



SMIFS CAPITAL MARKETS LIMITED

April 09, 2024

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Re: Certificate under Sub-Regulation (9) of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for Year Ended March 31, 2024

We are enclosing the Certificate under sub-regulation (9) of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Year ended March 31, 2024.

Please acknowledge.

Yours faithfully,
For **SMIFS Capital Markets Limited**



(Poonam Bhatia)
Company Secretary –cum–Compliance Officer

Encl: a/a

Sudhansu Sekhar Panigrahi

Practicing Company Secretary
Off : 75, Metcalf Street, 2nd Floor
Room No 203, Kolkata - 700 013

Mob: 9674029751
panigrahis7@gmail.com,
sudhansuspanigrahi@yahoo.co

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024

The Board of Directors,
SMIFS CAPITAL MARKETS LTD.,
"Vaibhav" 4F, 4, Lee Road,
Kolkata - 700 020

I have examined all share transfer deeds, Memorandum of Transfers, registers, files and other documents relating to SMIFS CAPITAL MARKETS LIMITED (hereinafter referred to as 'the Company'), "Vaibhav" 4F, 4 Lee Road, Kolkata - 700020 maintained by Maheshwari Datamatics Pvt Ltd, 23, R N Mukherjee Road, 5th Floor, Kolkata - 700 001 pertaining to transfer of equity shares of the Company, for the year ended on 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that in relation to the year ended 31st March, 2024 there were two (2) requests received for transmission six (6) requests for duplicate share certificate and processed within the due period.

Place: Kolkata

Date: 08.04.2024


Sudhansu Sekhar Panigrahi

ACS- 23187, CP-19649

UDIN : A023187F000061104

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
Company Secretary-cum-Compliance Officer

