



Date: 26-04-2023

The BSE Limited
Phiroje Jeejeebhoy Towers
Dalal Street Fort
Mumbai – 400 001
(Scrip Code – 532776)

The National Stock Exchange Limited
“Exchange Plaza” Bandra Kurla Complex,
Bandra East,
Mumbai – 400 051
(Scrip Code – SHIVAMAUTO)

Sub: Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31-Mar-2023.

Dear Sir,

This is in reference to the above mentioned subject; please find enclosed herewith the Certificate from Practicing Company Secretary as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for year ended 31-Mar-2023.

Kindly take the above information on your records and oblige.

Thanking you.

FOR SHIVAM AUTOTECH LIMITED

Preeti Sharma
Digitally signed
by Preeti Sharma
Date: 2023.04.26
15:46:54 +05'30'

PREETI SHARMA
COMPANY SECRETARY
M.NO. A32847

SHIVAM AUTOTECH LIMITED www.shivamautotech.com
CIN: L34300HR2005PLC081531

Registered Office: 10, 1st Floor, Emaar Digital Greens, Tower A, Sector
61, Golf Course Extension Road, Gurugram, Haryana-122102

Tel: 0124-4698700
Fax: 0124-4698798

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31st MARCH,
2023.**

Based on the examination of the relevant documents relating to fully paid equity shares of **Shivam Autotech Limited** (hereinafter referred to as 'the Company') maintained and provided electronically by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents (RTA), for the purpose of issuing certificate to the Company as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended (hereinafter referred to as 'the Listing Regulations'), in respect of effecting transfer, sub-division, consolidation, renewal, exchange or endorsement etc. of shares received by the Company during the period from 1st April 2022 to 31st March 2023, and based on our such examination as well as the information and explanations given to us by the Company on a remote basis, which to the best of our knowledge and belief were necessary for the purpose, **We hereby certify that:**

- A) 1. In accordance with SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022, the Company has processed 'Letter of Confirmation' in lieu of physical securities in respect of requests relating to transmission/deletion of name of securities received during the period from 1st April 2022 to 31st March 2023, within the periods stipulated under the Listing Regulations, from the date of lodgement with the Company excepting those rejected on technical grounds.
2. As informed to us there were no requests relating to transfer of securities, transposition, split, consolidation, exchange or endorsement of calls/allotment monies etc. received during the said period.
- B) Any other information, if applicable: Physical verification of the documents could not be done due to COVID-19 situation.

For SATYENDER KUMAR & ASSOCIATES
Company Secretaries



Satyender Kumar
SATYENDER KUMAR
Proprietor
(C.P. NO. 5189)
FCS 4087

UDIN NO. F004087E000197280
Peer Reviewed Unit

Place : Gurugram
Date : April 26, 2023