

Magma Fincorp Limited  
Regd. Office  
Development House  
24 Park Street, Kolkata 700 016  
Tel : 91 7596067686  
91 33 44017350  
Fax : 91 33 44017428  
CIN : L51504WB1978PLC031813  
Web: www.magma.co.in



18 October 2019

The Secretary,  
**BSE Limited**  
25<sup>th</sup> Floor, P. J. Towers, Dalal Street, Fort,  
Mumbai - 400 001

**Scrip Code No.524000**

Asst. Vice President,  
**National Stock Exchange of India Limited**  
"Exchange Plaza",  
Bandra-Kurla Complex, Bandra (E),  
Mumbai – 400 051

**Symbol : "MAGMA"**

**Sub: Submission of Compliance Certificate from Practicing Company Secretary for the half year ended 30 September 2019 as per regulation 40(9) and 40(10)**


Dear Sirs,

Please find enclosed herewith Certified true copy of the Compliance Certificate from Practicing Company Secretary for the half year ended 30 September 2019 in accordance with Regulation 40(9) and 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Kindly acknowledge receipt.

Thanking You,

Yours faithfully,  
For Magma Fincorp Limited

  
**Shabnum Zaman**  
Company Secretary  
ACS No. 13918

Encl: As above

**GIRISH BHATIA, PRACTISING COMPANY SECRETARY**

Flat No.5B, Shubham Apartment  
19-B, Alipore Road, Kolkata – 700 027

Mobile No.: 9903868281

Email : girishbhatia1956@gmail.com

PAN : ACWPB0146N

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA**  
**(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**  
**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER 2019**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and its press release on 3<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only with effect from 1<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1<sup>st</sup> April, 2019.

Accordingly, I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to MAGMA FINCORP LIMITED (CIN - L51504WB1978PLC031813), having its Registered Office at "Development House", 24, Park Street, Kolkata – 700 016 ("the Company") maintained by Niche Technologies Private Limited (CIN-U74140WB1994PTC062636), having its Registered Office at 3A, Auckland Place, 7<sup>th</sup> Floor, Room No.7A & 7B, Kolkata - 700 017, acting as Registrar and Share Transfer Agents ("the RTA") pertaining to transfer deeds which were lodged prior to 1<sup>st</sup> April, 2019 and re-lodged thereafter for transfer of fully paid Equity Shares of the Company for the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / the RTA, I hereby certify that :

- A) 1.a. the Company / the RTA has delivered during half-year ended on 30<sup>th</sup> September, 2019 share certificate(s) relating to the transfer deeds lodged prior to 1<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds ;
- 1.b. the Company / the RTA has delivered during half-year ended on 30<sup>th</sup> September, 2019 share certificates in respect of requests relating to renewal, sub-division (split) and consolidation received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, within thirty days from the respective date of lodgement excepting, those rejected on technical grounds. As per the records made available to me there were no requests relating to exchange or endorsement of calls / allotment monies received during the said period.

B) Any other information, if applicable : N. A.



Place : Kolkata  
Date : 16/10/2019

**GIRISH BHATIA**  
**COMPANY SECRETARIES**  
Flat No. 5B, Shubham Apartment  
19-B, Alipore Road  
Kolkata-700 027  
C.P No.- 13792

**GIRISH BHATIA**  
*Company Secretary in Practice*

*Girish Bhatia*

**(CS GIRISH BHATIA)**  
**Practising Company Secretary**  
FCS : 3295 CP : 13792  
UDIN : F003295A000098896