



## MERCURY METALS LIMITED

To,  
**BSE Limited**  
Department of Corporate Services  
P. J. Towers, 25th Floor,  
Dalal Street,  
Mumbai- 400 001

**Sub-Reporting of Violation relating to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

**BSE Scrip Code: 531357**

Dear Sir/Madam

In terms of Company's Code of Conduct (To Regulate, Monitor and Report of Trading by Designated Persons) ("Insider Trading Code ") read with Schedule B and Regulation 9 of the SEBI (Prohibition of Insider Trading) Regulations, 2015, we hereby submit a report in respect of violation of Insider Trading Code of the Company as per format specified by SEBI vide its Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

You are requested to kindly take the above information on your records.

Thanking you,

For Mercury Metals Limited

**Mikil N. Gohil**  
Company Secretary & Compliance Officer  
M. No. A49993



Encl: as above

#367-368, Por GIDC, Ramangamdi, Por, Tal.: Karjan,  
Dist:Vadodara-391243. Gujarat, India.

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# MERCURY METALS LIMITED

## Annexure A

### Report by Mercury Metals Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

(For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015)

Sr. No.	Particulars	Details																				
1.	Name of the listed company/ <del>Intermediary/</del> Fiduciary	Mercury Metals limited																				
2.	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary																					
3.	<b>A. Details of Designated Person (DP)</b>																					
	i. Name of the DP	Mr. Darshan Jitendrakumar Shah																				
	ii. PAN of the DP	CHNPS4441P																				
	iii. Designation of DP	Director																				
	iv. Functional Role of DP	Director in-charge of production of Batteries.																				
	v. Whether DP is Promoter or belongs to Promoter Group	No																				
	<b>B. If Reporting is for immediate relative of DP</b>																					
	i. Name of the immediate relative of DP	NA																				
	ii. PAN of the immediate relative of DP	NA																				
	<b>C. Details of transaction(s)</b>																					
	i. Name of the scrip	Mercury Metals Limited BSE SCRIP:531357																				
	ii. No of shares traded and value (Rs.) (Date- wise)	<table border="1"><thead><tr><th>Sr. No.</th><th>Trade Date</th><th>Buy Quantity</th><th>Buy Rate</th><th>Buy Value (Rs.)</th></tr></thead><tbody><tr><td>1</td><td>04.10.2022</td><td>3000</td><td>5.86</td><td>17580</td></tr><tr><td>2</td><td>24.10.2022</td><td>1500</td><td>4.33</td><td>6495</td></tr><tr><td colspan="2">Total</td><td>4500</td><td></td><td>24075</td></tr></tbody></table>	Sr. No.	Trade Date	Buy Quantity	Buy Rate	Buy Value (Rs.)	1	04.10.2022	3000	5.86	17580	2	24.10.2022	1500	4.33	6495	Total		4500		24075
Sr. No.	Trade Date	Buy Quantity	Buy Rate	Buy Value (Rs.)																		
1	04.10.2022	3000	5.86	17580																		
2	24.10.2022	1500	4.33	6495																		
Total		4500		24075																		
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>																					





## MERCURY METALS LIMITED

	I. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
	II. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
4.	Details of violations observed under Code of Conduct	Mr. Darshan Shah, Director of the Company has acquired 3000 equity shares on October 4, 2022 and 1500 Equity shares on October 24, 2022 during the trading window closure period												
5.	Action taken by Listed company/ <del>Intermediary</del> /Fiduciary	The Company has sought clarification from Mr. Darshan Shah and the same was responded.  A Warning letter was issued to him by the Company.												
6.	Reasons recorded in writing for taking action stated above	Violation of Code of Conduct for Prohibition of Insider trading of the Company.												
7.	Details of the previous instances of violations, if any, since last financial year	No												
8.	If any amount collected for Code of Conduct violation(s)	No												
	I. Mode of transfer to SEBI - IPEF (Online/Demand Draft)													
	ii. Details of transfer/payment													
	in case of online:													
	<table border="1"><thead><tr><th>Particulars</th><th>Details</th></tr></thead><tbody><tr><td>Name of the transferor</td><td></td></tr><tr><td>Bank Name, branch and</td><td></td></tr><tr><td>Account number</td><td></td></tr><tr><td>UTR/Transaction reference</td><td></td></tr><tr><td>Number</td><td></td></tr></tbody></table>	Particulars	Details	Name of the transferor		Bank Name, branch and		Account number		UTR/Transaction reference		Number		
Particulars	Details													
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Number														





## MERCURY METALS LIMITED

In case of Demand Draft (DD)	
Particulars	Details
Bank Name and branch	
DD Number	
DD date	
DD amount (in Rs.)	
9. Any other relevant information	NA

Yours Faithfully,



Mikil N. Gohil  
Company Secretary & Compliance Officer  
PAN: BIMPG9280K  
Email ID: info@mercurymetals.in

Date: 14.03.2023  
Place: Vadodara