

KPL/2020-21/BSE
21.10.2020



Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street MUMBAI - 400 001	National Stock Exchange Of India Ltd. Exchange Plaza 5th Floor Plot No.C/1, G Block Bandra Kurla Complex Bandra (E) MUMBAI - 400 051
Scrip Code : 530299	Scrip Code : KOTHARIPRO

Dear sir,

Re : **SUBMISSION OF COMPLIANCE CERTIFICATE UNDER
REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING
REGULATIONS)**

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the period of Six months ended September, 2020.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully
for **KOTHARI PRODUCTS LTD.**


(RAJ KUMAR GUPTA)
CS & COMPLIANCE OFFICER

FCS - 3281

Address :

"Pan Parag House
24/19, The Mall,
Kanpur



Regd. Off. : " Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)

Phone : +91 512 2312171-72-73-74 • Fax: +91 512 2312058

E-mail: kothari@panparag.com • Website : www.kothariproducts.in

CIN No. - L 16008 UP 1983 PLC 006254

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020.

The Securities and Exchange Board of India (“SEBI”) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Accordingly, on the basis of examination of all the relevant documents relating to Shares of **KOTHARI PRODUCTS LIMITED** (“the Company”), having its registered office at Pan Parag House, 24/19, The Mall, Kanpur-208001 and paid up share capital of INR 29,84,38,650/- (Rupees Twenty Nine Crores Eighty Four Lakhs Thirty Eight Thousand Six Hundred and Fifty only) divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of INR 10/- (Rupees Ten only) each, maintained and provided electronically by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent (RTA) of the Company, pertaining to period from April 01, 2020 to September 30, 2020 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and to the best of our information and explanation given to us, We hereby certify that:

- a. There was no request for transfer, transmission, transposition of securities, sub-division (split), exchange or endorsement of calls/allotment monies received during the said period;
- b. During the period under review, no request for Remat was received by the Company;
- c. The Company has not issued any debentures, hence the reporting of the same is not applicable.

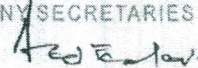
UDIN: F002253B001011877

Date: October 20, 2020

Place: Kanpur

**For ADESH TANDON AND ASSOCIATES
Company Secretaries**

For ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES


PROPRIETOR
C. P. No. 1121

(Adesh Tandon)

Proprietor
FCS No.2253
C. P. No.1121

