



## SMS Lifesciences India Limited

### Registered & Corporate Office :

Plot No. 19-III, Road No. 71,  
Opp. Bharatiya Vidya Bhavan Public School,  
Jubilee Hills, Hyderabad - 500 096, Telangana, INDIA.  
Tel : +91-040-6628 8888, Fax : +91-40-2355 1401  
CIN : L74930TG2006PLC050223  
Email : info@smslife.in, Website : www.smslife.in

June 22, 2023

To

**BSE Limited,**

Listing Department, P J Towers,  
Dalal Street,  
Mumbai – 400 001.

**Script Code: 540679**

Through: BSE Listing Center

Dear Sir/Madam,

**National Stock Exchange of India Limited,**

Listing Department, "Exchange Plaza",  
Bandra-Kurla Complex, Bandra (E),  
Mumbai - 400 051.

**Trading Symbol: SMSLIFE**

Through: NEAPS Portal

### **SUB: DISCLOSURE FOR INTIMATION RECEIVED RELATED TO THE DISPOSAL OF SHARES.**

With reference to the above-cited subject, we would like to inform you that Company has received disclosure from the following pursuant to Regulation 7(2)(a) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Regulation 29(2) of the SEBI (Substantial Acquisition of Shares and Takeover) Regulations, 2011:

Name	Designation	Event	No. of shares
Hari Kishore Potluri	Relative of promoter	Sold	2,457 (0.09%)

### **Note:**

- ✚ Mr. Hari Kishore Potluri was reclassified from the "Promoter Group Category" to the "Public Category" vide NSE and BSE approval dated April 13, 2022.
- ✚ Accordingly, he is out of the purview of the definition of "Designated Persons" as per SEBI (Prohibition of Insider Trading) Regulations, 2015 and hence "Trading Window Closure" restrictions are not applicable.
- ✚ Further, as per the pre-clearance declaration dated May 20, 2023, Mr. Potluri confirmed that he does not have any access to Unpublished Price Sensitive Information.

Kindly take the same on record and suitably disseminate it to all concerned.

For SMS Lifesciences India Limited

Trupti Ranjan Mohanty

Company Secretary



[Enclosed: disclosure received on June 22, 2023]

**HARI KISHORE POTLURI**

**ADDRESS:**

8-3-678/A/F6 AAKRUTHI MANSION,  
YELLAREDDYGUDA, NAVODAYA COLONY,  
HYDERABAD - 500073

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22 JUN 2023

To  
Company Secretary,  
SMS Lifesciences India Limited,  
Hyderabad - 500096.

Dear Sir,

**SUB: REPORTING FOR DISPOSAL OF SHARES.**

With reference to the subject stated above, please find enclosed herewith the reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015 and SEBI (Substantial Acquisition of Shares and Takeover) Regulations 2011, in respect of the disposal of **2,457 equity shares**, in the SMS Lifesciences India Limited.

Thanking you


  
**HARI KISHORE POTLURI**

Relative of Promoter

**FORMAT FOR DISCLOSURES UNDER REGULATION 29(2) OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011**

Name of the Target Company (TC)	SMS Lifesciences India Limited		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	Hari Kishore Potluri		
Whether the acquirer belongs to Promoter/Promoter group	No		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Limited National Stock Exchange of India Limited		
<b>Details of the acquisition / disposal as follows</b>	<b>Number</b>	<b>% w.r.t. total share/voting capital wherever applicable(*)</b>	<b>% w.r.t. total diluted share/voting capital of the TC (**)</b>
<b>Before the acquisition / Sale under consideration, holding of :</b>			
a) Shares carrying voting rights	3,495	0.12%	0.12%
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)	-	-	-
c) Voting rights (VR) otherwise than by shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category)	-	-	-
<b>e) Total (a+b+c+d)</b>	<b>3,495</b>	<b>0.12%</b>	<b>0.12%</b>
<b>Details of acquisition/sale</b>			
a) Shares carrying voting rights acquired/sold	2,457	0.09%	0.09%
b) VRs acquired /sold otherwise than by shares	-	-	-
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold	-	-	-
d) Shares encumbered / invoked/released by the acquirer	-	-	-
<b>e) Total (a+b+c+/-d)</b>	<b>2,457</b>	<b>0.09%</b>	<b>0.09%</b>

<b>After the acquisition/sale, holding of:</b>			
a) Shares carrying voting rights	1,038	0.03%	0.03%
b) Shares encumbered with the acquirer	-	-	-
c) VRs otherwise than by shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	-	-	-
<b>e) Total (a+b+c+d)</b>	<b>1,038</b>	<b>0.03%</b>	<b>0.03%</b>
Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc).	Open Market		
Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	22.05.2023 - 16.06.2023		
Equity share capital / total voting capital of the TC before the said acquisition / sale	30,23,287 equity shares of ₹10/- each		
Equity share capital/ total voting capital of the TC after the said acquisition / sale	30,23,287 equity shares of ₹10/- each		
Total diluted share/voting capital of the TC after the said acquisition	30,23,287 equity shares of ₹10/- each		

  
**HARI KISHORE POTLURI**  
Relative of promoter

Place: Hyderabad

Date: 22 JUN 2023



**FORM E (Application for Pre-clearance)**

**SEBI (Prohibition of Insider Trading) Regulations, 2015**

To,  
**The Compliance Officer,**  
**SMS Lifesciences India Limited,**  
Plot No: 19-III, Road No: 71, Jubilee Hills,  
Opp. Bharatiya Vidya Bhavan Public School,  
Hyderabad - 500034, Telangana State, India.  
CIN : L74930TG2006PLC050223  
Phone : + 91-40-6628 8888  
Email : [cs@smslife.in](mailto:cs@smslife.in)

