



**Oil & Gas Exploration  
Production & Services**

July 30, 2020

To,  
Corporate Relations Department  
Bombay Stock Exchange Limited  
2<sup>nd</sup> Floor, P.J. Towers,  
Dalal Street,  
Mumbai – 400 001

To,  
Corporate Relations Department  
National Stock Exchange of India Limited  
Exchange Plaza, Plot No. C/1, G-Block  
Bandra Kurla Complex, Bandra (E),  
Mumbai – 400 051

**Company Code : 532760**

**Symbol: DEEPIND**

Dear Sir/Madam,

**Sub.: Submission of Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2020.**

Pursuant to Regulation 24A of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015, as amended read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019, please find enclosed herewith Annual Secretarial Compliance Report of the Company for the financial year ended on 31<sup>st</sup> March, 2020 issued by M/s. Shilpi Thapar & Associates, Practicing Company Secretaries, Ahmedabad.

You are requested to take the same on your record.

Thanking You,

Yours faithfully,

For, DEEP INDUSTRIES LIMITED

  
Akshit Soni  
Company Secretary & Compliance Officer



Encl.: As Above



**Registered Office:**  
12A & 14 Abhishree Corporate Park, Ambli Bopal Road, Ambli, Ahmedabad-380058,  
Gujarat, India. Tel # 02717 298510, +91 98256 00533 | Fax # 02717 298520  
Email: [info@deepindustries.com](mailto:info@deepindustries.com) | Website: <http://www.deepindustries.com>  
CIN : L63090GJ1991PLC014833



**Secretarial compliance report of Deep Industries Limited for the year ended  
31.03.2020.**

I,CS Dr(h.c) Shilpi Thapar of M/s. Shilpi Thapar & Associates, Company Secretaries have examined:

- a) all the documents and records made available to me and explanation provided by Deep Industries Limited ("the listed entity")
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended on 31<sup>st</sup> March,2020 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 to the extent applicable;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



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- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;(Not applicable to the listed entity during the review period);
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014(Not applicable to the listed entity during review period);
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 to the extent if applicable;
- g) Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; (Not applicable to the listed entity during the review period)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars)under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:



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Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc	Observations/ remarks of the Practicing Company Secretary, if any.
1	SEBI Order No. WTM/SKM/EFD-DRA-III/ 23/2019-20 dated 30th September,2019 under sections 11(1),11(4) and 11B of The Securities and Exchange Board of India Act,1992 against Managing Director of the Listed entity.	Alleged Violation of provision of sections 12A(d) & (e) of the SEBI Act, 1992 & Regulation 4(1) of the SEBI (PIT) Regulations, 2015.	Directions in the Order:- (a) disgorge an amount of gain as computed in Interim order and lying deposited in escrow account; (b) refrain from accessing the securities market for a period of six months.	As informed, Appeal by Managing Director is filed against the SEBI order dated 30.09.2019 with Securities Appellate Tribunal.

(d)The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended on 31.03.2019	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	-----	Regulation 44 (3) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015- Details	Listed entity paid BSE and NSE imposed fine of Rs. 11800/- each on the Listed entity vide BSE letter dated	Listed Entity paid the said fine and complied with requirements mentioned in the said BSE & NSE letters.



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	regarding voting results were not submitted within 48 Hours of the Conclusion of the Court Convened Meeting of the Equity-Shareholders dated 30.10.2018.	27.11.2018 and NSE letter dated 27.11.2018.	
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**Note:**

The audit has been conducted during the period of national lockdown on account of the Covid-2019 pandemic. Hence, the audit was conducted by examining relevant records accessed through or received by electronic mode and physical records were not inspected. However, necessary confirmation for the authenticity of the records received has been provided by the listed entity.

**Signature:**
**Place: Ahmedabad****Date:04/07/2020****Name of PCS:CS Dr(h.c) Shilpi Thapar****FCS No.: 5492****CP No.:6779****UDIN:F005492B000397642**