



Ref: JSWSL: SEC: MUM: 2020-21  
April 16, 2020

To,

<b>1. National Stock Exchange of India Ltd.</b> Exchange Plaza Plot No. C/1, G Block Bandra – KurlaComplex Bandra (E), Mumbai – 400 051 Fax No.: 2659 8237-38 <b>Kind Attn.: Mr. Hari K, President (Listing)</b>	<b>2. Bombay Stock Exchange Limited</b> Corporate Relationship Dept. Phiroze Jeejeebhoy Towers Dalal Street, Mumbai – 400 001. Fax No. 2272 2037/2039/ 2041/ 20 61 <b>Scip Code No.500228.</b> <b>Kind Attn: The General Manager (CRD).</b>
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**Sub: Submission of Certificate required as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Dear Sirs,

In compliance with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we enclose herewith a copy of the Compliance Certificate issued by Manisha Ghia & Associates., Practicing Company Secretaries, for the half year ended on 31<sup>st</sup> March 2020.

Thanking you,

Yours faithfully,  
For **JSW STEEL LIMITED**

**Lancy Varghese**  
Company Secretary

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEARENDED 31<sup>ST</sup> MARCH, 2020**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **JSW Steel Limited** having its registered office at "JSW Centre" Bandra Kurla Complex, Bandra (East), Mumbai - 400051 maintained by **KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **JSW Steel Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **31<sup>st</sup> March, 2020** the Company:

- a) has issued the Share Certificate(s) relating to the Share Transfer Form(s) received during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 (which was earlier lodged prior to 1<sup>st</sup> April, 2019 and returned due to deficiency), as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each Form(s) except those rejected on technical grounds;
- b) has issued the Duplicate Share Certificate(s) in lieu of original lost/ misplaced, relating to request(s) received during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 within thirty days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds; and
- c) has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

For **Manish Ghia & Associates**  
*Company Secretaries*

**Place : Mumbai**  
**Date : April 15, 2020**  
**UDIN: F006252B000158239**

**Manish L. Ghia**  
*Partner*  
**M. No. FCS 6252 C.P. No. 3531**

**Note:** Due to lockdown on account of COVID - 19 Pandemic, we have not been able to carry out physical visit to RTA office for verification of data. The Certificate is based on inputs received via email from RTA and oral confirmation with RTA.