**Internal Use**

Recd. Date:

Time: 20 MAY 2023

Sign: For SMS Lifesciences India Limited

*[Signature]*  
Company Secretary

**SUB: APPLICATION FOR PRE-DEALING IN SECURITIES OF THE COMPANY AND UNDERTAKING**

Dear Sir,

Pursuant to the SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Company's **Code of Conduct for Prevention of Insider Trading**, I seek approval to purchase / sale/ subscription of 2,457 equity shares of the Company as per details given below-

1.	Name of the applicant	Hari Kishore Potluri
2.	Designation	Relative of Promoter
3.	Number of securities held as on date	3,495 (0.12%)
4.	Folio No./ DP ID/ Client ID No.	1204340000062036
5.	The Proposal is for: (a) <del>Purchase of securities</del> (b) <del>Subscription to securities</del> (c) Sale of securities	2,457 equity shares to be sold in the open market.
6.	Proposed date of dealing in securities	22.05.2023 - 26.05.2023
7.	Estimated number of securities proposed to be acquired/subscribed/sold	2,457 equity shares
8.	Price at which the transaction is proposed	Based on market trading price.

9.	Current market price (as on date of application)	₹550 (20.05.2023)
10.	Whether the proposed transaction will be through stock exchange or off-market deal	Through Stock Exchange
11.	Folio No. / DP ID / Client ID No. where the securities will be credited / debited	1204340000062036
12.	Reason for sale of shares held for less than 30 days (If applicable)#	NA

I, Hari Kishore Potluri, relative of the promoter of the Company residing at 8-3-678/A/F6 Aakruthi Mansion, Yellareddyguda, Navodaya Colony, Hyderabad - 500073, am desirous of dealing in 2,457 of the Company as mentioned above in my application for pre-clearance of the transaction.

**I hereby undertake and confirm that,**

- i. I do not have any access or have not received "Unpublished Price Sensitive Information (UPSI)" up to the time of signing this undertaking.
- ii. In case I have access to or have received "UPSI" after the signing of the undertaking but before the execution of the transaction I will inform the Compliance officer of the change in my position and that I would completely refrain from dealing in the securities of the Company till the time such information becomes public.
- iii. I have not contravened the prevailing Code of Conduct for the Prevention of Insider Trading as I have been **reclassified from the Promoter category to the Public category based on the NSE & BSE approval dated 13.04.2022, hence, the Trading Window Closure restriction is not applicable to me.**
- iv. I have made a full and true disclosure in this matter.

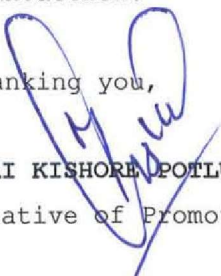
I further confirm that:

- i. If approval is granted, I shall execute the trade within **seven trading days** of the receipt of approval failing which I shall seek pre-clearance afresh.
- ii. I undertake to submit the necessary report within two days of execution of the transaction / a 'Nil' report if the transaction is not undertaken.
- ~~iii. I shall hold ESOP Shares of the Company for a minimum period of 30 days from the date of acquisition.~~
- iv. In the event of this transaction being in violation of the Rules or the applicable laws,
  - a. I will, unconditionally, release, hold harmless and indemnify to the fullest extent, the Company and its directors and officers, (the 'indemnified persons') for all losses, damages, fines, expenses, suffered by the indemnified persons,
  - b. I will compensate the indemnified persons for all expenses incurred in any investigation, defense, crisis management or public relations activity in relation to this transaction and
  - c. I authorize the Company to recover from me, the profits arising from this transaction and remit the same to the SEBI for credit of the Investor Protection and Education Fund administered by the SEBI.

I further confirm that the aforesaid facts are true and correct and shall be fully responsible for any wrongful acts done by me or my relatives including such penalties as may be imposed by the Company.

You are requested to provide the pre-clearance of trade for the above transaction.

Thanking you,

  
HARI KISHORE POTLURI  
Relative of Promoter

Place: Hyderabad

Date: May 20, 2023

Disclosure of Pre Cleared Transactions)

SEBI (Prohibition of Insider Trading) Regulations, 2015

(To be submitted within 2 days of transaction / trading in securities of the Company)

To,  
The Compliance Officer,  
SMS Lifesciences India Limited,  
Plot No: 19-III, Road No: 71, Jubilee Hills,  
Opp. Bharatiya Vidya Bhavan Public School,  
Hyderabad - 500034, Telangana State, India.  
CIN : L74930TG2006PLC050223  
Phone : + 91-40-6628 8888  
Email : [cs@smslife.in](mailto:cs@smslife.in)

**Internal Use**  
Recd. Date: 22 JUN 2023  
Time:  
Sign:  
For SMS Lifesciences India Limited  
Company Secretary

SUB: DISCLOSURE OF TRANSACTIONS

Dear Sir,

I hereby inform that I ~~have not bought / sold/ subscribed\*~~ any securities of the Company have bought/sold/subscribed to 2,457 shares as mentioned below between 22.05.2023 and 16.06.2023.

\*(strike out whichever is not applicable)

Name of holder	No. of securities traded	Bought / sold / subscribed	DP ID/Client ID/Folio No.	Price (₹)
Hari Kishore Potluri	2,457	Sold in open market	1204340000 062036	₹550/-

I declare that the above information is correct and that no provisions of the Company's Rules and/or applicable laws/regulations have been contravened for effecting the above said transactions(s).

HARI KISHORE POTLURI  
Relative of Promoter

Place: Hyderabad  
Date: 22.06.2023