

28<sup>th</sup> May, 2020

To,  
BSE Limited  
P.J. Towers, Dalal Street,  
Mumbai-400 001

Dear Sir,

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Respected Sir,

Please find enclosed herewith the Compliance Certificate issued by Practising Company Secretary pursuant to Regulation 40(9) and 40(10) of Securities of Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March, 2020 in the prescribed format.

You are requested to take the same on your record.

Thanking You,

Yours faithfully,

For, Vivanta Industries Limited

  
\_\_\_\_\_  
Parikh HA

Managing Director

DIN:00027820



CIN : L74110GJ2013PLC075393

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, Files and other documents relating to **Vivanta Industries Limited** having its registered office at 403/TF, Sarthik- II, Opp. Rajpath Club, S. G. Highway, Bodakdev, Ahmedabad- 380054, maintained by **Purva Sharegistry (India) Pvt. Ltd.**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by Vivanta Industries Limited and based on information provided by the Company, we hereby certify that during the half year ended on 31<sup>st</sup> March, 2020 the Company:

- a) has issued Share certificate(s) relating to the Share Transfer form(s) received during the said half year (from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds;
- b) has issued Share certificate(s) relating to the requests received for consolidation of share certificates during the said half year (from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020) within thirty days from the respective date of lodgment of request(s) except those rejected on technical grounds; and
- c) has not received any request for sub-division, renewal, exchange or endorsement of calls/allotment monies.

**UDIN: F007728B000292980**

**Place: Ahmedabad**

**Date: 28.05.2020**



**For, Ishit Vyas & Co.**

**Practicing Company Secretary**

**Ishit Vyas**

**M. No.: F7728**

**C.O.P No.: 8112**