

AVADH/SE/2020-21/37

October 13, 2020

The Manager
Listing Department
National Stock Exchange of India Limited
'Exchange Plaza'
C - 1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400051
SYMBOL – AVADHSUGAR

The Manager
Listing Department
BSE Ltd.
1st Floor, New Trading Ring
Rotunda Building
P.J. Towers
Dalal Street, Fort
Mumbai-400 001
STOCK CODE - 540649

The Secretary
The Calcutta Stock
Exchange Ltd.
7, Lyons Range
Kolkata 700 001

Scrip Code - 11610

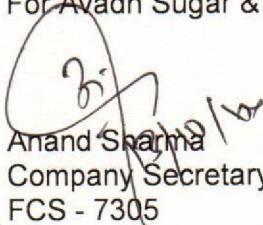
Dear Sirs,

Sub : Compliance Certificate for the half year ended 30th September, 2020

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated 12th October, 2020 received from M/s. S. M Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the half year ended 30th September, 2020.

Thanking you,

Yours faithfully,
For Avadh Sugar & Energy Limited


Anand Sharma
Company Secretary
FCS - 7305

Encl – as above



K.K. BIRLA GROUP OF SUGAR COMPANIES

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Email : smguptaandco@yahoo.com
smguptaandco@gmail.com
Website : www.smgupta.com

Offi. : 2236 1385,2236 7135
Phones : Resi. : 2534 8148
Mobile : 98300 57568

S. M. GUPTA & CO.
COMPANY SECRETARIES
P-15 BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated.....

CERTIFICATE
FOR THE HALF YEAR ENDED 30.09.2020

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. Avadh Sugar & Energy Limited (CIN: L15122UP2015PLC069635)** maintained by them and their Share Transfer Agents M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.04.2020** to **30.09.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases)/ Transmission cases received during the period from **01.04.2020** to **30.09.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange, duplicate or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the conditions of work from home due to COVID-19 with limited resources available to us.

Place: Kolkata

Signature :

Date : 12.10.2020



Name : S.M.GUPTA

Membership No : FCS-896

C. P. Number : 2053

UDIN : **F000896B000923367**