not Applicable (Transfer by way of Gift)			
8.	Shareholding Details		Pre- transaction		Post- transaction	
			No. of shares held	% w.r.t total share capital of TC	No. of shares held	% w.r.t total share capital of TC
	a	Acquirer/Transferee(*)				
		Mr. Ashok Kumar Jain	61,70,336	29.63	67,06,336	32.20
	b	Seller/ Transferor				
		Mr. Sunil Kumar Jain	16,06,656	7.72	10,70,656	5.15

Ashok Kumar Jain
Acquirer and Promoter


Date: 28.02.2022

Place: Indore