



# Vaswani Industries Limited

POWER • SPONGE IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB



VIL/SECRETARIAL/2019-20

Date: 23<sup>rd</sup> October, 2019

BY LISTING PORTAL

National Stock Exchange of India Ltd,  
Listing Department  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (East),  
Mumbai - 400 051

Bombay Stock Exchange Ltd.,  
Listing Department  
Market Operations Dept.  
P. J. Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir(s),

**SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 7(3) FOR THE  
HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER, 2019.**

We are submitting herewith the Compliance certificate under regulation 7(3) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the Half year ended on 30<sup>th</sup> September, 2019

Please upload the same on your official portal.

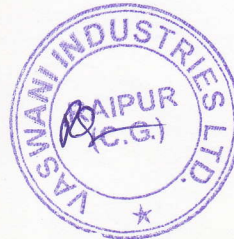
Yours Faithfully

For Vaswani Industries Limited

*Ragini Shukla*

**Ragini Shukla**

Compliance Officer & Company Secretary





# Vaswani Industries Limited

POWER • SPRINGS • IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB



REF: VIL/SECRETARIAL/2019-20

Date: 19<sup>th</sup> October, 2019

National Stock Exchange of India Ltd,  
Listing Department  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (East),  
Mumbai – 400 051

Bombay Stock Exchange Ltd.,  
Listing Department  
Market Operations Dept  
P. J. Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir/Madam,

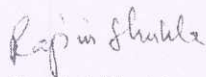
REF: Stock Code: VASWANI / 533576

**SUBJECT: COMPLIANCE CERTIFICATE – REGULATION 7 (3) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

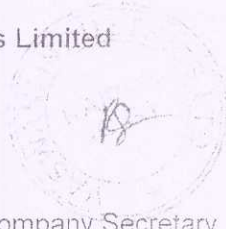
As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period April 1<sup>st</sup>, 2019 to September 30<sup>th</sup>, 2019 (both days inclusive) by the Company's Registrar and Share Transfer Agent in Category – 1 with the Securities Exchange Board of India vide Registration number: INR000004058.

Thanking You, We are

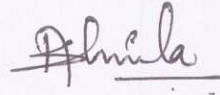
For Vaswani Industries Limited



Ragini Shukla  
Compliance Officer & Company Secretary



For Link Intime India Pvt Ltd  
CIN: U67190MH1999PTC118368



Authorized Signatory

